

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
ANNUAL MEETING

1:00 p.m. EDT  
Thursday  
June 19, 2014

Hollingsworth Auditorium  
2421 Joe Johnson Drive  
Knoxville, Tennessee

ORDER OF BUSINESS

- I. Call to Order and Invocation
- II. Roll Call
- III. Introductions
- IV. [Adoption of an Honorary Resolution](#) ..... Tab 1
- V. Trustees' Lifetime Achievement Award..... Tab 2
- VI. President's Report
- VII. [FY 2015 Operating Budget, Student Tuition and Fees, and Compensation Guidelines](#) ..... Tab 3
  - A. [FY 2015 Operating Budget and Student Tuition and Fees](#)..... Tab 3.1
  - B. [FY 2015 Compensation Guidelines](#)..... Tab 3.2
  - C. [Resolution Approving FY 2015 Operating Budget, Student Tuition and Fees, and Compensation Guidelines](#) ..... Tab 3.3
- VIII. Action Items from Executive and Compensation Committee
  - A. [Performance Goals and Retention Amount for Chancellor Angle under the Performance and Retention Plan for Executive Officers](#) ..... Tab 4
  - B. [President's Four-Year Comprehensive Performance Review of Chancellor Schwab](#) ..... Tab 5
  - C. [Election and Compensation of University Officers](#) ..... Tab 6
  - D. [2015 Dates for Regular Board Meetings and a Workshop](#) ..... Tab 7
- IX. Action Items from Trusteeship Committee
  - A. [Authorization for the Vice Chair to Make Committee and Committee Chair Appointments](#) ..... Tab 8
  - B. [Reappointment of UT Members to the University Health System Board of Directors](#) ..... Tab 9

X.	Action Items from Finance and Administration Committee	
	A. Policy on a Student Programs and Services Fee.....	Tab 10
	B. TUAPA Rule on Towing Fine Increase, UT Knoxville – <b>Roll Call Vote</b> ..	Tab 11
	C. TUAPA Rule on Use of University Property – <b>Roll Call Vote</b> .....	Tab 12
	D. TUAPA Rule on Use of University Property by Non-Affiliated Persons For Free Expression Activities – <b>Roll Call Vote</b> .....	Tab 13
XI.	Report of the Audit Committee (including the 2014 Financial Review of UTC, UTK, and UTM Athletics Departments) .....	Tab 14
XII.	Consent Agenda	
	A. Minutes of the Last Meeting.....	Tab 15
	B. Resolution Appointing a Managerial Group for U.S. Government Contracts.....	Tab 16
	C. Ratification of 2013-2014 Quasi-Endowments .....	Tab 17
	D. FY 2015 Distribution of UC Foundation Unrestricted Endowment Funds .....	Tab 18
	E. UTHSC Regional Tuition Rate Program for the College of Pharmacy in Memphis.....	Tab 19
	F. Extension of UTC Regional Tuition Rate Program for Undergraduates, FY 2014-15 .....	Tab 20
	G. Extension of UTC Regional Tuition Rate Program for Graduate Students, FY 2014-15 .....	Tab 21
	H. Disclosure of Additional Revenue/Institutionally Funded Capital Projects for FY 2015.....	Tab 22
	I. Alley Transfers from the City of Knoxville (UTK).....	Tab 23
	J. Annual Report of Sale of Gift Property .....	Tab 24
	K. President Emeritus Agreement with Dr. Joseph E. Johnson .....	Tab 25
	L. The University of Tennessee Diversity Statement .....	Tab 26
	M. UT Knoxville Faculty Handbook Revisions.....	Tab 27
	N. Revision of Academic Affairs and Student Success Committee Charter..	Tab 28
	O. Tenure Recommendations .....	Tab 29
	P. 2014 Comprehensive Listing of Academic Programs.....	Tab 30
	Q. Authorization to Confer Degrees .....	Tab 31
	R. Naming of the Volleyball Practice Facility at UT Knoxville.....	Tab 32
	S. Naming of Chi Omega Sorority House at UT Martin .....	Tab 33
XIII.	Announcements .....	Tab 34
XIV.	Adjournment	

**The University of Tennessee  
Board of Trustees**

**Resolution in Honor of Shalin Nandlal Shah  
June 19, 2014**

WHEREAS, Shalin Nandlal Shah graduated from the Chattanooga School for the Arts and Sciences with honors in 2009; and

WHEREAS, he began his education at The University of Tennessee at Chattanooga as a Brock Scholar; and

WHEREAS, Mr. Shah served as freshman treasurer, senator, parliamentarian and president of the UTC Student Government Association and was a member of the UT System Strategic Steering Group; and

WHEREAS, he was involved in the UTC Honor Court, Chancellor's Roundtable, and Tennessee Inter-Collegiate State Legislature, and served as an intern in the Office of the District 8 County Commissioner; and

WHEREAS, Mr. Shah served on many campus committees, task forces and boards as a representative of students and worked as a SOAR coach for the Public Education Foundation as a mentor; and

WHEREAS, he graduated in May 2014 with a major in political science and minors in business administration and geography; and

WHEREAS, Mr. Shah was appointed by Governor Bill Haslam in 2012 as a Student Trustee on The University of Tennessee Board of Trustees and served with distinction on the Academic Affairs and Student Success and the Research, Outreach, and Economic Development committees of the Board, eagerly advocating on behalf of UT students across the state;

NOW THEREFORE BE IT RESOLVED that The University of Tennessee Board of Trustees, meeting in Knoxville, Tennessee, on June 19, 2014, congratulates Shalin Shah for his outstanding career as a student and commends him for his distinguished service to the Board and the University.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 19, 2014

ITEM: **Trustees' Lifetime Achievement Award**

RECOMMENDATION: Approval

PRESENTED BY: J. Brian Ferguson, Vice Chair

At the 2010 Winter Meeting, the Board approved the Trustees' Lifetime Achievement Award as the only award conferred by the Board and, accordingly, the most prestigious University of Tennessee award. The Board established the following criteria and eligibility requirements for the award:

Criteria:

- A lifetime of extraordinary service to The University of Tennessee in an official or volunteer capacity; or
- A lifetime of nationally or internationally recognized achievement that has brought extraordinary distinction to The University of Tennessee.

Eligibility:

- The term "lifetime" will generally mean thirty years or more.
- Members of the Board of Trustees are eligible only after leaving office.
- Elected officials are eligible only after leaving office.
- University employees are eligible only after retiring or otherwise leaving office.

Upon the nomination of Andrea J. Loughry, Trustee Emerita and former Vice Chair of the Board, the Vice Chair recommends that the Trustees' Lifetime Achievement Award be conferred on Dr. Joseph E. Johnson in recognition of his extraordinary service to the University for more than 50 years.

**MOTION:**

**That the Trustees' Lifetime Achievement Award be conferred on Dr. Joseph E. Johnson in recognition of his extraordinary service to the University for more than 50 years.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 19, 2014

ITEM: FY 2015 Operating Budget, Student Tuition and Fees, and Compensation Guidelines

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The proposed FY 2015 Operating Budget, Student Tuition and Fees, Compensation Guidelines, and a formal Resolution of approval are presented in the following materials.

**MOTION:**

**That the Resolution on the FY 2015 Operating Budget, Student Tuition and Fees, and Compensation Guidelines be adopted.**

# FY 2015 Proposed Budget

June 18-19, 2014

## FY2015 Proposed Budget

- The FY2015 Proposed Budget is balanced & within available resources.
- FY2015 highlights:
  - State Funding
    - Spared cuts made to other agencies
    - \$5.7M: formula unit productivity gains
  - Tuition & Fees Recommendations

# Total Current Funds



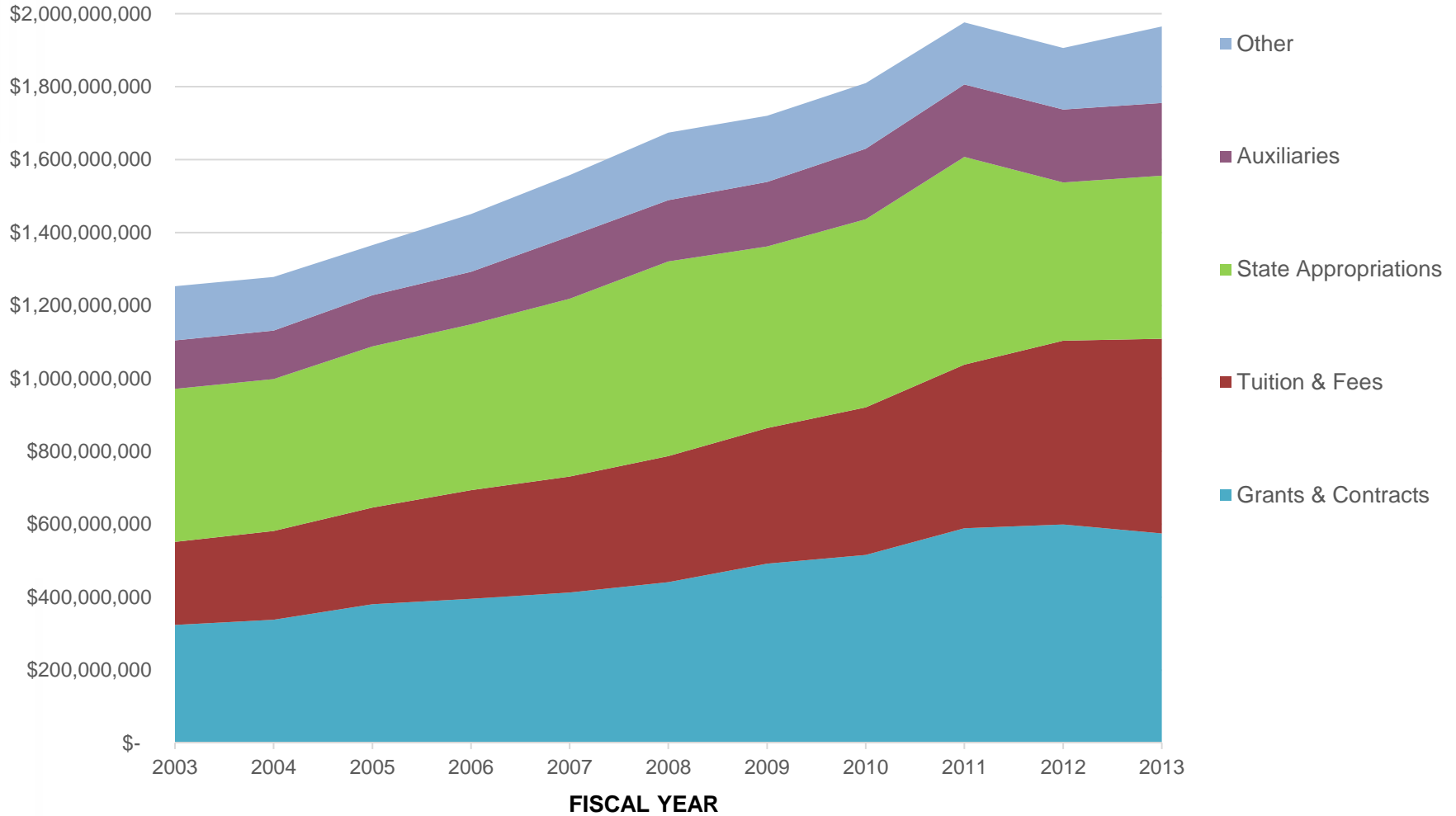
## Total Current Funds

\$ Millions	FY2014	FY2015	Change	
Unrestricted E&G	\$1,183.2	\$1,230.3	\$47.1	4.0%
Restricted E&G	614.4	615.2	0.8	0.1%
Auxiliaries	193.8	203.1	9.3	4.8%
<b>TOTAL REVENUES</b>	<b>\$1,991.4</b>	<b>\$2,048.6</b>	<b>\$57.2</b>	<b>2.9%</b>
Expenditures & Transfers	2,042.3	2,051.1	8.8	0.4%
<b>Fund Balances used for Current Operations</b>	<b>\$50.9</b>	<b>\$2.5</b>	<b>(\$48.4)</b>	<b>(95.1%)</b>

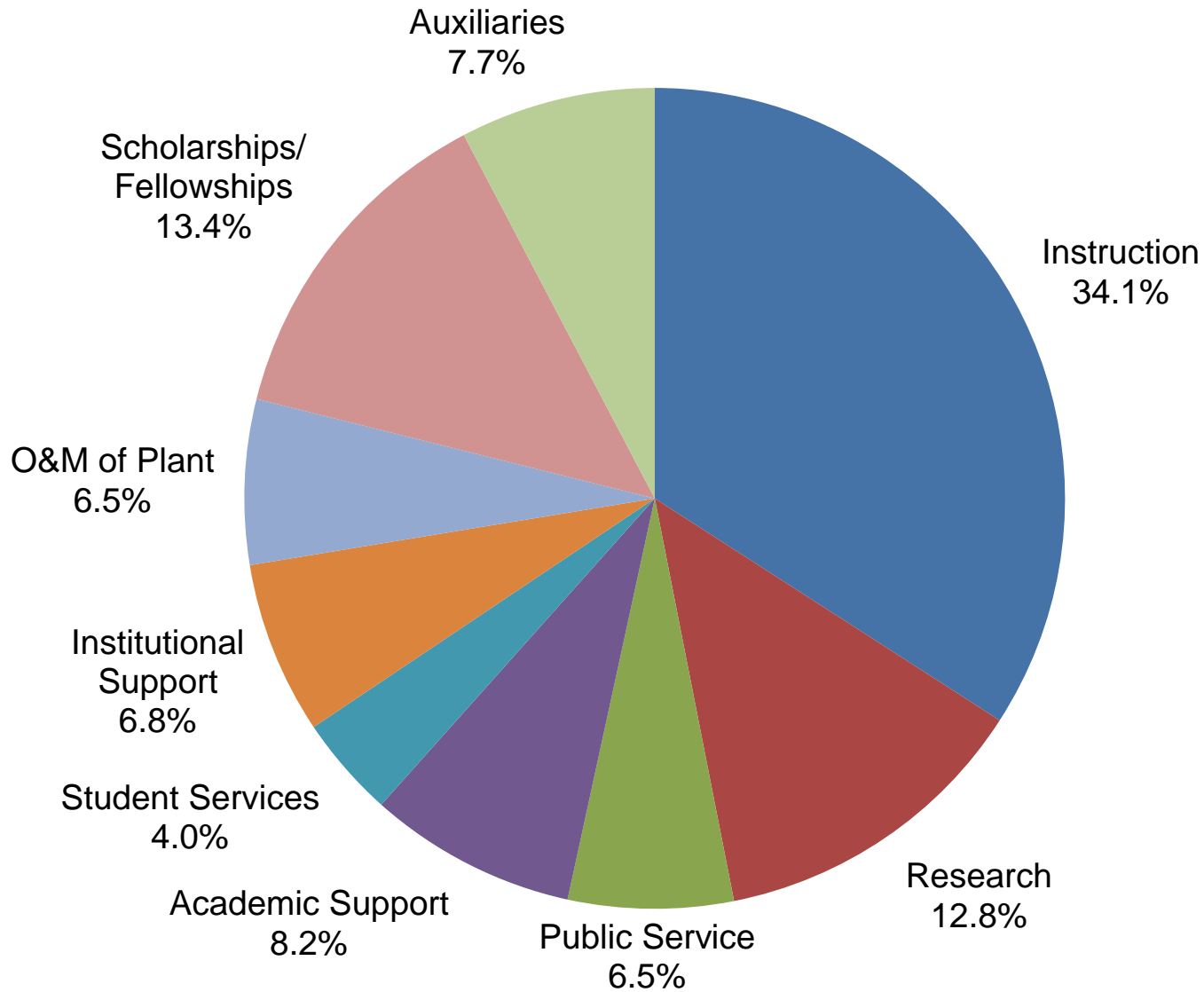
## Revenue Trends

### Current Unrestricted & Restricted E&G and Auxiliary Funds

**REVENUE CATEGORY**

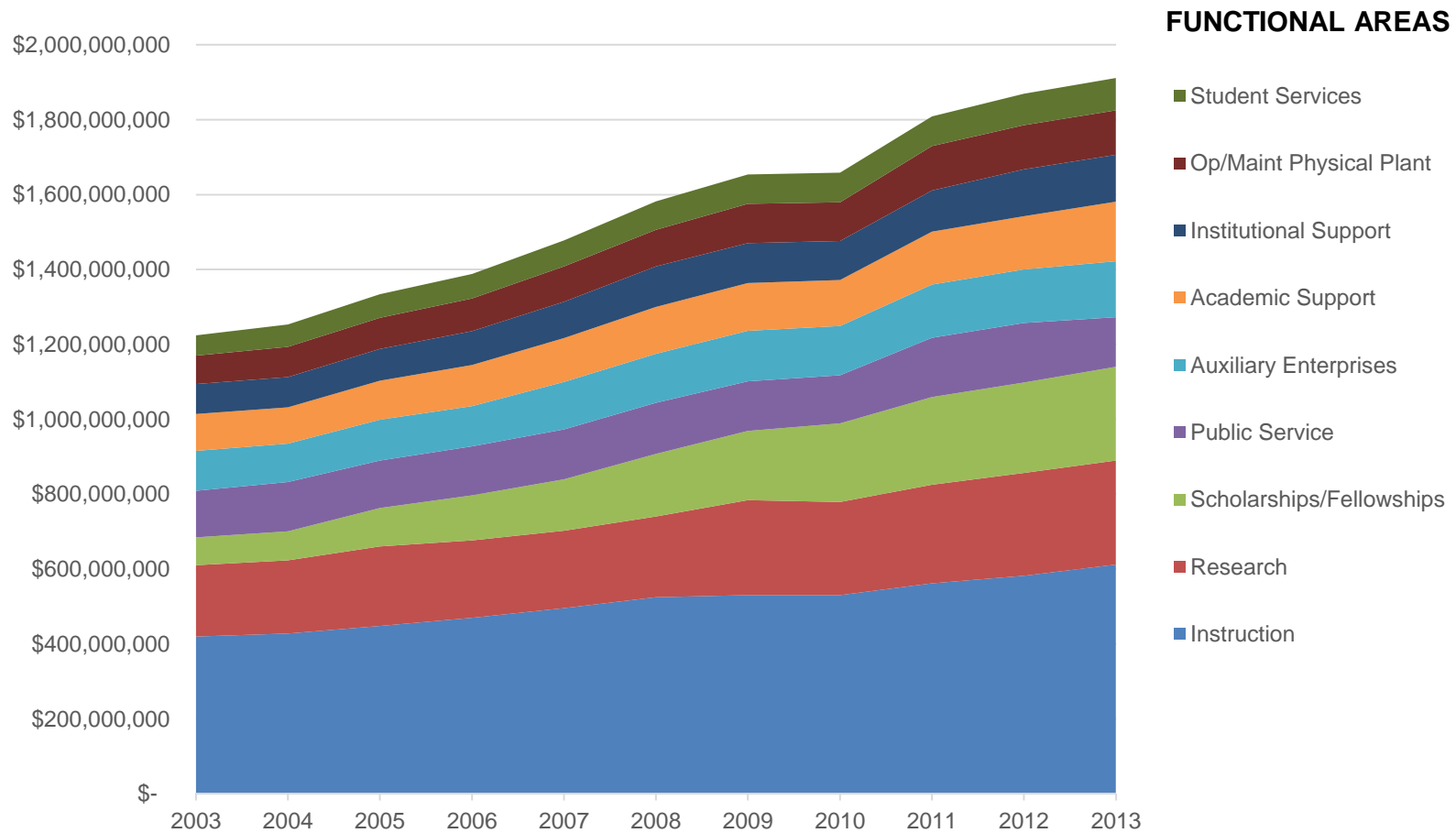


# Total Current Funds Budgeted Expenditures



## Expenditure Trends

### Current Unrestricted & Restricted E&G and Auxiliary Funds



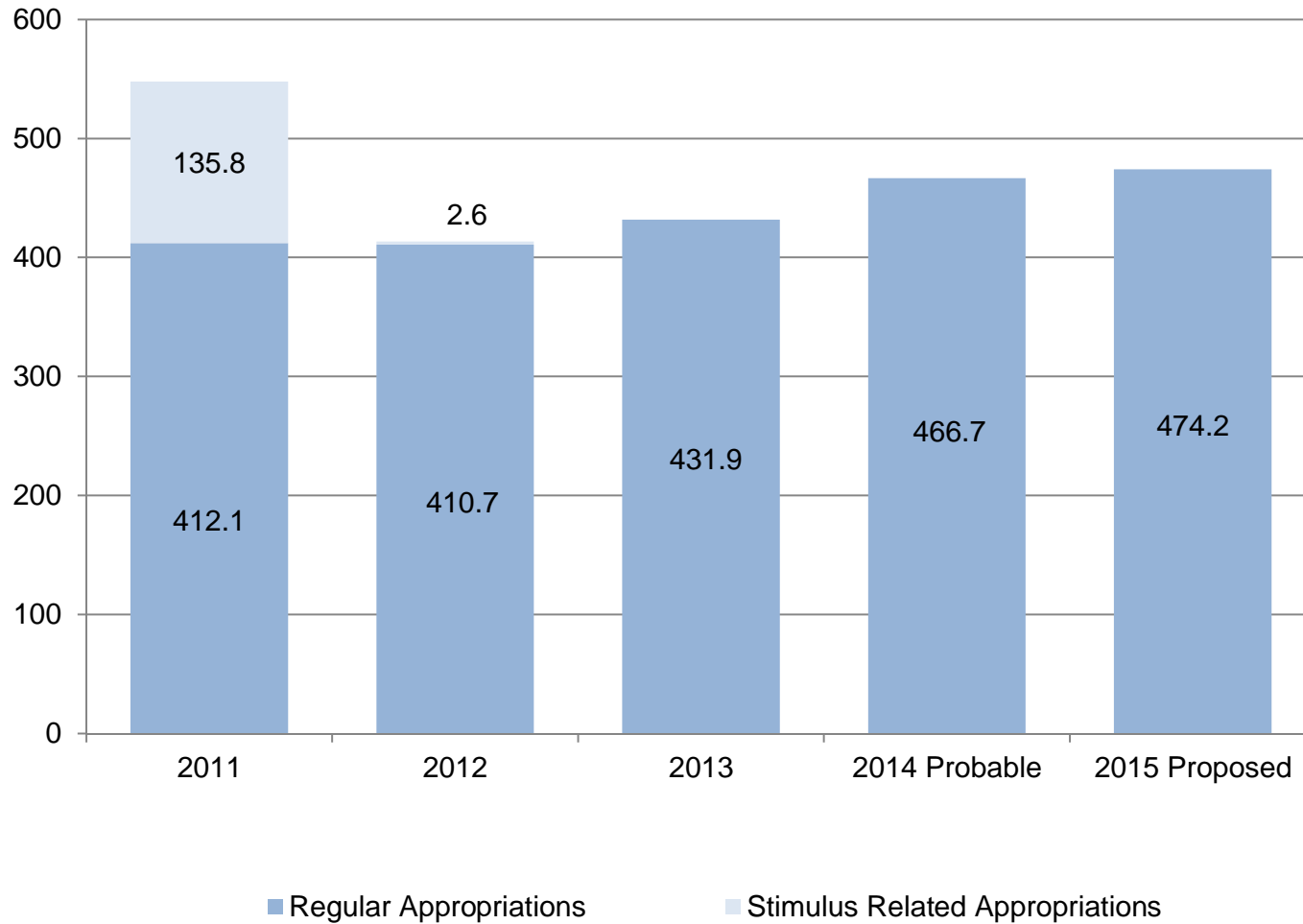
# Unrestricted Educational & General (E&G) Funds

*Unrestricted (E&G) funds support the core functions of the university.*

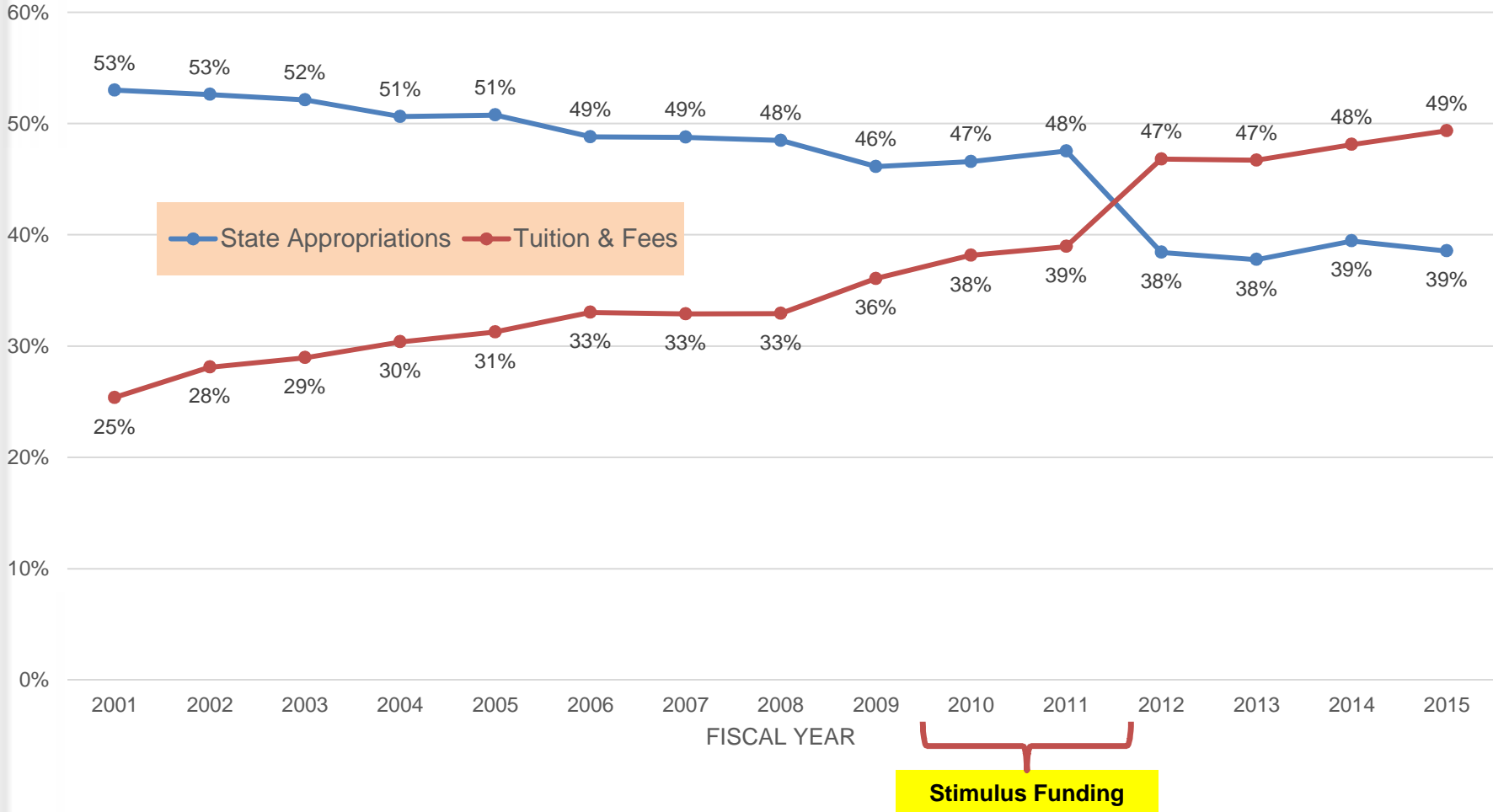
## FY2015 Proposed Budget

Unrestricted E&G Funds	FY2014	FY2015	Change	
Tuition & Fees	\$569.2	\$607.1	\$37.9	6.7%
State Appropriations	466.7	474.2	7.5	1.6%
Other Revenues	147.3	149.0	1.7	1.2%
<b>TOTAL REVENUE</b>	<b>\$1,183.2</b>	<b>\$1,230.3</b>	<b>\$47.1</b>	<b>4.0%</b>
Fund Balances	157.7	106.4	(51.3)	(32.5%)
<b>TOTAL FUNDING</b>	<b>\$1,340.9</b>	<b>\$1,336.7</b>	<b>(\$4.2)</b>	<b>(0.3%)</b>
Expenditures & Transfers	1,234.5	1,233.4	(1.1)	(0.1%)
<b>Remaining Funds</b>	<b>\$106.4</b>	<b>\$103.3</b>	<b>(\$3.1)</b>	<b>(2.9%)</b>

# State Appropriations



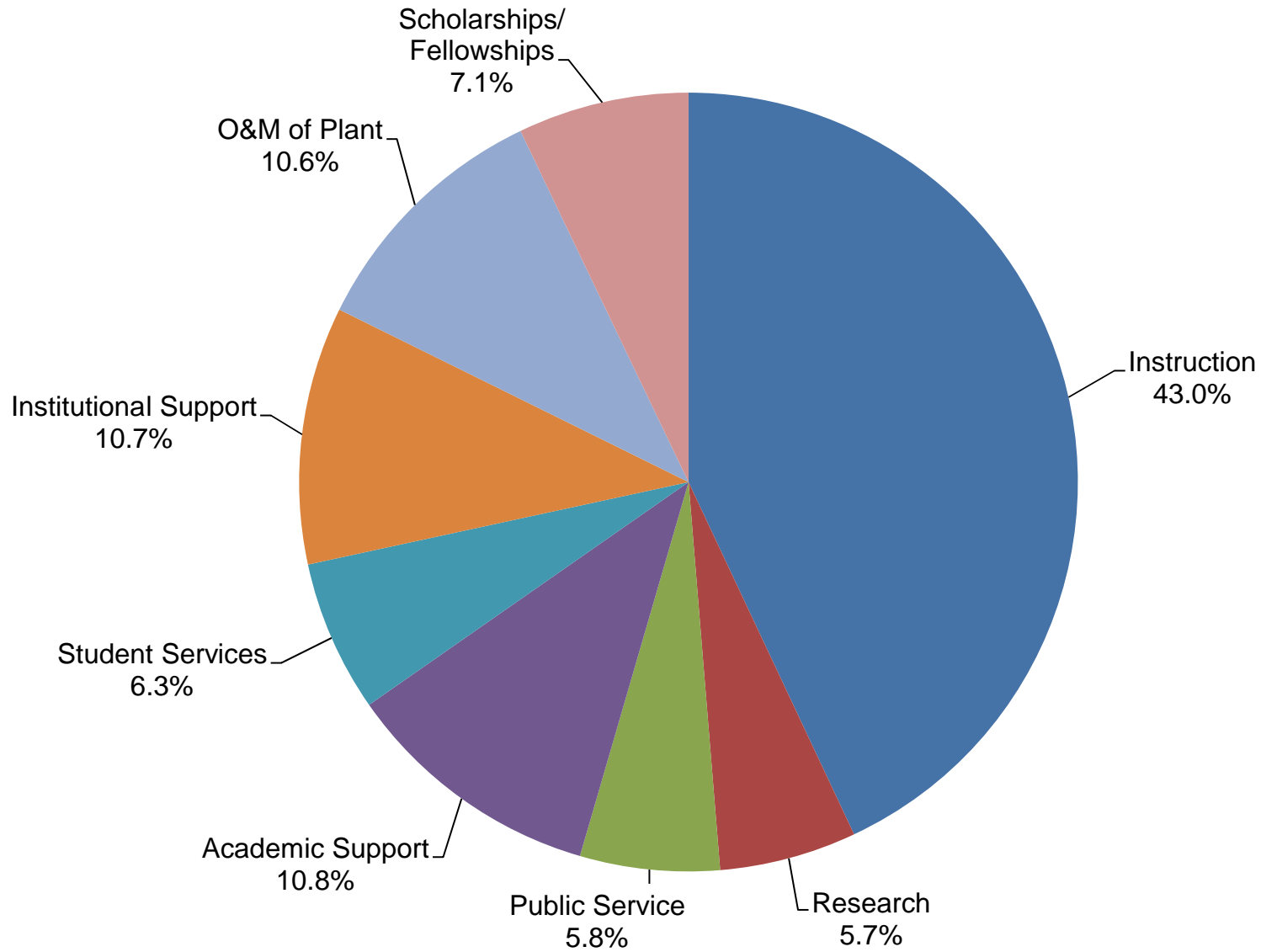
## Tuition & Fees and State Appropriations As Percent of Total Unrestricted E&G Revenues





# Unrestricted E&G Funds

## Budgeted Expenditures



## Base Budget Unrestricted E&G Funds

Expenditures (\$millions)	FY2015	One-Year Change		Five-Year Change	
Instruction	\$528.2	<b>\$32.7</b>	6.6%	<b>\$122.0</b>	30.0%
Research	70.1	-0.6	-0.8%	-1.4	-2.0%
Public Service	71.4	0.7	1.0%	7.0	10.9%
Academic Support	132.8	1.6	1.2%	23.0	20.9%
Student Services	77.8	3.5	4.7%	1.8	2.4%
Institutional Support	132.5	4.9	3.8%	30.8	30.3%
O&M of Plant	130.3	2.2	1.7%	26.9	26.0%
Schol./Fellowships	87.0	10.9	<b>14.3%</b>	33.7	<b>63.2%</b>
<b>TOTAL EXPEND.</b>	<b>\$1,230.1</b>	<b>\$55.9</b>	<b>4.8%</b>	<b>\$243.8</b>	<b>24.7%</b>
Transfers	-1.6	1.3	44.8%	-38.4	-104.3%
<b>EXPEND. + TRANS.</b>	<b>\$1,228.5</b>	<b>\$57.2</b>	<b>4.9%</b>	<b>\$205.4</b>	<b>20.1%</b>

## No Salary Increase

After three increases since July 1, 2007, no COLA this year

No increases other than selected promotions, reclassifications, bonuses, mid-year adjustments

The state used reserves to delay a group health insurance increase scheduled for January 1, 2015

## Recommended Undergraduate & Graduate Tuition

Campus/Unit	In-State	Out-of-State	Revenue
Chattanooga	6.0%	6.0%	\$ 4,345,350
Knoxville	3.0% - 6.0%	-	16,500,000
College of Law	-	-	-
Martin	6.0%	-	2,747,200
Space Institute	6.0%	-	49,020
Health Science Center	-	-	-
Veterinary Medicine	5.0%	-	689,652
		<b>TOTAL</b>	<b>\$24,331,222</b>

## Specialized Graduate Programs

Program	Current Annual Rate	Proposed Annual Rate	Revenue
UTK Masters of Accountancy	\$ 3,000	\$ 4,500	\$ 102,000
UTK Aerospace Executive MBA	64,000	66,000	52,000
UTK Physician Executive MBA	74,000	76,000	90,000
UTK Masters of HR Management	NEW	3,000	90,000
UTK Healthcare Executive MBA	NEW	65,000	975,000
UTK Masters of Business Analytics	NEW	4,500	211,500
UTHSC Transitional DDS	NEW	70,000	210,000
		<b>TOTAL</b>	<b>\$ 1,730,500</b>

## Recommended Fee Changes

Chattanooga	Current Annual Rate	Proposed Annual Rate	Revenue
Facilities Fee	\$688	\$744	\$ 580,767
Transportation Fee	52	120	519,864
Health Fee	New	250	870,334
Technology Fee	New	250	562,567
		<b>TOTAL</b>	<b>\$ 2,533,532</b>

## Recommended Fee Changes

<b>Knoxville</b>	<b>Current Annual Rate</b>	<b>Proposed Annual Rate</b>	<b>Revenue</b>
Towing Fee	\$42	\$60	\$ 4,000
Program Services Fee – Capital Projects	744	790	1,150,000
International Education Fee	10	20	190,360
Facilities Fee	320	360	900,000
		<b>TOTAL</b>	<b>\$ 2,244,360</b>

## Recommended Fee Changes

Martin	Current Annual Rate	Proposed Annual Rate	Revenue
Student Activity Fee (Non-Athletic)	\$126	\$146	\$ 116,000
Technology Fee	200	250	290,000
Health & Counseling Fee	NEW	60	348,000
		TOTAL	\$ 754,000



# Outlook

# Outlook

- Stagnant state funding
  - Revenues flat
  - Mandatory obligations growing
  - Higher Ed is valued, but a discretionary line item
  - CCTA & Drive-to-55 need greater funding
- Net tuition is still affordable
  - Comparable to peers
  - Growth in scholarships

# Budget Document FY 2014 - 2015



THE UNIVERSITY *of* TENNESSEE

---

KNOXVILLE, CHATTANOOGA, MARTIN, TULLAHOMA, MEMPHIS

# **THE UNIVERSITY *of* TENNESSEE**

University of Tennessee at Chattanooga

University of Tennessee, Knoxville

University of Tennessee at Martin

University of Tennessee Space Institute

University of Tennessee Health Science Center

Memphis Other Specialized Units

College of Medicine Units

Family Medicine Units

University of Tennessee Institute of Agriculture

Agricultural Experiment Station

Extension

College of Veterinary Medicine

University of Tennessee Institute for Public Service

Institute for Public Service

Municipal Technical Advisory Service

County Technical Assistance Service

University of Tennessee System Administration

# **FY2015 PROPOSED BUDGET**

---

## **THE UNIVERSITY OF TENNESSEE**

### **Contents**

MESSAGE FROM THE CFO	2
<hr/>	
OVERVIEW	3
<hr/>	
UNRESTRICTED E&G REVENUES	3
<hr/>	
UNRESTRICTED E&G EXPENDITURES	8
<hr/>	
AUXILIARY ENTERPRISES	11
<hr/>	
UNRESTRICTED NET ASSETS	12
<hr/>	
BOARD RESOLUTION	13
<hr/>	
SUPPORTING BUDGET SCHEDULES	15
<hr/>	
TUITION AND FEE RECOMMENDATIONS	50
<hr/>	

## Message from the CFO

### *Message from the CFO*

The FY2015 proposed operating budget allocates available funding to the University's current operations for the fiscal year beginning July 1, 2014 and ending June 30, 2015. It is the result of input from hundreds of dedicated faculty, staff, students and citizens involved in setting priorities for the University's campuses, colleges, and institutes across the state of Tennessee.

Slow revenue growth combined with increasing financial obligations will constrain the state's ability to fully fund higher education in FY 2015. While there is no new funding for higher education, other than to offset increasing health insurance premiums, UT's three "formula units" (Chattanooga, Knoxville, and Martin) will receive modest increases from existing higher education appropriations, reflecting strong productivity gains as measured by the state's Complete College Tennessee Act (CCTA) funding formula. The Health Science Center will receive \$3.0 million in matching funds for the St. Jude pediatric physician scientist recruitment program (the second of five years). Otherwise state funding is flat. The recurring increase is well below the amount needed to offset inflation, cover fixed-cost increases, and catch up with enrolment growth from previous years. Total appropriations remain below FY 2008 levels. Finding solutions to the funding problem is critical to UT's ability to help realize Tennessee's ambitious *Drive to 55* initiative while keeping student fees affordable.

Unfortunately FY 2015 state appropriations include no funding for general salary increases for state and university employees. Without additional state funds, UT will be unable to provide a general across-the-board increase during FY 2015. This will delay the progress we have made in recent years towards rewarding exceptional performance and moving overall compensation closer to market levels needed to attract and retain the talent UT needs to effectively serve its students and the citizens of Tennessee. We expect to continue to address compensation gaps as well as provide the usual increases

for promotions, career ladders, and certifications if other revenues are sufficient. The state will mitigate the impact of a group health insurance premium increase scheduled for January 1, 2015 by using reserves. UT will continue to advocate for compensation increases in the future.

In an environment of stagnant state funding, additional revenues from tuition and fees are critical to maintain current operations and make targeted investments to continue the progress we have made in supporting student success and completion. The in-state maintenance fee will increase 6% at each campus other than the College of Veterinary Medicine (5%) and the Health Science Center (no increase). Other fees will be adjusted as needed to offset cost increases. These adjustments are projected to generate approximately \$37.9 million in additional revenues. UT will remain competitively priced compared to similar institutions. UT will continue to allocate additional funding to student aid to keep the *net* cost of student fees as low as possible - expenditures for institutional scholarships and fellowships grew by over 233% from FY 2003 to FY 2013. Details on fee proposals are presented in the *Tuition and Fees* section of this document.

UT's impact goes well beyond the classroom. Extension agents in each of Tennessee's 95 counties made 5.5 million direct contacts in 2013, including 150,584 meetings and demonstrations and 40,487 visits to farms, homes, and workplaces. AgResearch focuses on research vital to the bottom line of Tennessee farmers and agribusiness, supporting Tennessee's overall economy. The Institute for Public Service promotes good government and strengthens economic vitality through training and technical assistance for Tennessee state and local government, industry and law enforcement professionals.

Revenue and expenditure data for each operating unit are provided in this budget document. Also included is information on athletics and auxiliary budgets. A separate publication containing detailed supporting schedules is available in printed or electronic format.

Respectfully,



Charles M. Peccolo  
Treasurer and Chief Financial Officer

# FY2015 PROPOSED BUDGET

*“THE FY 2015 EDUCATIONAL AND GENERAL (E&G) AND AUXILIARY ENTERPRISES PROPOSED BUDGETS ARE BALANCED AND WITHIN AVAILABLE RESOURCES.”*

FY 2015 Quick Facts	
Enrollment (Fall 2013)	49,127
Total Revenues	\$ 2.05B
Positions (Fall 2013)	15,069
Capital Maintenance	\$ 39.4M

Unrestricted E&G	
E&G Revenues	\$1.23B
State Appropriations	\$474.2M
St. Appropriations as % of Revenues	38.5%
Tuition & Fees	\$607.1M
Tuition & Fees as % of Revenues	49.3%
Salaries & Benefits	\$841.4M
Sal. & Ben. % of Expenditures	68.1%

## Overview

The University of Tennessee FY 2015 proposed budget revenues total \$2.05 billion: \$1.23 billion in unrestricted educational and general (E&G) funds, \$615.2 million in restricted E&G funds and \$203.1 million in auxiliary funds. That represents a \$57.2 million (2.9%) increase from the FY 2014 probable budget.

The FY 2015 unrestricted E&G revenue budget is \$47.1 million (2.6%) over the FY 2014 probable budget. Restricted E&G revenues are essentially unchanged. Auxiliary revenues increase \$9.3 million (4.8%).

### TOTAL REVENUES

Auxiliaries include unrestricted and restricted funds

Revenues (Millions)	FY2014 Probable	FY2015 Proposed	Change Amt.	Change %
Unrestricted E&G	\$ 1,183.2	\$ 1,230.3	\$ 47.1	4.0%
Restricted E&G	614.4	615.2	0.8	0.1%
Auxiliaries	193.8	203.1	9.3	4.8%
<b>Total</b>	<b>\$ 1,991.4</b>	<b>\$ 2,048.6</b>	<b>\$ 57.2</b>	<b>2.9%</b>

Restricted funds must be used in accordance with purposes established by an external party; primarily grants, contracts, gift funds and endowments. Auxiliaries are self-supporting enterprises which furnish services to students, faculty, and staff such as housing, bookstores, food service and UTK Athletics.

### Unrestricted E&G Revenues

Unrestricted education and general funds (unrestricted E&G) support the core operations of the university: instruction, research, public service, academic support, student services, institutional support, facilities operations and maintenance, and scholarships and fellowships. They are funded primarily through tuition and student fees, state appropriations, and other sources including grants and contracts, federal and local appropriations, sales and services, investment income, and endowment distributions.

## FY2015 PROPOSED BUDGET

### Unrestricted E&G Revenues (Continued)

The following table shows the change in unrestricted E&G revenues.

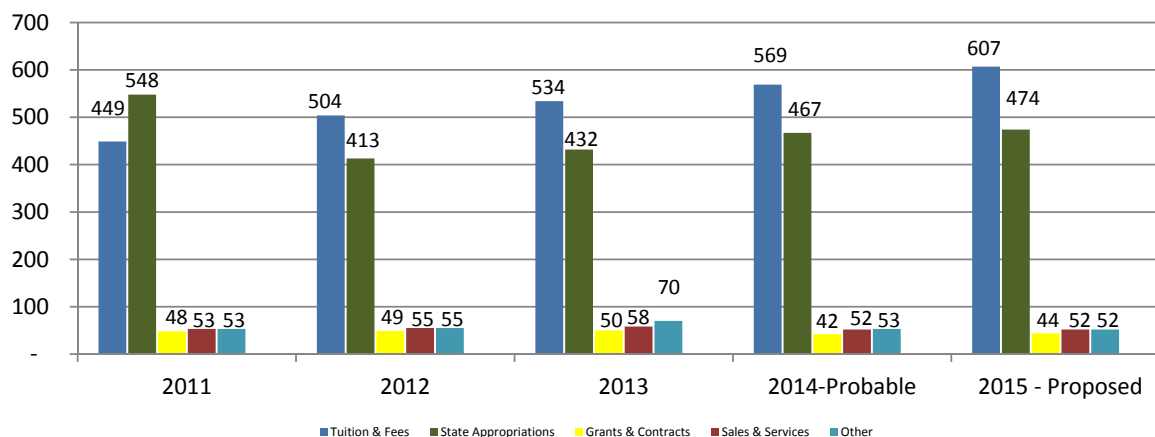
#### Unrestricted E&G Revenues Summary (in millions)

Revenues	FY 2014 Probable	FY 2015 Proposed	Change Amount	Change %
Tuition & Fees	\$ 569.2	\$ 607.1	\$ 37.9	6.7%
State Appropriations	466.7	474.2	7.5	1.6%
Other Revenues	147.3	149.0	1.7	1.2%
Total E&G Revenues	\$ 1,183.2	\$ 1,230.3	\$ 47.1	4.0%

FY 2015 unrestricted E&G revenues are \$47.1 million (4.0%) higher than the FY 2014 probable budget, due primarily to increases in tuition & fees. Most of the increases will fund institutional scholarships, general inflation, fixed-cost increases (such as increases in health insurance premiums, utility costs, contractual service increases, and inflationary costs for campus facilities and library acquisitions), and modest investments in academic programs. Other uses include faculty promotions, start-up funding, campus infrastructure improvements, and salary adjustments.

Unrestricted E&G revenues are categorized into five distinct areas, with state appropriations and tuition & fees making up 87.9% (\$1.08 billion). The graph below compares each of these sources and displays trends over the past five years. State appropriations in FY 2011 included large amounts of non-recurring funds related to the federal stimulus (ARRA).

#### Unrestricted E&G Revenues (in millions of dollars)





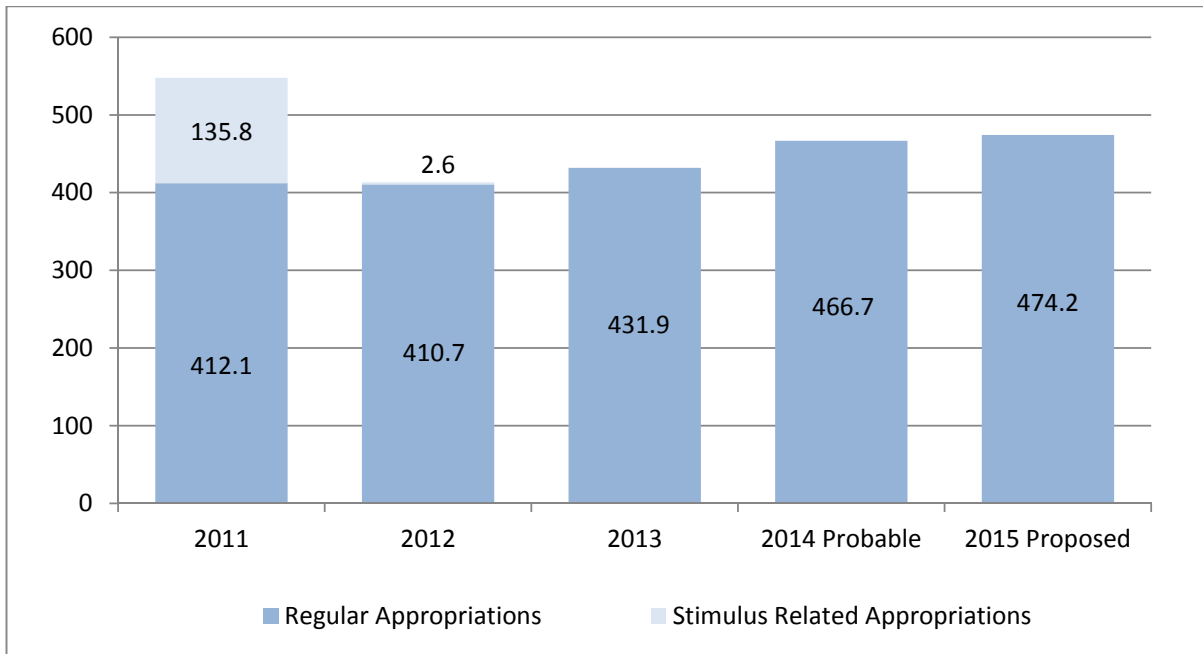
## FY2015 PROPOSED BUDGET

### Unrestricted E&G Revenues (Continued)

#### State Appropriations

FY 2015 state appropriations will increase by \$7.4 million, only 1.6% above FY 2014 appropriations. Most of the gains (\$5.7 million) are to recognize exceptional performance, as measured by the state’s Complete College Tennessee Act (CCTA) funding formula, at UT’s three formula units. While this is encouraging, it does not reflect full funding for productivity gains made at the Chattanooga, Knoxville, and Martin campuses. The rest of the increase (\$2.5 million) simply offsets increased costs for group health insurance premiums. FY 2015 non-recurring appropriations drop by \$713,300, offsetting part of these increases. UT’s non-formula units received no additional funds and will have to look to budget reductions and reallocations to cover general inflation and fixed-cost increases. The state provided no funds for salary increases in FY 2015. Without state funding, UT will be unable to provide employees cost-of-living adjustments or make significant progress in addressing compensation gaps identified in the university’s compensation and benefits market assessment.

**State Appropriations**  
*(in millions)*



## **FY2015 PROPOSED BUDGET**

### **Unrestricted E&G Revenues (Continued)**

### **State Appropriations (Continued)**

#### **Change In Unrestricted E&G State Appropriations**

<b>State Appropriation Detail</b>	<b>Base (Recurring)</b>	<b>Non-Recurring</b>	<b>Total</b>
<b>FY 2014 Probable Budget</b>	<b>\$ 464,310,246</b>	<b>\$ 2,412,177</b>	<b>\$ 466,722,423</b>
Remove FY14 Non-Recurring		(2,412,177)	(2,412,177)
Formula Adjustments	5,691,000		5,691,000
Benefits Adjustments	2,464,589		2,464,589
Estimated Fee Waivers		955,000	955,000
401K Match Increase		743,877	743,877
<b>Change in Appropriations</b>	<b>\$ 8,155,589</b>	<b>\$ (713,300)</b>	<b>\$ 7,442,289</b>
<b>FY 2015 Proposed Budget</b>	<b>\$ 472,465,835</b>	<b>\$ 1,698,877</b>	<b>\$ 474,164,712</b>

State Appropriations increased \$7.4 million or 1.6%. Of this \$8.2 million is recurring. Recurring adjustments include \$2.5 million for group health insurance premium increases and \$5.7 million in formula adjustments for outcomes productivity gains. The formula adjustments are limited to UT's three formula units: Chattanooga, Knoxville, and Martin.

UT's FY 2015 appropriations also include funds restricted to specific initiatives. These funds are not available for general operations and are not shown in the previous schedules. The Health Science Center will receive \$3.0 million as matching funds for the second year of a five year partnership with St. Jude's Children's Hospital to recruit pediatric physician scientists. UT will receive \$2.5 million to match a National Science Foundation (NSF) grant for development of an advanced supercomputing center. This is the first year of a five year \$20 million commitment. Another \$2.5 million will be allocated to the Oak Ridge Innovation Voucher program to support the growth of Tennessee-based research and development activities by small- and medium-sized manufacturing firms in partnership with the Oak Ridge National Laboratory.

## FY2015 PROPOSED BUDGET

### Unrestricted E&G Revenues (Continued)

#### Tuition and Fees

Tuition and fee revenues are budgeted to increase \$37.9 million (6.7%), providing funds for faculty and student support positions, institutional scholarships, academic support, campus infrastructure, and fixed cost increases. Nearly half of the new fee revenue projected for UTK is allocated to scholarships, helping keep students' *net* cost of attendance as low as possible. Details can be found in the *Tuition and Fees* section of this document.

#### Tuition and Fee Revenues

TUITION AND FEE REVENUE	FY14 Probable	FY15 Proposed	Change Amount	Change %
In-State	\$ 410,257,185	\$ 434,830,280	\$ 24,573,095	6.0%
Out-of-State	63,362,247	66,825,156	1,462,909	2.2%
Program and Service Fees	55,215,570	59,941,385	4,725,815	8.6%
Extension Enrollment Fees	8,083,396	7,501,917	(581,479)	(7.2)%
Other Student Fees	30,300,409	38,016,487	7,716,078	25.5%
<b>Total Tuition and Fees</b>	<b>\$ 569,218,807</b>	<b>\$ 607,115,225</b>	<b>\$ 37,896,418</b>	<b>6.7%</b>

UT remains competitively priced. Fees at the three formula units – UTC, UTK, and UTM – are all comparable to peer group averages. UT campuses are routinely cited as “best buys” in publications such as *The Princeton Review*, the *Fiske Guide to Colleges*, and *America’s 100 Best College Buys* (a listing compiled by Institutional Research & Evaluation, Inc.).

#### Other Revenues

Other revenues increase \$1.8 million (1.2%), primarily from growing facilities and administration cost recoveries generated by increased grant and contract activity. Revenues from UT’s research enterprise has been critical in offsetting past appropriations reductions and fixed-cost increases.

#### Other Revenues

OTHER REVENUE	FY14 Probable	FY15 Proposed	Change Amount	Change %
Grants & Contracts	\$ 41,885,523	\$ 44,190,219	\$ 2,304,696	5.5 %
Sales & Services	52,424,726	52,458,151	33,425	0.1 %
Other Sources	52,961,622	52,377,663	(583,959)	(1.1) %
<b>Total Revenues</b>	<b>\$ 147,271,871</b>	<b>\$ 149,026,033</b>	<b>\$ 1,754,162</b>	<b>1.2 %</b>

## FY2015 PROPOSED BUDGET

### Unrestricted E&G Expenditures

The FY 2015 budget for unrestricted E&G expenditures and transfers is \$1,233.4 million, a small decrease of \$1.1 million (0.1%) from FY 2014.

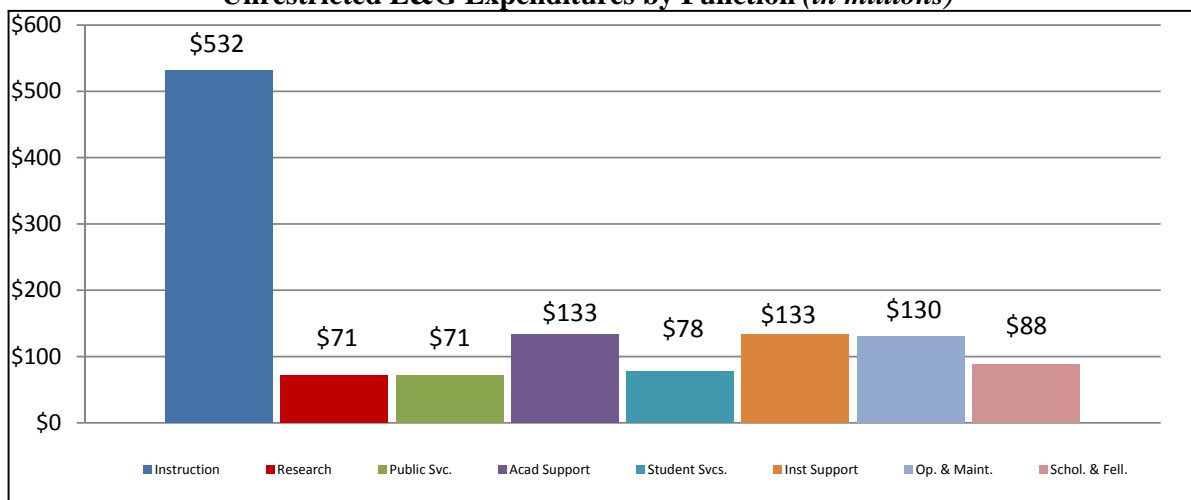
#### Unrestricted E&G Expenditures by Functional Category

(in millions)

Functional Category	FY 2014 Probable	FY 2015 Proposed	Change Amount	Change %
Instruction	\$ 524.8	\$ 531.5	\$ 6.7	1.3%
Research	101.4	70.7	(30.7)	(30.3)%
Public Service	77.6	71.4	(6.2)	(8.0)%
Academic Support	145.1	132.8	(12.3)	(8.5)%
Student Services	77.4	77.9	0.5	0.6%
Institutional Support	145.1	132.6	(12.5)	(8.6)%
Operations and Maintenance of Plant	130.1	130.3	0.2	0.2%
Scholarships and Fellowships	78.2	88.0	9.8	12.5%
<b>Sub-Total E&amp;G Expenditures</b>	<b>\$ 1,279.7</b>	<b>\$ 1,235.2</b>	<b>\$ (44.5)</b>	<b>(3.5)%</b>
Transfers	(45.2)	(1.8)	43.4	96.0%
<b>Total Transfers and E&amp;G Expenditures</b>	<b>\$ 1,234.5</b>	<b>\$ 1,233.4</b>	<b>\$ (1.1)</b>	<b>(0.1)%</b>

Budgeted expenditures are down \$44.5 million (3.5%). Scholarships and Fellowships show the largest increase, followed by Instruction. Student Services and Operation & Maintenance of Plant show little change. All other categories are significantly reduced. The declines are due to non-recurring funds budgeted as expense in FY 2014. Much of these non-recurring funds will be expended during FY 2014, but some will carry forward into the FY 2015 revised budget.

#### Unrestricted E&G Expenditures by Function (in millions)



## FY2015 PROPOSED BUDGET

### Unrestricted E&G Expenditures (Continued)

The relatively large amount of non-recurring funds in FY 2014 probable expense budgets can make comparisons to FY 2015 proposed budgets difficult. The table below shows only base budgets. Base budgets include funds for recurring operations, but do not include non-recurring budgets allocated to one-time projects in FY 2014. Recurring expenditures and transfer budgets increase \$57.2 million (4.9%). The largest dollar increase is in Instruction (\$32.7 million; 6.6%) while the largest percentage increase is for Scholarships & Fellowships (\$10.9 million; 14.3%). These reflect UT's commitment to continue improvements in the student experience and keep the *net* cost of attendance as low as possible. Budgeted expenditures for Student Services and Institutional Support also show relatively large percentage increases. These increases are driven by units with a significant share of funding from tuition and fees. Recurring budgets for units with no student fee revenues, such as IPS and Ag Research, are flat.

### **Base Recurring Unrestricted E&G Expenditures by Functional Category** (in millions)

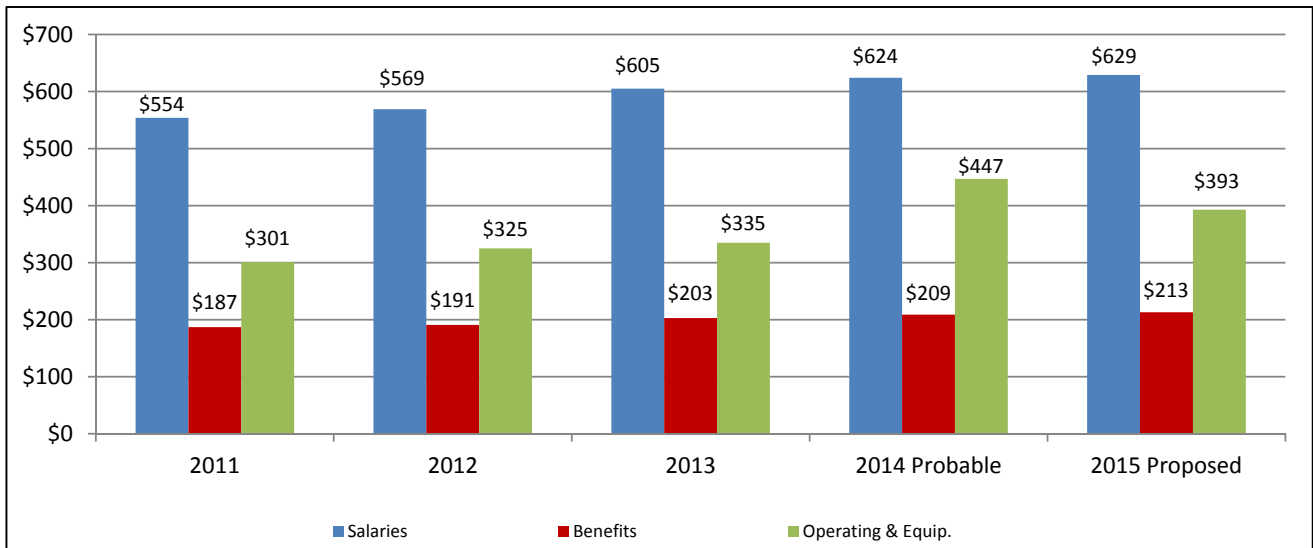
Functional Category	FY 2014 Probable Base	FY 2015 Proposed Base	Change Amount	Change %
Instruction	\$ 495.5	\$ 528.2	\$ 32.7	6.6%
Research	70.7	70.1	(0.6)	(0.8)%
Public Service	70.7	71.4	0.7	1.0%
Academic Support	131.2	132.8	1.6	1.2%
Student Services	74.3	77.8	3.5	4.7%
Institutional Support	127.6	132.5	4.9	3.8%
Operations and Maintenance of Plant	128.1	130.3	2.2	1.7%
Scholarships and Fellowships	76.1	87.0	10.9	14.3%
<b>Sub-Total E&amp;G Expenditures</b>	<b>\$ 1,174.2</b>	<b>\$ 1,230.1</b>	<b>\$ 55.9</b>	<b>4.8%</b>
Transfers	(2.9)	(1.6)	1.3	44.8%
<b>Total Transfers and E&amp;G Expenditures</b>	<b>\$ 1,171.3</b>	<b>\$ 1,228.5</b>	<b>\$ 57.2</b>	<b>4.9%</b>

# FY2015 PROPOSED BUDGET

## Unrestricted E&G Expenditures (Continued)

The chart below shows expenditures by natural classification for five years. Natural classification categories include salaries, benefits, operating expense, and equipment. Operating expense and equipment are combined.

**Unrestricted Expenditures by Natural Classification (in millions)**



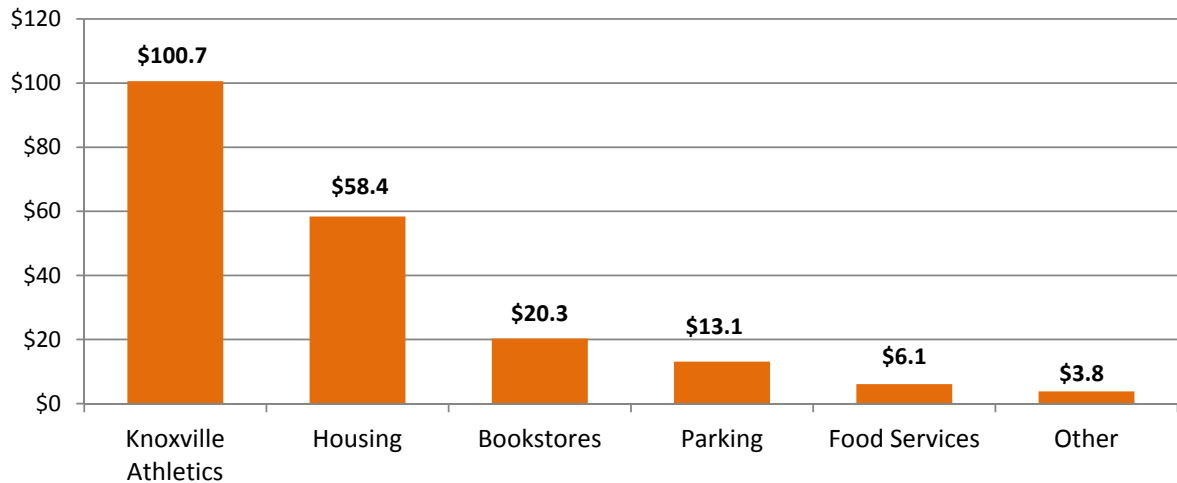
Salaries and benefits are budgeted to grow slightly in FY 2015. There are significant declines in Operating and Equipment budgets, reflecting non-recurring funds budgeted for one-time purposes in FY 2014. Base **recurring** budgets for Operating expenses actually increase \$42.9 million (13.2%) in FY 2015.

## FY2015 PROPOSED BUDGET

### Auxiliary Enterprises

Auxiliary enterprise budgets increase 4.8% from FY 2014 to FY 2015. Auxiliary enterprises furnish services to the students, faculty, and staff. Each is a business that is self funded through sales, fees, and private gifts. These stand alone operations include Housing, Food Services, Bookstores, Parking, and other miscellaneous operations. It also includes UTK Athletics since it is a self-supporting operation. Budgeted FY 2015 unrestricted auxiliary revenues are \$203.1 million. The charts below reveal the relative size of each auxiliary enterprise and the change in revenue and expense from FY 2014 probable to FY 2015 proposed budgets.

**Auxiliary Revenues – FY 2015 Proposed Budget**  
(in millions)



**Auxiliary Summary**  
(in millions)

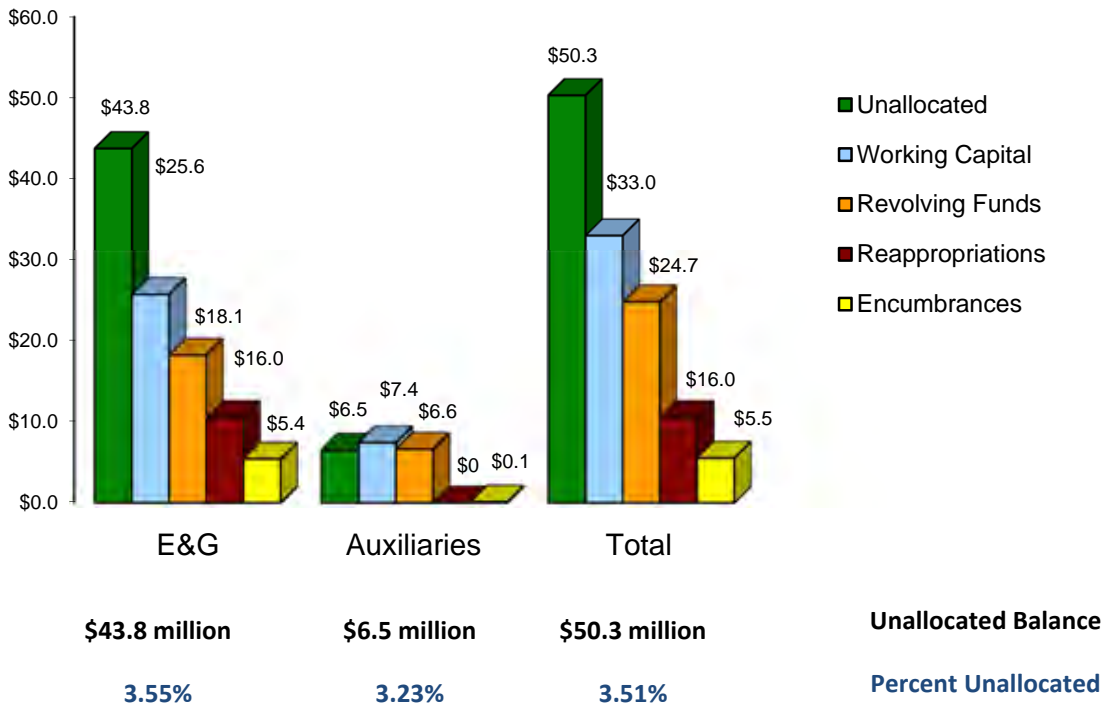
REVENUES AND EXPENSES	FY 2014 PROBABLE	FY 2015 PROPOSED	\$ CHANGE	% CHANGE
<b>Revenues</b>	\$ 193.8	\$ 203.1	\$ 9.3	4.8%
<b>Expense and Transfers</b>				
Expense	146.9	155.2	8.3	5.7%
Transfers	46.9	47.9	1.0	2.1%
<b>Total Expenditures and Transfers</b>	\$ 193.8	\$ 203.1	\$ 9.3	4.8%

# FY2015 PROPOSED BUDGET

## Unrestricted Net Assets

The FY 2014 Probable Budget projects a June 30, 2014 unrestricted E&G unallocated fund balance of \$43.8 million, or 3.55 percent of expenditures. The unrestricted auxiliary enterprises unallocated balance is \$6.5 million, or 3.23 percent of expenditures. Both are within their target ranges. The total 2015 Proposed Budget unallocated balance projected at June 30, 2015, is \$50.3 million, which is 3.51 percent of expenditures.

**FY 2015 Proposed Budget Unrestricted Net Assets (in millions)**





## **FY2015 PROPOSED BUDGET**

---

RESOLUTION  
OF  
THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
JUNE 19, 2014

### **FY 2015 Operating Budget, Student Fees and Tuition, and Compensation Guidelines**

WHEREAS, the Bylaws require the Board of Trustees to approve an annual operating budget for the University; and

WHEREAS, the proposed FY 2015 Educational and General (E&G) budget is balanced and within available resources, as is the budget for Auxiliary Enterprises; and

WHEREAS, the proposed budget complies with all applicable policies and guidelines; and

WHEREAS, the administration needs to be able to respond quickly and effectively to a significant budget shortfall due to a state impoundment of funds or appropriation rescission; and

WHEREAS, mandatory furloughs without pay, reduction of time worked, across-the-board salary reductions, and similar salary-related measures may be required to address budget reductions or a budgetary shortfall; and

WHEREAS, the Bylaws further require the Board of Trustees to approve student fees and tuition;

NOW THEREFORE BE IT RESOLVED that:

1. The FY 2015 proposed operating budget is approved with the understanding that if the General Assembly or the Department of Finance and Administration further alters the FY 2015 appropriations or if changes in estimated resources require, the budget shall be modified accordingly so expenditures will not exceed available resources.
2. The Board of Trustees expressly authorizes the campus, institute and unit administrations, in response to budget reductions or a budgetary shortfall, to implement mandatory furloughs without pay, reduction of time worked, across-the-board salary reductions, and similar salary-related measures during FY 2015, subject to approval by the Executive and Compensation Committee, the President,

## **FY2015 PROPOSED BUDGET**

---

and the Treasurer and Chief Financial Officer in consultation with the General Counsel and Human Resources.

3. The proposed fee and tuition schedules are adopted for FY 2014-15.
4. The proposed FY 2015 salary and wage compensation plan and the FY 2015 Compensation Guidelines are approved.
5. Any additional general salary increases that exceed the FY 2015 salary and wage plan may only be granted upon approval by the Board of Trustees.
6. Any remaining balance of Net Assets may be considered as a reserve for contingencies to be used for:
  - a. Employing additional staff where enrollments and reorganization requirements warrant;
  - b. Modifying departmental operating budgets where changing conditions during the year require funding adjustments;
  - c. Funding to make salary adjustments for personnel as may be necessary during the year in keeping with state and university salary guidelines;
  - d. Improving physical facilities for academic and research departments as opportunities arise;
  - e. Mandated cost increases; and
  - f. State impoundment of funds or appropriations rescission during the budget year.

All such changes shall be reported to the Board in a Revised Budget for the Board's approval.

Adopted by the Board of Trustees, this 19<sup>th</sup> day of June, 2014.

## FY2015 PROPOSED BUDGET

<b>Schedule Number</b>	<b>FY 2015 Proposed Budget Schedule Description</b>	<b>Page</b>
Chart 1	Unrestricted and Restricted Current Funds – Graphical Presentation	16
Chart 2	Unrestricted E&G Current Funds – Graphical Presentation	17
1	State Appropriations Summary	18
2	State Appropriations Five-Year History	19
3	State Appropriations – Access & Diversity	20
4	E&G Unrestricted Net Assets	21
5	Auxiliary Unrestricted Net Assets	22
6	Proposed Budget – Unrestricted Current Funds	23
7	Proposed Budget – Unrestricted and Restricted Current Funds	24
8	Five-Year Summary Comparison – Unrestricted Current Funds	25
9	Five-Year Summary Comparison – Unrestricted and Restricted Current Funds	26
10	Proposed Budget Summary – Unrestricted & Restricted Current Funds	27
11	Natural Classifications – Proposed Budget – Unrestricted Current Funds	28
12	Natural Classifications – Unrestricted Current Funds – Budget Summary	29
13	Auxiliaries – Proposed Budget Summary	30
14	Athletics – Five-Year Summary Comparison	31
15	Budget Summaries – Total University System, Campuses, and Institutes	32
15 - TOT	Total University of Tennessee System	32
15 - UTC	Chattanooga	33
15 - UTK	Knoxville	34
15 - UTM	Martin	35
15 - UTSI	Space Institute	36
15 - HSC	Health Science Center (UTHSC TOTAL, MOSU, COMU, FMU)	37
15 - AG	Agricultural Units (AG TOTAL, AG EXP STAT, AG EXT, VET MED)	41
15 - IPS	Public Service Units (IPS TOTAL, CTAS, MTAS)	45
15 - UWA	System Administration	49

# University of Tennessee System

## FY 2014-15 Revenues Unrestricted and Restricted *(In Millions)*

Tuition & Fees	\$ 607.1
State Appropriations	501.3
Grants & Contracts	566.9
Sales & Services	52.5
Other	117.7
Auxiliaries	<u>203.1</u>
<b>Total Revenue</b>	<b><u>\$ 2,048.6</u></b>

## Fall 2013 Headcount Enrollment

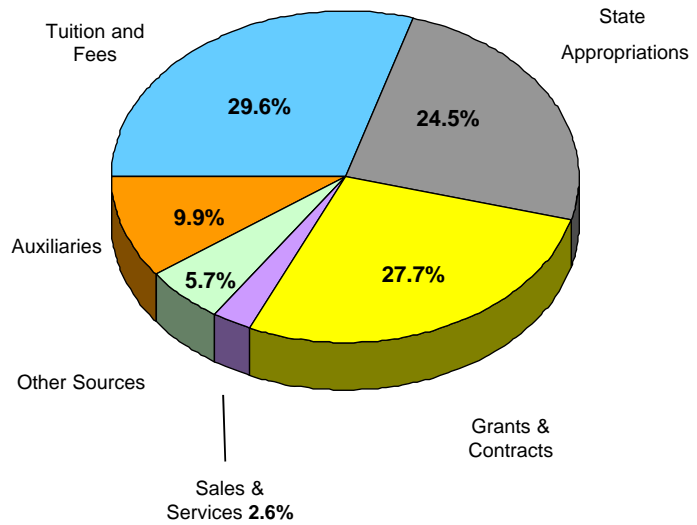
Knoxville	26,707
Chattanooga	11,674
Martin	7,423
Space Institute	112
Health Science Center	2,859
Veterinary Medicine	<u>352</u>
<b>TOTAL</b>	<b><u>49,127</u></b>

## FTE Positions (Unrestricted & Restricted) October 31, 2013

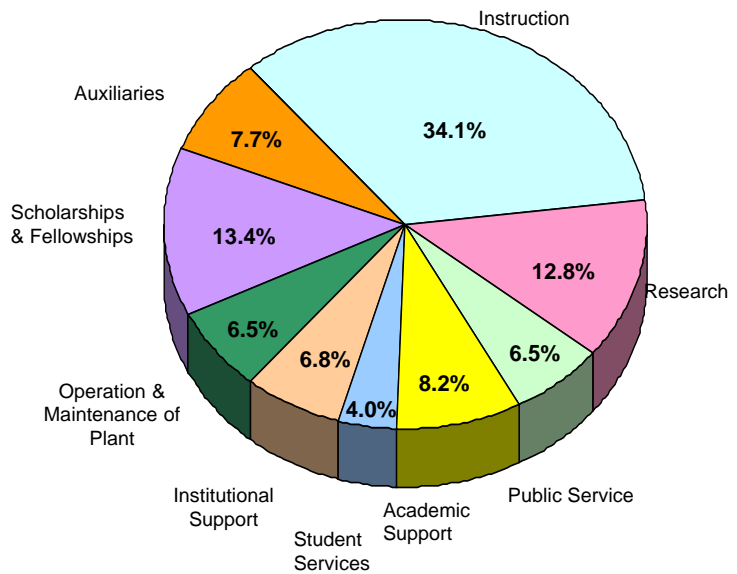
Faculty	4,137
Administrative	830
Professional	4,050
Cler/Tech/Maint	<u>6,052</u>
<b>TOTAL</b>	<b><u>15,069</u></b>

## FY 2014-15 PROPOSED BUDGET Total Unrestricted and Restricted Current Funds

### Revenues



### Expenditures



# University of Tennessee System

## FY 2014-15 Revenues Unrestricted E&G *(In Millions)*

Tuition & Fees	\$ 607.1
State Appropriations	474.2
Grants & Contracts	44.2
Sales & Services	52.5
Other	<u>52.3</u>
<b>Total Revenue</b>	<b><u>\$ 1,230.3</u></b>

## Fall 2013 Headcount Enrollment

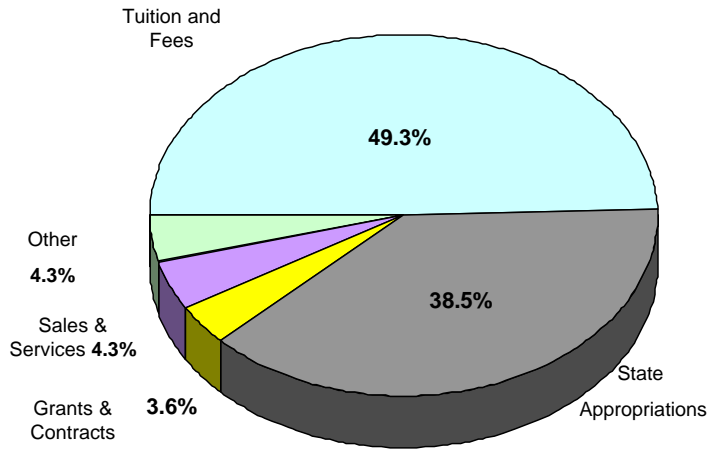
Knoxville	26,707
Chattanooga	11,674
Martin	7,423
Space Institute	112
Health Science Center	2,859
Veterinary Medicine	<u>352</u>
<b>TOTAL</b>	<b><u>49,127</u></b>

## FTE Positions (Unrestricted E&G) October 31, 2013

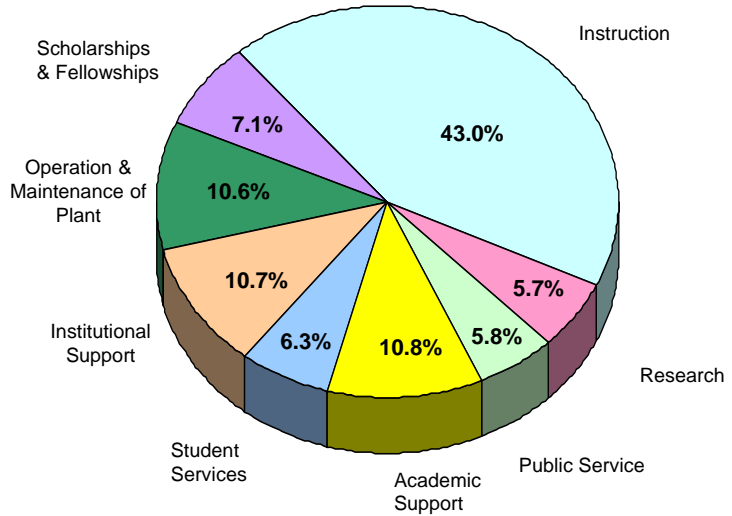
Faculty	3,180
Administrative	676
Professional	1,915
Cler/Tech/Maint	<u>3,890</u>
<b>TOTAL</b>	<b><u>9,661</u></b>

## FY 2014-15 PROPOSED BUDGET Unrestricted E&G Only Total Unrestricted Current Funds

### Revenues



### Expenditures



**University of Tennessee System**  
**FY 2015 Proposed State Appropriations Summary**  
 Unrestricted Current Funds (Educational and General)

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				Amount	%
<b>STATE APPROPRIATIONS</b>					
Chattanooga	\$ 35,523,864	\$ 37,449,681	\$ 38,443,181	\$ 993,500	2.7 %
Knoxville	156,439,550	177,486,343	182,161,643	4,675,300	2.6 %
Martin	26,186,217	26,337,767	27,013,167	675,400	2.6 %
Space Institute	7,700,101	7,995,512	8,011,212	15,700	0.2 %
Health Science Center					
<i>Memphis Other Specialized Units</i>	\$ 67,383,999	\$ 71,880,751	\$ 72,438,940	\$ 558,189	0.8 %
<i>College of Medicine Units</i>	44,934,400	47,116,500	47,116,500		
<i>Family Medicine Units</i>	9,882,100	10,470,800	10,510,600	39,800	0.4 %
Subtotal Health Science Center	\$ 122,200,499	\$ 129,468,051	\$ 130,066,040	\$ 597,989	0.5 %
Agricultural Units					
<i>Agricultural Experiment Station</i>	\$ 24,480,573	\$ 25,579,486	\$ 25,692,086	\$ 112,600	0.4 %
<i>Extension</i>	29,580,016	30,987,767	31,165,967	178,200	0.6 %
<i>College of Veterinary Medicine</i>	15,720,772	16,796,354	16,877,654	81,300	0.5 %
Subtotal Agricultural Units	\$ 69,781,361	\$ 73,363,607	\$ 73,735,707	\$ 372,100	0.5 %
Public Service Units					
<i>Institute for Public Service</i>	\$ 5,058,459	\$ 5,249,898	\$ 5,267,698	\$ 17,800	0.3 %
<i>Municipal Technical Advisory Service</i>	2,737,969	2,892,013	2,903,713	11,700	0.4 %
<i>County Technical Assistance Service</i>	1,650,969	1,758,013	1,768,113	10,100	0.6 %
Subtotal Public Service Units	\$ 9,447,397	\$ 9,899,924	\$ 9,939,524	\$ 39,600	0.4 %
System Administration	4,571,278	4,721,538	4,794,238	72,700	1.5 %
State Appropriations	\$ 431,850,267	\$ 466,722,423	\$ 474,164,712	\$ 7,442,289	1.6 %

Does not include appropriations for Centers of Excellence or Research Initiatives.

**University of Tennessee System****State Appropriations Five Year History**

Unrestricted Current Funds (Educational and General)

	FY 2011 ACTUAL	FY 2012 ACTUAL	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE FY 2011 TO FY 2015	
						AMOUNT	%
<b>STATE APPROPRIATIONS</b>							
Chattanooga	\$ 48,591,279	\$ 35,088,738	\$ 35,523,864	\$ 37,449,681	\$ 38,443,181	\$ (10,148,098)	-20.9%
Knoxville	226,416,954	147,947,704	156,439,550	177,486,343	182,161,643	(44,255,311)	-19.5%
Martin	35,319,979	25,195,511	26,186,217	26,337,767	27,013,167	(8,306,812)	-23.5%
Space Institute	9,013,601	7,392,569	7,700,101	7,995,512	8,011,212	(1,002,389)	-11.1%
Health Science Center							
<i>Memphis Other Specialized Units</i>	\$ 77,546,026	\$ 64,831,856	\$ 67,383,999	\$ 71,880,751	\$ 72,438,940	\$ (5,107,086)	-6.6%
<i>College of Medicine Units</i>	51,848,114	44,093,363	44,934,400	47,116,500	47,116,500	(4,731,614)	-9.1%
<i>Family Medicine Units</i>	11,096,225	9,386,338	9,882,100	10,470,800	10,510,600	(585,625)	-5.3%
Subtotal Health Science Center	\$ 140,490,364	\$ 118,311,558	\$ 122,200,499	\$ 129,468,051	\$ 130,066,040	\$ (10,424,324)	-7.4%
Agricultural Units							
<i>Agricultural Experiment Station</i>	\$ 25,635,108	\$ 23,333,760	\$ 24,480,573	\$ 25,579,486	\$ 25,692,086	\$ 56,978	0.2%
<i>Extension</i>	31,082,557	28,160,380	29,580,016	30,987,767	31,165,967	83,410	0.3%
<i>Veterinary Medicine</i>	17,416,903	14,823,603	15,720,772	16,796,354	16,877,654	(539,249)	-3.1%
Subtotal Agricultural Units	\$ 74,134,568	\$ 66,317,743	\$ 69,781,361	\$ 73,363,607	\$ 73,735,707	\$ (398,861)	-0.5%
Public Service Units							
<i>Institute for Public Service</i>	\$ 4,920,285	\$ 4,368,582	\$ 5,058,459	\$ 5,249,898	\$ 5,267,698	\$ 347,413	7.1%
<i>Municipal Technical Advisory Service</i>	2,925,338	2,571,285	2,737,969	2,892,013	2,903,713	(21,625)	-0.7%
<i>County Technical Assistance Service</i>	1,708,028	1,534,985	1,650,969	1,758,013	1,768,113	60,085	3.5%
Subtotal Public Service Units	\$ 9,553,651	\$ 8,474,852	\$ 9,447,397	\$ 9,899,924	\$ 9,939,524	\$ 385,873	4.0%
System Administration	4,384,283	4,614,770	4,571,278	4,721,538	4,794,238	409,955	9.4%
Total State Appropriations	\$ 547,904,679	\$ 413,343,445	\$ 431,850,267	\$ 466,722,423	\$ 474,164,712	\$ (73,739,967)	-13.5%

Does not include appropriations for Centers of Excellence and Research Initiatives or ARRA appropriations spent or budgeted on plant fund projects.

**University of Tennessee System**  
**FY 2015 Proposed State Appropriations Summary**  
 Access & Diversity (Educational and General)

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				Amount	%
<b>STATE APPROPRIATIONS (Access &amp; Diversity)</b>					
Chattanooga	\$ 632,464	\$ 648,281	\$ 648,281		
Knoxville	2,214,950	2,270,343	2,270,343		
Martin	533,817	547,167	547,167		
Space Institute	84,401	86,512	86,512		
Health Science Center					
<i>Memphis Other Specialized Units</i>	\$ 1,467,332	\$ 1,504,028	\$ 1,504,028		
<i>College of Medicine Units</i>					
<i>Family Medicine Units</i>					
Subtotal Health Science Center	\$ 1,467,332	\$ 1,504,028	\$ 1,504,028		
Agricultural Units					
<i>Agricultural Experiment Station</i>	\$ 108,473	\$ 111,186	\$ 111,186		
<i>Extension</i>	106,016	108,667	108,667		
<i>College of Veterinary Medicine</i>	311,172	318,954	318,954		
Subtotal Agricultural Units	\$ 525,661	\$ 538,807	\$ 538,807		
Public Service Units					
<i>Institute for Public Service</i>	\$ 13,559	\$ 13,898	\$ 13,898		
<i>Municipal Technical Advisory Service</i>	1,769	1,813	1,813		
<i>County Technical Assistance Service</i>	1,769	1,813	1,813		
Subtotal Public Service Units	\$ 17,097	\$ 17,524	\$ 17,524		
System Administration	74,378	76,238	76,238		
Total State Appropriations - Access & Diversity	\$ 5,550,100	\$ 5,688,900	\$ 5,688,900		



**University of Tennessee System**  
**Educational and General Unrestricted Net Assets**

	Total System	Chattanooga	Knoxville	Martin	Space Institute	Health Science Center	Institute of Agriculture	Institute for Public Service	System Administration
<b>FY 2012-13 ACTUAL</b>									
<b>Estimated Net Assets at Beginning of Year</b>	\$ 145,893,226	\$ 8,567,727	\$ 28,852,251	\$ 9,257,572	\$ 511,437	\$ 51,258,134	\$ 19,826,910	\$ 1,449,693	\$ 26,169,502
Operating Funds									
Revenue	\$ 1,143,156,781	\$ 127,974,032	\$ 513,650,550	\$ 86,575,908	\$ 9,750,584	\$ 247,913,602	\$ 122,561,132	\$ 16,450,311	\$ 18,280,662
Less: Expenditures and Transfers	(1,131,358,644)	(128,023,972)	(516,116,447)	(87,306,819)	(9,755,043)	(230,745,771)	(119,573,183)	(16,806,725)	(23,030,684)
Carryover Funds To/(From) Net Assets	\$ 11,798,137	\$ (49,940)	\$ (2,465,897)	\$ (730,911)	\$ (4,459)	\$ 17,167,831	\$ 2,987,949	\$ (356,414)	\$ (4,750,022)
<b>Net Assets Detail:</b>									
<b>ALLOCATED</b>									
Working Capital	\$ 25,696,185	\$ 2,815,312	\$ 6,116,269	\$ 1,356,161	\$ 30,821	\$ 5,850,208	\$ 5,564,625	\$ 70,685	\$ 3,892,104
Revolving Funds	18,079,674		459,848			2,182,042			15,437,784
Encumbrances	5,994,570	2,474	1,750,075	414,347		2,504,881	1,322,793		
Unexpended Gifts									
Reserve for Reappropriations	65,483,404			3,000,000		48,724,440	12,210,000	\$ 350,000	1,198,964
Total Allocated Net Assets	\$ 115,253,833	\$ 2,817,786	\$ 8,326,192	\$ 4,770,508	\$ 30,821	\$ 59,261,571	\$ 19,097,418	\$ 420,685	\$ 20,528,852
<b>UNALLOCATED</b>	\$ 42,437,530	\$ 5,700,000	\$ 18,060,163	\$ 3,756,153	\$ 476,157	\$ 9,164,394	\$ 3,717,441	\$ 672,594	\$ 890,628
<b>Total Net Assets</b>	\$ 157,691,363	\$ 8,517,786	\$ 26,386,355	\$ 8,526,661	\$ 506,978	\$ 68,425,965	\$ 22,814,859	\$ 1,093,279	\$ 21,419,480
Percent Unallocated of Expend. & Transfers *	3.75%	4.45%	3.50%	4.30%	4.88%	3.97%	3.11%	4.00%	2.50%
* Recommended percent unallocated of expenditures and transfers is 2% to 5%. For System Administration, transfers-in for system charge is excluded from this calculation.									
<b>FY 2013-14 PROBABLE BUDGET</b>									
<b>Estimated Net Assets at Beginning of Year</b>	\$ 157,691,363	\$ 8,517,786	\$ 26,386,355	\$ 8,526,661	\$ 506,978	\$ 68,425,965	\$ 22,814,859	\$ 1,093,279	\$ 21,419,480
Operating Funds									
Revenue	\$ 1,183,213,101	\$ 135,083,487	\$ 545,505,865	\$ 88,307,556	\$ 9,840,262	\$ 242,086,474	\$ 124,194,867	\$ 17,110,552	\$ 21,084,038
Less: Expenditures and Transfers	(1,234,461,556)	(135,016,907)	(545,505,865)	(88,307,556)	(9,840,262)	(284,006,935)	(133,397,443)	(17,183,963)	(21,202,625)
Carryover Funds To/(From) Net Assets	\$ (51,248,455)	\$ 66,580	\$ -	\$ -	\$ -	\$ (41,920,461)	\$ (9,202,576)	\$ (73,411)	\$ (118,587)
<b>Net Assets Detail:</b>									
<b>ALLOCATED</b>									
Working Capital	\$ 28,393,458	\$ 3,203,156	\$ 8,448,430	\$ 1,356,161	\$ 78,773	\$ 5,850,209	\$ 5,564,625		\$ 3,892,104
Revolving Funds	18,264,336		644,510			2,182,042			15,437,784
Encumbrances	5,565,827	25,571	1,927,537	414,347		1,875,579	1,322,793		
Unexpended Gifts									
Reserve for Reappropriations	13,434,747			2,400,000		7,433,281	2,851,466	\$ 350,000	400,000
Total Allocated Net Assets	\$ 65,658,368	\$ 3,228,727	\$ 11,020,477	\$ 4,170,508	\$ 78,773	\$ 17,341,111	\$ 9,738,884	\$ 350,000	\$ 19,729,888
<b>UNALLOCATED</b>	\$ 40,784,540	\$ 5,355,639	\$ 15,365,878	\$ 4,356,153	\$ 428,205	\$ 9,164,393	\$ 3,873,399	\$ 669,868	\$ 1,571,005
<b>Total Net Assets</b>	\$ 106,442,908	\$ 8,584,366	\$ 26,386,355	\$ 8,526,661	\$ 506,978	\$ 26,505,504	\$ 13,612,283	\$ 1,019,868	\$ 21,300,893
Percent Unallocated of Expend. & Transfers *	3.30%	3.97%	2.82%	4.93%	4.35%	3.23%	2.90%	3.90%	4.56%
* Recommended percent unallocated of expenditures and transfers is 2% to 5%. For System Administration, transfers-in for system charge is excluded from this calculation.									
<b>FY 2014-15 PROPOSED BUDGET</b>									
<b>Estimated Net Assets at Beginning of Year</b>	\$ 106,442,908	\$ 8,584,366	\$ 26,386,355	\$ 8,526,661	\$ 506,978	\$ 26,505,504	\$ 13,612,283	\$ 1,019,868	\$ 21,300,893
Operating Funds									
Revenue	\$ 1,230,305,970	\$ 142,520,554	\$ 576,185,148	\$ 92,294,585	\$ 9,571,205	\$ 246,055,045	\$ 124,973,622	\$ 17,049,420	\$ 21,656,391
Less: Expenditures and Transfers	(1,233,360,642)	(142,453,974)	(576,185,148)	(92,294,585)	(9,571,205)	(246,055,045)	(127,879,144)	(17,149,263)	(21,772,278)
Carryover Funds To/(From) Net Assets	\$ (3,054,672)	\$ 66,580	\$ -	\$ -	\$ -	\$ -	\$ (2,905,522)	\$ (99,843)	\$ (115,887)
<b>Net Assets Detail:</b>									
<b>ALLOCATED</b>									
Working Capital	\$ 25,625,501	\$ 2,815,312	\$ 6,116,269	\$ 1,356,161	\$ 30,821	\$ 5,850,209	\$ 5,564,625		\$ 3,892,104
Revolving Funds	18,079,674		459,848			2,182,042			15,437,784
Encumbrances	5,365,268	2,474	1,750,075	414,347		1,875,579	1,322,793		
Unexpended Gifts									
Reserve for Reappropriations	10,483,281			2,400,000		7,433,281		\$ 250,000	400,000
Total Allocated Net Assets	\$ 59,553,724	\$ 2,817,786	\$ 8,326,192	\$ 4,170,508	\$ 30,821	\$ 17,341,111	\$ 6,887,418	\$ 250,000	\$ 19,729,888
<b>UNALLOCATED</b>	\$ 43,834,512	\$ 5,833,160	\$ 18,060,163	\$ 4,356,153	\$ 476,157	\$ 9,164,393	\$ 3,819,343	\$ 670,025	\$ 1,455,118
<b>Total Net Assets</b>	\$ 103,388,236	\$ 8,650,946	\$ 26,386,355	\$ 8,526,661	\$ 506,978	\$ 26,505,504	\$ 10,706,761	\$ 920,025	\$ 21,185,006
Percent Unallocated of Expend. & Transfers *	3.55%	4.09%	3.13%	4.72%	4.97%	3.72%	2.99%	3.91%	4.15%
* Recommended percent unallocated of expenditures and transfers is 2% to 5%. For System Administration, transfers-in for system charge is excluded from this calculation.									

## University of Tennessee System Auxiliary Unrestricted Net Assets

	Total System	Chattanooga	Knoxville	Martin	Space Institute	Health Science Center
<b>FY 2012-13 ACTUAL</b>						
<b>Estimated Net Assets at Beginning of Year</b>	\$ 14,897,522	\$ 1,204,386	\$ 12,617,165	\$ 941,133	\$ 22,579	\$ 112,259
Operating Funds						
Revenue	\$ 199,171,124	\$ 12,457,401	\$ 173,429,399	\$ 10,830,742	\$ 206,244	\$ 2,247,338
Less: Expenditures and Transfers	(193,507,343)	(12,393,610)	(167,674,306)	(10,975,800)	(216,159)	(2,247,468)
Carryover Funds To/(From) Net Assets	\$ 5,663,781	\$ 63,791	\$ 5,755,093	\$ (145,058)	\$ (9,915)	\$ (130)
<b>ALLOCATED</b>						
Working Capital	\$ 7,302,481	\$ 818,021	\$ 6,198,724	\$ 257,035	\$ 5,428	\$ 23,273
Revolving Funds	6,633,689		6,633,689			
Encumbrances	31,723			31,518		205
Total Allocated Net Assets	\$ 13,967,893	\$ 818,021	\$ 12,832,413	\$ 288,553	\$ 5,428	\$ 23,478
<b>UNALLOCATED</b>	\$ 6,593,410	\$ 450,156	\$ 5,539,845	\$ 507,522	\$ 7,236	\$ 88,651
<b>Total Net Assets</b>	\$ 20,561,303	\$ 1,268,177	\$ 18,372,258	\$ 796,075	\$ 12,664	\$ 112,129
<i>Percent Unallocated of Expend. &amp; Transfers *</i>	3.41%	3.63%	3.30%	4.62%	3.35%	3.94%
<b>FY 2013-14 PROBABLE BUDGET</b>						
<b>Estimated Net Assets at Beginning of Year</b>	\$ 20,561,303	\$ 1,268,177	\$ 18,372,258	\$ 796,075	\$ 12,664	\$ 112,129
Operating Funds						
Revenue	\$ 193,233,132	\$ 8,451,931	\$ 171,291,952	\$ 11,436,671	\$ 197,000	\$ 1,855,578
Less: Expenditures and Transfers	(193,233,132)	(8,451,931)	(171,291,952)	(11,436,671)	(197,000)	(1,855,578)
Carryover Funds To/(From) Net Assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>ALLOCATED</b>						
Working Capital	\$ 7,336,464	\$ 849,638	\$ 6,198,724	\$ 257,035	\$ 7,794	\$ 23,273
Revolving Funds	6,633,689		6,633,689			
Encumbrances	31,723			31,518		205
Total Allocated Net Assets	\$ 14,001,876	\$ 849,638	\$ 12,832,413	\$ 288,553	\$ 7,794	\$ 23,478
<b>UNALLOCATED</b>	\$ 6,559,427	\$ 418,539	\$ 5,539,845	\$ 507,522	\$ 4,870	\$ 88,651
<b>Total Net Assets</b>	\$ 20,561,303	\$ 1,268,177	\$ 18,372,258	\$ 796,075	\$ 12,664	\$ 112,129
<i>Percent Unallocated of Expend. &amp; Transfers *</i>	3.39%	4.95%	3.23%	4.44%	2.47%	4.78%
<b>FY 2014-15 PROPOSED BUDGET</b>						
<b>Estimated Net Assets at Beginning of Year</b>	\$ 20,561,303	\$ 1,268,177	\$ 18,372,258	\$ 796,075	\$ 12,664	\$ 112,129
Operating Funds						
Revenue	\$ 202,463,436	\$ 9,404,266	\$ 179,640,457	\$ 11,449,771	\$ 170,691	\$ 1,798,251
Less: Expenditures and Transfers	(202,463,436)	(9,404,266)	(179,640,457)	(11,449,771)	(170,691)	(1,798,251)
Carryover Funds To/(From) Net Assets	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>ALLOCATED</b>						
Working Capital	\$ 7,355,913	\$ 871,453	\$ 6,198,724	\$ 257,035	\$ 5,428	\$ 23,273
Revolving Funds	6,633,689		6,633,689			
Encumbrances	31,723			31,518		205
Total Allocated Net Assets	\$ 14,021,325	\$ 871,453	\$ 12,832,413	\$ 288,553	\$ 5,428	\$ 23,478
<b>UNALLOCATED</b>	\$ 6,539,979	\$ 396,724	\$ 5,539,846	\$ 507,522	\$ 7,236	\$ 88,651
<b>Total Net Assets</b>	\$ 20,561,304	\$ 1,268,177	\$ 18,372,259	\$ 796,075	\$ 12,664	\$ 112,129
<i>Percent Unallocated of Expend. &amp; Transfers *</i>	3.23%	4.22%	3.08%	4.43%	4.24%	4.93%

\* Recommended percent unallocated of expenditures and transfers is 3% to 5%

**University of Tennessee System**  
**FY 2015 Proposed Budget Summary**  
**Current Funds Revenues, Expenditures, and Transfers - UNRESTRICTED**

	Total System	Chattanooga	Knoxville	Martin	Space Institute	Health Science Center	Institute of Agriculture	Institute for Public Service	System Administration
<b>EDUCATIONAL AND GENERAL</b>									
<b>Revenues</b>									
Tuition & Fees	\$ 607,115,225	\$ 98,598,645	\$ 356,682,954	\$ 61,160,563	\$ 1,340,255	\$ 77,570,466	\$ 11,762,342		
State Appropriations	474,164,712	38,443,181	182,161,643	27,013,167	8,011,212	130,066,040	73,735,707	\$ 9,939,524	\$ 4,794,238
Grants & Contracts	44,190,219	453,856	22,560,000	310,500	204,816	16,256,491	4,179,794	224,762	
Sales & Service	52,458,151	4,787,672	4,573,307	3,153,555		19,595,582	20,348,035		
Other Sources	52,377,663	237,200	10,207,244	656,800	14,922	2,566,466	14,947,744	6,885,134	16,862,153
<b>Total Revenues</b>	<b>\$ 1,230,305,970</b>	<b>\$ 142,520,554</b>	<b>\$ 576,185,148</b>	<b>\$ 92,294,585</b>	<b>\$ 9,571,205</b>	<b>\$ 246,055,045</b>	<b>\$ 124,973,622</b>	<b>\$ 17,049,420</b>	<b>\$ 21,656,391</b>
<b>Expenditures and Transfers</b>									
Instruction	\$ 531,510,941	\$ 62,041,250	\$ 260,510,955	\$ 42,235,809	\$ 4,280,675	\$ 129,966,189	\$ 32,476,063		
Research	70,683,096	1,890,428	21,688,036	297,077	961,548	8,034,970	37,811,037		
Public Service	71,415,142	2,310,559	10,087,503	560,112		40,321	43,046,194	\$ 15,370,453	
Academic Support	132,809,206	9,817,880	66,094,541	11,300,921	317,852	37,580,817	7,450,884	246,311	
Student Services	77,859,611	22,053,970	39,259,236	10,817,887	33,375	5,695,143			
Institutional Support	132,567,485	11,070,543	42,547,834	5,960,631	1,429,929	22,891,964	2,647,127	1,175,307	\$ 44,844,150
Op/Maint Physical Plant	130,334,008	18,996,112	68,584,759	11,298,387	1,912,428	26,286,698	3,255,624		
Scholarships & Fellowships	87,972,283	12,322,509	58,032,268	8,411,712	285,112	8,873,624	47,058		
<b>Subtotal Expenditures</b>	<b>\$ 1,235,151,772</b>	<b>\$ 140,503,251</b>	<b>\$ 566,805,132</b>	<b>\$ 90,882,536</b>	<b>\$ 9,220,919</b>	<b>\$ 239,369,726</b>	<b>\$ 126,733,987</b>	<b>\$ 16,792,071</b>	<b>\$ 44,844,150</b>
Mandatory Transfers	6,942,735	774,165	1,641,251	714,700		3,677,619			135,000
Non-Mandatory Transfers	(8,733,865)	1,176,558	7,738,765	697,349	350,286	3,007,700	1,145,157	357,192	(23,206,872)
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,233,360,642</b>	<b>\$ 142,453,974</b>	<b>\$ 576,185,148</b>	<b>\$ 92,294,585</b>	<b>\$ 9,571,205</b>	<b>\$ 246,055,045</b>	<b>\$ 127,879,144</b>	<b>\$ 17,149,263</b>	<b>\$ 21,772,278</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (3,054,672)</b>	<b>\$ 66,580</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (2,905,522)</b>	<b>\$ (99,843)</b>	<b>\$ (115,887)</b>
<b>AUXILIARIES</b>									
<b>Revenues</b>	\$ 202,463,436	\$ 9,404,266	\$ 179,640,457	\$ 11,449,771	\$ 170,691	\$ 1,798,251			
<b>Expenditures and Transfers</b>									
Expenditures	\$ 154,556,263	\$ 5,601,297	\$ 139,643,733	\$ 7,607,200	\$ 270,691	\$ 1,433,342			
Mandatory Transfers	29,658,449	1,948,402	24,164,986	3,180,152		364,909			
Non-Mandatory Transfers	18,248,724	1,854,567	15,831,738	662,419	(100,000)				
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 202,463,436</b>	<b>\$ 9,404,266</b>	<b>\$ 179,640,457</b>	<b>\$ 11,449,771</b>	<b>\$ 170,691</b>	<b>\$ 1,798,251</b>			
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>			
<b>TOTALS</b>									
<b>Revenues</b>	\$ 1,432,769,406	\$ 151,924,820	\$ 755,825,605	\$ 103,744,356	\$ 9,741,896	\$ 247,853,296	\$ 124,973,622	\$ 17,049,420	\$ 21,656,391
<b>Expenditures and Transfers</b>									
Expenditures	\$ 1,389,708,035	\$ 146,104,548	\$ 706,448,865	\$ 98,489,736	\$ 9,491,610	\$ 240,803,068	\$ 126,733,987	\$ 16,792,071	\$ 44,844,150
Mandatory Transfers	36,601,184	2,722,567	25,806,237	3,894,852		4,042,528			135,000
Non-Mandatory Transfers	9,514,859	3,031,125	23,570,503	1,359,768	250,286	3,007,700	1,145,157	357,192	(23,206,872)
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,435,824,078</b>	<b>\$ 151,858,240</b>	<b>\$ 755,825,605</b>	<b>\$ 103,744,356</b>	<b>\$ 9,741,896</b>	<b>\$ 247,853,296</b>	<b>\$ 127,879,144</b>	<b>\$ 17,149,263</b>	<b>\$ 21,772,278</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (3,054,672)</b>	<b>\$ 66,580</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (2,905,522)</b>	<b>\$ (99,843)</b>	<b>\$ (115,887)</b>

## University of Tennessee System FY 2015 Proposed Budget Summary

Current Funds Revenues, Expenditures and Transfers - UNRESTRICTED AND RESTRICTED

	Total System	Chattanooga	Knoxville	Martin	Space Institute	Health Science Center	Institute of Agriculture	Institute for Public Service	System Administration
<b>EDUCATIONAL AND GENERAL</b>									
<b>Revenues</b>									
Tuition & Fees	\$ 607,115,225	\$ 98,598,645	\$ 356,682,954	\$ 61,160,563	\$ 1,340,255	\$ 77,570,466	\$ 11,762,342		
State Appropriations	501,302,444	39,235,242	192,850,443	27,317,665	8,865,314	138,601,976	74,698,042	\$ 9,939,524	\$ 9,794,238
Grants & Contracts	566,899,812	45,382,749	237,220,000	34,067,500	874,816	200,885,491	42,814,494	4,404,762	1,250,000
Sales & Service	52,458,151	4,787,672	4,573,307	3,153,555		19,595,582	20,348,035		
Other Sources	117,724,272	9,256,404	39,457,244	3,756,988	238,922	20,716,194	19,467,853	7,368,514	17,462,153
<b>Total Revenues</b>	<b>\$ 1,845,499,904</b>	<b>\$ 197,260,712</b>	<b>\$ 830,783,948</b>	<b>\$ 129,456,271</b>	<b>\$ 11,319,307</b>	<b>\$ 457,369,709</b>	<b>\$ 169,090,766</b>	<b>\$ 21,712,800</b>	<b>\$ 28,506,391</b>
<b>Expenditures and Transfers</b>									
Instruction	\$ 683,770,300	\$ 67,629,302	\$ 270,260,955	\$ 43,942,595	\$ 4,455,675	\$ 263,466,189	\$ 34,007,584		\$ 8,000,000
Research	256,998,637	5,205,753	129,446,036	539,077	2,511,650	53,234,970	60,379,151		\$ 5,682,000
Public Service	131,019,881	3,118,005	33,387,503	2,377,112		9,546,621	61,956,807	\$ 20,033,833	600,000
Academic Support	164,330,962	12,335,617	77,294,541	11,381,921	323,852	55,181,917	7,566,803	246,311	
Student Services	79,528,402	22,855,761	39,679,236	11,266,887	33,375	5,693,143			
Institutional Support	135,198,070	11,298,007	42,687,834	6,399,631	1,432,929	23,608,564	3,231,648	1,175,307	45,364,150
Op/Maint Physical Plant	130,801,908	19,021,112	69,014,759	11,299,287	1,912,428	26,286,698	3,267,624		
Scholarships/Fellowships	268,173,011	53,447,981	159,633,068	40,837,712	299,112	13,573,624	341,514		40,000
<b>Subtotal Expenditures</b>	<b>\$ 1,849,821,171</b>	<b>\$ 194,911,538</b>	<b>\$ 821,403,932</b>	<b>\$ 128,044,222</b>	<b>\$ 10,969,021</b>	<b>\$ 450,591,726</b>	<b>\$ 170,751,131</b>	<b>\$ 21,455,451</b>	<b>\$ 51,694,150</b>
Mandatory Transfers	6,942,735	774,165	1,641,251	714,700		3,677,619			135,000
Non-Mandatory Transfers	(8,733,865)	1,176,558	7,738,765	697,349	350,286	3,007,700	1,145,157	357,192	(23,206,872)
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,848,030,041</b>	<b>\$ 196,862,261</b>	<b>\$ 830,783,948</b>	<b>\$ 129,456,271</b>	<b>\$ 11,319,307</b>	<b>\$ 457,277,045</b>	<b>\$ 171,896,288</b>	<b>\$ 21,812,643</b>	<b>\$ 28,622,278</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (2,530,137)</b>	<b>\$ 398,451</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 92,664</b>	<b>\$ (2,805,522)</b>	<b>\$ (99,843)</b>	<b>\$ (115,887)</b>
<b>AUXILIARIES</b>									
<b>Revenues</b>	\$ 203,083,436	\$ 9,404,266	\$ 180,260,457	\$ 11,449,771	\$ 170,691	\$ 1,798,251			
<b>Expenditures &amp; Transfers</b>									
Expenditures	155,176,263	5,601,297	140,263,733	7,607,200	270,691	1,433,342			
Mandatory Transfers	29,658,449	1,948,402	24,164,986	3,180,152		364,909			
Non-Mandatory Transfers	18,248,724	1,854,567	15,831,738	662,419	(100,000)				
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 203,083,436</b>	<b>\$ 9,404,266</b>	<b>\$ 180,260,457</b>	<b>\$ 11,449,771</b>	<b>\$ 170,691</b>	<b>\$ 1,798,251</b>			
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>			
<b>TOTALS</b>									
<b>Revenues</b>	\$ 2,048,583,340	\$ 206,664,978	\$ 1,011,044,405	\$ 140,906,042	\$ 11,489,998	\$ 459,167,960	\$ 169,090,766	\$ 21,712,800	\$ 28,506,391
<b>Expenditures &amp; Transfers</b>									
Expenditures	\$ 2,004,997,434	\$ 200,512,835	\$ 961,667,665	\$ 135,651,422	\$ 11,239,712	\$ 452,025,068	\$ 170,751,131	\$ 21,455,451	\$ 51,694,150
Mandatory Transfers	36,601,184	2,722,567	25,806,237	3,894,852		4,042,528			135,000
Non-Mandatory Transfers	9,514,859	3,031,125	23,570,503	1,359,768	250,286	3,007,700	1,145,157	357,192	(23,206,872)
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 2,051,113,477</b>	<b>\$ 206,266,527</b>	<b>\$ 1,011,044,405</b>	<b>\$ 140,906,042</b>	<b>\$ 11,489,998</b>	<b>\$ 459,075,296</b>	<b>\$ 171,896,288</b>	<b>\$ 21,812,643</b>	<b>\$ 28,622,278</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ (2,530,137)</b>	<b>\$ 398,451</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 92,664</b>	<b>\$ (2,805,522)</b>	<b>\$ (99,843)</b>	<b>\$ (115,887)</b>

**University of Tennessee System**

**Five Year Budget Summary Comparison**

Current Funds Revenues, Expenditures and Transfers - UNRESTRICTED

	FY 2011 ACTUAL	FY 2012 ACTUAL	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	FY 2011 to FY 2015	
						AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>							
<b>Revenues</b>							
Tuition & Fees	\$ 448,985,458	\$ 503,560,202	\$ 533,809,389	\$ 569,218,807	\$ 607,115,225	\$ 158,129,767	35.20 %
State Appropriations	547,904,679	413,343,445	431,850,267	466,722,423	474,164,712	(73,739,967)	(13.50) %
Grants & Contracts	48,030,770	49,090,830	49,542,582	41,885,523	44,190,219	(3,840,551)	(8.00) %
Sales & Service	53,401,514	55,117,066	57,856,330	52,424,726	52,458,151	(943,363)	(1.80) %
Other Sources	54,598,020	54,833,187	70,098,212	52,961,622	52,377,663	(2,220,357)	(4.10) %
Total Revenues	\$ 1,152,920,441	\$ 1,075,944,729	\$ 1,143,156,780	\$ 1,183,213,101	\$ 1,230,305,970	\$ 77,385,529	6.70 %
<b>Expenditures and Transfers</b>							
Instruction	\$ 416,108,737	\$ 427,881,549	\$ 455,174,572	\$ 524,772,289	\$ 531,510,941	\$ 115,402,204	27.70 %
Research	71,584,378	81,768,794	86,634,810	101,368,391	70,683,096	(901,282)	(1.30) %
Public Service	67,160,007	65,533,281	70,315,078	77,620,795	71,415,142	4,255,135	6.30 %
Academic Support	123,213,093	118,367,805	130,694,151	145,142,925	132,809,206	9,596,113	7.80 %
Student Services	76,356,504	82,788,622	84,118,134	77,446,461	77,859,611	1,503,107	2.00 %
Institutional Support	107,386,429	122,428,550	122,698,075	145,138,968	132,567,485	25,181,056	23.40 %
Op/Maint Physical Plant	118,655,716	117,451,028	118,493,896	130,080,788	130,334,008	11,678,292	9.80 %
Scholarships & Fellowships	61,243,822	68,903,135	74,479,780	78,165,076	87,972,283	26,728,461	43.60 %
Subtotal Expenditures	\$ 1,041,708,686	\$ 1,085,122,764	\$ 1,142,608,497	\$ 1,279,735,693	\$ 1,235,151,772	\$ 193,443,086	18.60 %
Mandatory Transfers	7,226,436	7,159,721	6,273,292	7,268,997	6,942,735	(283,701)	(3.90) %
Non-Mandatory Transfers	93,802,280	(3,050,514)	(17,523,145)	(52,543,134)	(8,733,865)	(102,536,145)	(109.30) %
Total Expenditures & Transfers	\$ 1,142,737,402	\$ 1,089,231,971	\$ 1,131,358,644	\$ 1,234,461,556	\$ 1,233,360,642	\$ 90,623,240	7.90 %
<b>Fund Balance Addition/(Reduction)</b>	\$ 10,183,039	\$ (13,287,242)	\$ 11,798,136	\$ (51,248,455)	\$ (3,054,672)		
<b>AUXILIARIES</b>							
<b>Revenues</b>	\$ 197,856,791	\$ 199,764,806	\$ 199,171,124	\$ 193,233,132	\$ 202,463,436	\$ 4,606,645	2.30 %
<b>Expenditures and Transfers</b>							
Expenditures	\$ 141,182,612	\$ 142,431,466	\$ 148,395,318	\$ 146,275,075	\$ 154,556,263	\$ 13,373,651	9.50 %
Mandatory Transfers	23,923,901	26,171,577	27,857,526	27,030,511	29,658,449	5,734,548	24.00 %
Non-Mandatory Transfers	31,328,085	37,636,923	17,254,499	19,927,546	18,248,724	(13,079,361)	(41.70) %
Total Expenditures & Transfers	\$ 196,434,598	\$ 206,239,966	\$ 193,507,343	\$ 193,233,132	\$ 202,463,436	\$ 6,028,838	3.10 %
<b>Fund Balance Addition/(Reduction)</b>	\$ 1,422,193	\$ (6,475,161)	\$ 5,663,782	\$ -	\$ -		
<b>TOTALS</b>							
<b>Revenues</b>	\$ 1,350,777,232	\$ 1,275,709,534	\$ 1,342,327,905	\$ 1,376,446,233	\$ 1,432,769,406	\$ 81,992,174	6.10 %
<b>Expenditures and Transfers</b>							
Expenditures	\$ 1,182,891,298	\$ 1,227,554,230	\$ 1,291,003,814	\$ 1,426,010,768	\$ 1,389,708,035	\$ 206,816,737	17.50 %
Mandatory Transfers	31,150,337	33,331,298	34,130,818	34,299,508	36,601,184	5,450,847	17.50 %
Non-Mandatory Transfers	125,130,365	34,586,409	(268,646)	(32,615,588)	9,514,859	(115,615,506)	(92.40) %
Total Expenditures & Transfers	\$ 1,339,172,000	\$ 1,295,471,937	\$ 1,324,865,986	\$ 1,427,694,688	\$ 1,435,824,078	\$ 96,652,078	7.20 %
<b>Fund Balance Addition/(Reduction)</b>	\$ 11,605,231	\$ (19,762,402)	\$ 17,461,918	\$ (51,248,455)	\$ (3,054,672)		

**University of Tennessee System**

**Five Year Budget Summary Comparison**

Current Funds Revenues, Expenditures and Transfers - UNRESTRICTED AND RESTRICTED

	FY 2011 ACTUAL	FY 2012 ACTUAL	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	FY 2011 to FY 2015	
						AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>							
<b>Revenues</b>							
Tuition & Fees	\$ 448,985,458	\$ 503,560,202	\$ 533,809,389	\$ 569,218,807	\$ 607,115,225	\$ 158,129,767	35.2 %
State Appropriations	569,824,231	434,160,502	447,473,296	490,535,129	501,302,444	(68,521,787)	(12.0) %
Grants & Contracts	588,820,147	599,409,965	574,519,330	567,638,649	566,899,812	(21,920,335)	(3.7) %
Sales & Service	53,401,514	55,117,066	57,856,330	52,424,726	52,458,151	(943,363)	(1.8) %
Other Sources	116,974,367	113,360,279	152,144,385	117,775,834	117,724,272	749,905	0.6 %
<b>Total Revenues</b>	<b>\$ 1,778,005,717</b>	<b>\$ 1,705,608,013</b>	<b>\$ 1,765,802,731</b>	<b>\$ 1,797,593,145</b>	<b>\$ 1,845,499,904</b>	<b>\$ 67,494,187</b>	<b>3.8 %</b>
<b>Expenditures and Transfers</b>							
Instruction	\$ 561,323,284	\$ 581,734,237	\$ 611,569,394	\$ 673,827,389	\$ 683,770,300	\$ 122,447,016	21.8 %
Research	263,910,986	275,074,925	277,762,160	290,701,642	256,998,637	(6,912,349)	(2.6) %
Public Service	158,439,054	159,006,576	133,120,201	137,896,739	131,019,881	(27,419,173)	(17.3) %
Academic Support	141,363,492	142,495,203	158,683,987	176,464,441	164,330,962	22,967,470	16.2 %
Student Services	79,778,059	84,436,897	86,057,765	79,125,252	79,528,402	(249,657)	(0.3) %
Institutional Support	109,799,114	125,005,498	125,048,887	147,338,982	135,198,070	25,398,956	23.1 %
Op/Maint Physical Plant	118,763,902	117,662,170	119,145,974	130,568,788	130,801,908	12,038,006	10.1 %
Scholarships & Fellowships	234,191,230	241,007,048	250,331,559	257,767,969	268,173,011	33,981,781	14.5 %
Subtotal Expenditures	\$ 1,667,569,122	\$ 1,726,422,554	\$ 1,761,719,928	\$ 1,893,691,202	\$ 1,849,821,171	\$ 182,252,049	10.9 %
Mandatory Transfers	7,226,436	7,159,721	6,273,292	7,268,997	6,942,735	(283,701)	(3.9) %
Non-Mandatory Transfers	93,802,280	(3,050,514)	(17,523,145)	(52,543,134)	(8,733,865)	(102,536,145)	(109.3) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,768,597,838</b>	<b>\$ 1,730,531,761</b>	<b>\$ 1,750,470,075</b>	<b>\$ 1,848,417,065</b>	<b>\$ 1,848,030,041</b>	<b>\$ 79,432,203</b>	<b>4.5 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ 9,407,879</b>	<b>\$ (24,923,748)</b>	<b>\$ 15,332,656</b>	<b>\$ (50,823,920)</b>	<b>\$ (2,530,137)</b>		
<b>AUXILIARIES</b>							
<b>Revenues</b>	\$ 198,601,840	\$ 200,291,433	\$ 199,645,425	\$ 193,833,132	\$ 203,083,436	\$ 4,481,596	2.3 %
<b>Expenditures and Transfers</b>							
Expenditures	\$ 141,571,262	\$ 143,122,269	\$ 149,454,826	\$ 146,875,075	\$ 155,176,263	\$ 13,605,001	9.6 %
Mandatory Transfers	23,923,901	26,171,577	27,857,526	27,030,511	29,658,449	5,734,548	24.0 %
Non-Mandatory Transfers	31,328,085	37,636,923	17,254,499	19,927,546	18,248,724	(13,079,361)	(41.7) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 196,823,248</b>	<b>\$ 206,930,769</b>	<b>\$ 194,566,851</b>	<b>\$ 193,833,132</b>	<b>\$ 203,083,436</b>	<b>\$ 6,260,188</b>	<b>3.2 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ 1,778,592</b>	<b>\$ (6,639,336)</b>	<b>\$ 5,078,574</b>	<b>\$ -</b>	<b>\$ -</b>		
<b>TOTALS</b>							
<b>Revenues</b>	\$ 1,976,607,557	\$ 1,905,899,446	\$ 1,965,448,156	\$ 1,991,426,277	\$ 2,048,583,340	\$ 71,975,783	3.6 %
<b>Expenditures and Transfers</b>							
Expenditures	\$ 1,809,140,384	\$ 1,869,544,823	\$ 1,911,174,754	\$ 2,040,566,277	\$ 2,004,997,434	\$ 195,857,050	10.8 %
Mandatory Transfers	31,150,337	33,331,298	34,130,818	34,299,508	36,601,184	5,450,847	17.5 %
Non-Mandatory Transfers	125,130,365	34,586,409	(268,646)	(32,615,588)	9,514,859	(115,615,506)	(92.4) %
<b>Total Expenditures &amp; Transfers</b>	<b>\$ 1,965,421,086</b>	<b>\$ 1,937,462,530</b>	<b>\$ 1,945,036,926</b>	<b>\$ 2,042,250,197</b>	<b>\$ 2,051,113,477</b>	<b>\$ 85,692,391</b>	<b>4.4 %</b>
<b>Fund Balance Addition/(Reduction)</b>	<b>\$ 11,186,471</b>	<b>\$ (31,563,084)</b>	<b>\$ 20,411,230</b>	<b>\$ (50,823,920)</b>	<b>\$ (2,530,137)</b>		

# University of Tennessee System

## FY 2015 Proposed Budget Summary

### Unrestricted and Restricted Current Funds Revenues, Expenditures and Transfers

	FY 2013 Actual			FY 2014 Probable			FY 2015 Proposed			CHANGE	
	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total	Unrestricted	Restricted	Total	Probable to Proposed Amount	%
<b>EDUCATION AND GENERAL</b>											
<b>Revenues</b>											
Tuition & Fees	\$ 533,809,389		\$ 533,809,389	\$ 569,218,807		\$ 569,218,807	\$ 607,115,225		\$ 607,115,225	\$ 37,896,418	6.7
State Appropriations	431,850,267	\$ 15,623,029	447,473,296	466,722,423	\$ 23,812,706	490,535,129	474,164,712	\$ 27,137,732	501,302,444	10,767,315	2.2
Grants & Contracts	49,542,582	524,976,748	574,519,330	41,885,523	525,753,126	567,638,649	44,190,219	522,709,593	566,899,812	(738,837)	(0.1)
Sales & Service	57,856,330		57,856,330	52,424,726		52,424,726	52,458,151		52,458,151	33,425	0.1
Other Sources	70,098,212	82,046,173	152,144,385	52,961,622	64,814,212	117,775,834	52,377,663	65,346,609	117,724,272	(51,562)	-
<b>Total Revenues</b>	<b>\$ 1,143,156,780</b>	<b>\$ 622,645,951</b>	<b>\$ 1,765,802,731</b>	<b>\$ 1,183,213,101</b>	<b>\$ 614,380,044</b>	<b>\$ 1,797,593,145</b>	<b>\$ 1,230,305,970</b>	<b>\$ 615,193,934</b>	<b>\$ 1,845,499,904</b>	<b>\$ 47,906,759</b>	<b>2.7</b>
<b>Expenditures and Transfers</b>											
Instruction	\$ 455,174,572	\$ 156,394,823	\$ 611,569,394	\$ 524,772,289	\$ 149,055,100	\$ 673,827,389	\$ 531,510,941	\$ 152,259,359	\$ 683,770,300	\$ 9,942,911	1.5
Research	86,634,810	191,127,350	277,762,160	101,368,391	189,333,251	290,701,642	70,683,096	186,315,541	256,998,637	(33,703,005)	(11.6)
Public Service	70,315,078	62,805,123	133,120,201	77,620,795	60,275,944	137,896,739	71,415,142	59,604,739	131,019,881	(6,876,858)	(5.0)
Academic Support	130,694,151	27,989,836	158,683,987	145,142,925	31,321,516	176,464,441	132,809,206	31,521,756	164,330,962	(12,133,479)	(6.9)
Student Services	84,118,134	1,939,631	86,057,765	77,446,461	1,678,791	79,125,252	77,859,611	1,668,791	79,528,402	403,150	0.5
Institutional Support	122,698,075	2,350,811	125,048,887	145,138,968	2,200,014	147,338,982	132,567,485	2,630,585	135,198,070	(12,140,912)	(8.2)
Op/Maint Physical Plant	118,493,896	652,078	119,145,974	130,080,788	488,000	130,568,788	130,334,008	467,900	130,801,908	233,120	0.2
Scholarships & Fellowships	74,479,780	175,851,779	250,331,559	78,165,076	179,602,893	257,767,969	87,972,283	180,200,728	268,173,011	10,405,042	4.0
Sub-Total Expenditures	\$ 1,142,608,497	\$ 619,111,431	\$ 1,761,719,928	\$ 1,279,735,693	\$ 613,955,509	\$ 1,893,691,202	\$ 1,235,151,772	\$ 614,669,399	\$ 1,849,821,171	\$ (43,870,031)	(2.3)
Mandatory Transfers	6,273,292		6,273,292	7,268,997		7,268,997	6,942,735		6,942,735	(326,262)	(4.5)
Non-Mandatory Transfers	(17,523,145)		(17,523,145)	(52,543,134)		(52,543,134)	(8,733,865)		(8,733,865)	43,809,269	83.4
Total Expenditures & Transfers	\$ 1,131,358,644	\$ 619,111,431	\$ 1,750,470,075	\$ 1,234,461,556	\$ 613,955,509	\$ 1,848,417,065	\$ 1,233,360,642	\$ 614,669,399	\$ 1,848,030,041	\$ (387,024)	-
<b>Fund Balance Addition / (Reduction)</b>	<b>\$ 11,798,136</b>	<b>\$ 3,534,520</b>	<b>\$ 15,332,656</b>	<b>\$ (51,248,455)</b>	<b>\$ 424,535</b>	<b>\$ (50,823,920)</b>	<b>\$ (3,054,672)</b>	<b>\$ 524,535</b>	<b>\$ (2,530,137)</b>		
<b>AUXILIARIES</b>											
<b>Revenues</b>											
	\$ 199,171,124	\$ 474,301	\$ 199,645,425	\$ 193,233,132	\$ 600,000	\$ 193,833,132	\$ 202,463,436	\$ 620,000	\$ 203,083,436	\$ 9,250,304	4.8
<b>Expenditures and Transfers</b>											
Expenditures	\$ 148,395,318	\$ 1,059,509	\$ 149,454,826	\$ 146,275,075	\$ 600,000	\$ 146,875,075	\$ 154,556,263	\$ 620,000	\$ 155,176,263	\$ 8,301,188	5.7
Mandatory Transfers	27,857,526		27,857,526	27,030,511		27,030,511	29,658,449		29,658,449	2,627,938	9.7
Non-Mandatory Transfers	17,254,499		17,254,499	19,927,546		19,927,546	18,248,724		18,248,724	(1,678,822)	(8.4)
Total Expenditures & Transfers	\$ 193,507,343	\$ 1,059,509	\$ 194,566,851	\$ 193,233,132	\$ 600,000	\$ 193,833,132	\$ 202,463,436	\$ 620,000	\$ 203,083,436	\$ 9,250,304	4.8
<b>Fund Balance Addition / (Reduction)</b>	<b>\$ 5,663,782</b>	<b>\$ (585,208)</b>	<b>\$ 5,078,574</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>		
<b>TOTALS</b>											
<b>Revenues</b>											
	\$ 1,342,327,905	\$ 623,120,252	\$ 1,965,448,156	\$ 1,376,446,233	\$ 614,980,044	\$ 1,991,426,277	\$ 1,432,769,406	\$ 615,813,934	\$ 2,048,583,340	\$ 57,157,063	2.9
<b>Expenditures and Transfers</b>											
Expenditures	\$ 1,291,003,814	\$ 620,170,940	\$ 1,911,174,754	\$ 1,426,010,768	\$ 614,555,509	\$ 2,040,566,277	\$ 1,389,708,035	\$ 615,289,399	\$ 2,004,997,434	\$ (35,568,843)	(1.7)
Mandatory Transfers	34,130,818		34,130,818	34,299,508		34,299,508	36,601,184		36,601,184	2,301,676	6.7
Non-Mandatory Transfers	(268,646)		(268,646)	(32,615,588)		(32,615,588)	9,514,859		9,514,859	42,130,447	129.2
Total Expenditures & Transfers	\$ 1,324,865,986	\$ 620,170,940	\$ 1,945,036,926	\$ 1,427,694,688	\$ 614,555,509	\$ 2,042,250,197	\$ 1,435,824,078	\$ 615,289,399	\$ 2,051,113,477	\$ 8,863,280	0.4
<b>Fund Balance Addition / (Reduction)</b>	<b>\$ 17,461,918</b>	<b>\$ 2,949,312</b>	<b>\$ 20,411,230</b>	<b>\$ (51,248,455)</b>	<b>\$ 424,535</b>	<b>\$ (50,823,920)</b>	<b>\$ (3,054,672)</b>	<b>\$ 524,535</b>	<b>\$ (2,530,137)</b>		

**University of Tennessee System**  
**FY 2015 Proposed Budget - Natural Classifications**  
 Unrestricted Current Funds Expenditures

	Total System	Chattanooga	Knoxville	Martin	Space Institute	Health Science Center	Institute of Agriculture	Institute for Public Service	System Administration
<b>EDUCATIONAL AND GENERAL</b>									
<b>Salaries and Benefits</b>									
Salaries									
Academic	\$ 327,204,213	\$ 39,567,692	\$ 151,309,138	\$ 24,101,972	\$ 2,516,256	\$ 80,983,160	\$ 28,266,077	\$ 265,287	\$ 194,631
Non-Academic	293,920,955	30,556,720	109,989,314	19,809,068	3,161,773	58,130,805	40,710,076	9,095,276	22,467,923
Students	7,708,685	668,958	4,273,931	1,537,500		666,523	363,405	40,210	158,158
Total Salaries	\$ 628,833,853	\$ 70,793,370	\$ 265,572,383	\$ 45,448,540	\$ 5,678,029	\$ 139,780,488	\$ 69,339,558	\$ 9,400,773	\$ 22,820,712
Staff Benefits	212,528,827	25,188,371	86,162,094	17,665,117	1,769,563	44,532,022	26,651,556	3,220,962	7,339,142
Total Salaries and Benefits	\$ 841,362,680	\$ 95,981,741	\$ 351,734,477	\$ 63,113,657	\$ 7,447,592	\$ 184,312,510	\$ 95,991,114	\$ 12,621,735	\$ 30,159,854
<b>Operating</b>	372,318,714	42,722,968	201,378,628	26,308,183	1,733,327	51,110,228	30,388,001	4,093,083	14,584,296
<b>Equipment and Capital Outlay</b>	21,470,378	1,798,542	13,692,027	1,460,696	40,000	3,946,988	354,872	77,253	100,000
Total Expenditures	\$ 1,235,151,772	\$ 140,503,251	\$ 566,805,132	\$ 90,882,536	\$ 9,220,919	\$ 239,369,726	\$ 126,733,987	\$ 16,792,071	\$ 44,844,150
<b>AUXILIAIRES</b>									
<b>Salaries and Benefits</b>									
Salaries									
Academic	\$ 564,045	\$ 7,000	\$ 553,982	\$ 3,063					
Non-Academic	43,812,353	1,374,220	40,470,518	1,506,142	103,416	358,057			
Students	4,429,994	80,498	3,787,152	562,344					
Total Salaries	\$ 48,806,392	\$ 1,461,718	\$ 44,811,652	\$ 2,071,549	\$ 103,416	\$ 358,057			
Staff Benefits	12,451,300	463,620	11,097,788	680,960	34,000	174,932			
Total Salaries and Benefits	\$ 61,257,692	\$ 1,925,338	\$ 55,909,440	\$ 2,752,509	\$ 137,416	\$ 532,989			
<b>Operating</b>	92,514,401	3,665,989	82,976,793	4,837,991	133,275	900,353			
<b>Equipment and Capital Outlay</b>	784,170	9,970	757,500	16,700					
Total Expenditures	\$ 154,556,263	\$ 5,601,297	\$ 139,643,733	\$ 7,607,200	\$ 270,691	\$ 1,433,342			
<b>TOTALS</b>									
<b>Salaries and Benefits</b>									
Salaries									
Academic	\$ 327,768,258	\$ 39,574,692	\$ 151,863,120	\$ 24,105,035	\$ 2,516,256	\$ 80,983,160	\$ 28,266,077	\$ 265,287	\$ 194,631
Non-Academic	337,733,308	31,930,940	150,459,832	21,315,210	3,265,189	58,488,862	40,710,076	9,095,276	22,467,923
Students	12,138,679	749,456	8,061,083	2,099,844		666,523	363,405	40,210	158,158
Total Salaries	\$ 677,640,245	\$ 72,255,088	\$ 310,384,035	\$ 47,520,089	\$ 5,781,445	\$ 140,138,545	\$ 69,339,558	\$ 9,400,773	\$ 22,820,712
Staff Benefits	224,980,127	25,651,991	97,259,882	18,346,077	1,803,563	44,706,954	26,651,556	3,220,962	7,339,142
Total Salaries and Benefits	\$ 902,620,372	\$ 97,907,079	\$ 407,643,917	\$ 65,866,166	\$ 7,585,008	\$ 184,845,499	\$ 95,991,114	\$ 12,621,735	\$ 30,159,854
<b>Operating</b>	464,833,115	46,388,957	284,355,421	31,146,174	1,866,602	52,010,581	30,388,001	4,093,083	14,584,296
<b>Equipment and Capital Outlay</b>	22,254,548	1,808,512	14,449,527	1,477,396	40,000	3,946,988	354,872	77,253	100,000
Total Expenditures	\$ 1,389,708,035	\$ 146,104,548	\$ 706,448,865	\$ 98,489,736	\$ 9,491,610	\$ 240,803,068	\$ 126,733,987	\$ 16,792,071	\$ 44,844,150



**University of Tennessee System**  
**FY 2015 Proposed Budget Summary - Natural Classifications**  
 Unrestricted Current Funds Expenditures

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Salaries and Benefits</b>					
Salaries					
Academic	\$ 309,583,652	\$ 321,213,392	\$ 327,204,213	\$ 5,990,821	1.9 %
Non-Academic	285,407,559	293,768,013	293,920,955	152,942	0.1 %
Students	10,197,839	8,675,008	7,708,685	(966,323)	(11.1) %
Total Salaries	\$ 605,189,049	\$ 623,656,413	\$ 628,833,853	\$ 5,177,440	0.8 %
Staff Benefits	202,864,792	209,444,080	212,528,827	3,084,747	1.5 %
Total Salaries and Benefits	\$ 808,053,841	\$ 833,100,493	\$ 841,362,680	\$ 8,262,187	1.0 %
<b>Operating</b>	302,198,952	416,169,127	372,318,714	(43,850,413)	(10.5) %
<b>Equipment and Capital Outlay</b>	32,355,704	30,466,073	21,470,378	(8,995,695)	(29.5) %
Total Expenditures	\$ 1,142,608,497	\$ 1,279,735,693	\$ 1,235,151,772	\$ (44,583,921)	(3.5) %
<b>AUXILIARIES</b>					
<b>Salaries and Benefits</b>					
Salaries					
Academic	\$ 562,213	\$ 600,604	\$ 564,045	\$ (36,559)	(6.1) %
Non-Academic	47,033,236	42,514,166	43,812,353	1,298,187	3.1 %
Students	4,063,827	4,123,294	4,429,994	306,700	7.4 %
Total Salaries	\$ 51,659,276	\$ 47,238,064	\$ 48,806,392	\$ 1,568,328	3.3 %
Staff Benefits	12,570,919	12,626,980	12,451,300	(175,680)	(1.4) %
Total Salaries and Benefits	\$ 64,230,195	\$ 59,865,044	\$ 61,257,692	\$ 1,392,648	2.3 %
<b>Operating</b>	83,973,206	85,707,161	92,514,401	6,807,240	7.9 %
<b>Equipment and Capital Outlay</b>	191,917	702,870	784,170	81,300	11.6 %
Total Expenditures	\$ 148,395,318	\$ 146,275,075	\$ 154,556,263	\$ 8,281,188	5.7 %
<b>TOTALS</b>					
<b>Salaries and Benefits</b>					
Salaries					
Academic	\$ 310,145,865	\$ 321,813,996	\$ 327,768,258	\$ 5,954,262	1.9 %
Non-Academic	332,440,794	336,282,179	337,733,308	1,451,129	0.4 %
Students	14,261,665	12,798,302	12,138,679	(659,623)	(5.2) %
Total Salaries	\$ 656,848,324	\$ 670,894,477	\$ 677,640,245	\$ 6,745,768	1.0 %
Staff Benefits	215,435,711	222,071,060	224,980,127	2,909,067	1.3 %
Total Salaries and Benefits	\$ 872,284,036	\$ 892,965,537	\$ 902,620,372	\$ 9,654,835	1.1 %
<b>Operating</b>	386,172,157	501,876,288	464,833,115	(37,043,173)	(7.4) %
<b>Equipment and Capital Outlay</b>	32,547,621	31,168,943	22,254,548	(8,914,395)	(28.6) %
Total Expenditures	\$ 1,291,003,814	\$ 1,426,010,768	\$ 1,389,708,035	\$ (36,302,733)	(2.5) %

**University of Tennessee System**  
**FY 2015 Proposed Budget Summary**  
 Auxiliary Enterprises Funds Revenues, Expenditures and Transfers

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>HOUSING</b>					
<b>Revenues</b>	\$ 60,987,902	\$ 56,754,516	\$ 58,386,648	\$ 1,632,132	2.9%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 33,792,359	\$ 34,143,777	\$ 36,217,157	\$ 2,073,380	6.1%
Mandatory Transfers	11,899,956	11,002,549	11,706,804	704,255	6.4%
Non-Mandatory Transfers	16,042,378	11,657,982	10,512,479	(1,145,503)	-9.8%
Total Expenditures and Transfers	\$ 61,734,693	\$ 56,804,308	\$ 58,436,440	\$ 1,632,132	2.9%
<b>Fund Balance Addition/(Reduction)</b>	\$ (746,790)	\$ (49,792)	\$ (49,792)		
<b>FOOD SERVICE</b>					
<b>Revenues</b>	\$ 5,823,515	\$ 5,546,420	\$ 6,118,562	\$ 572,142	10.3%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 2,831,942	\$ 2,331,691	\$ 3,108,040	\$ 776,349	33.3%
Mandatory Transfers	67,958				
Non-Mandatory Transfers	3,416,846	2,848,647	2,937,888	89,241	3.1%
Total Expenditures and Transfers	\$ 6,316,746	\$ 5,180,338	\$ 6,045,928	\$ 865,590	16.7%
<b>Fund Balance Addition/(Reduction)</b>	\$ (493,231)	\$ 366,082	\$ 72,634		
<b>BOOKSTORES</b>					
<b>Revenues</b>	\$ 20,457,482	\$ 18,999,368	\$ 20,341,110	\$ 1,341,742	7.1%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 18,401,037	\$ 17,607,280	\$ 18,133,005	\$ 525,725	3.0%
Mandatory Transfers	0	109,418	109,418		
Non-Mandatory Transfers	1,931,801	1,032,596	2,123,086	1,090,490	105.6%
Total Expenditures and Transfers	\$ 20,332,838	\$ 18,749,294	\$ 20,365,509	\$ 1,616,215	8.6%
<b>Fund Balance Addition/(Reduction)</b>	\$ 124,644	\$ 250,074	\$ (24,399)		
<b>PARKING</b>					
<b>Revenues</b>	\$ 11,735,275	\$ 13,027,583	\$ 13,146,668	\$ 119,085	0.9%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 6,867,055	\$ 7,715,169	\$ 8,287,653	\$ 572,484	7.4%
Mandatory Transfers	2,915,564	3,218,544	3,217,227	(1,317)	0.0%
Non-Mandatory Transfers	1,994,508	2,080,310	1,628,228	(452,082)	-21.7%
Total Expenditures and Transfers	\$ 11,777,127	\$ 13,014,023	\$ 13,133,108	\$ 119,085	0.9%
<b>Fund Balance Addition/(Reduction)</b>	\$ (41,852)	\$ 13,560	\$ 13,560		
<b>ATHLETICS</b>					
<b>Revenues</b>	\$ 93,594,169	\$ 95,077,500	\$ 100,675,000	\$ 5,597,500	5.9%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 81,321,971	\$ 80,508,500	\$ 85,048,023	\$ 4,539,523	5.6%
Mandatory Transfers	12,974,047	12,700,000	14,625,000	1,925,000	15.2%
Non-Mandatory Transfers	(1,532,979)	1,869,000	1,001,977	(867,023)	-46.4%
Total Expenditures and Transfers	\$ 92,763,039	\$ 95,077,500	\$ 100,675,000	\$ 5,597,500	5.6%
<b>Fund Balance Addition/(Reduction)</b>	\$ 831,130				
<b>OTHER</b>					
<b>Revenues</b>	\$ 6,572,780	\$ 3,827,745	\$ 3,795,448	\$ (32,297)	-0.8%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 5,180,954	\$ 3,968,658	\$ 3,762,385	\$ (206,273)	-5.2%
Mandatory Transfers	0		0		
Non-Mandatory Transfers	(4,598,054)	439,011	45,066	(393,945)	-89.7%
Total Expenditures and Transfers	\$ 582,900	\$ 4,407,669	\$ 3,807,451	\$ (600,218)	-13.6%
<b>Fund Balance Addition/(Reduction)</b>	\$ 5,989,880	\$ (579,924)	\$ (12,003)		
<b>TOTAL</b>					
<b>Revenues</b>	\$ 199,171,124	\$ 193,233,132	\$ 202,463,436	\$ 9,230,304	4.8%
<b>Expenditures and Transfers</b>					
Expenditures	\$ 148,395,319	\$ 146,275,075	\$ 154,556,263	\$ 8,281,188	5.7%
Mandatory Transfers	27,857,525	27,030,511	29,658,449	2,627,938	9.7%
Non-Mandatory Transfers	17,254,500	19,927,546	18,248,724	(1,678,822)	-8.4%
Total Expenditures and Transfers	\$ 193,507,344	\$ 193,233,132	\$ 202,463,436	\$ 9,230,304	4.8%
<b>Fund Balance Addition/(Reduction)</b>	\$ 5,663,780				

### University of Tennessee System

#### Athletics Five Year Budget Summary Comparison

E&G and Auxiliary Funds for Men's and Women's Athletics

	FY 2011 ACTUAL	FY 2012 ACTUAL	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE FY 2011 to FY 2015	
						AMOUNT	%
<b>KNOXVILLE</b>							
<b>Revenues</b>							
General Funds							
Student Fees for Athletics	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000		
Ticket Sales	34,799,207	33,156,097	32,291,776	31,394,000	31,182,000	\$ (3,617,207)	-10.4%
Gifts	26,554,657	25,038,370	24,189,845	24,500,000	24,500,000	(2,054,657)	-7.7%
Other	40,141,340	42,693,539	52,443,730	43,286,500	44,915,500	4,774,160	11.9%
Total Revenues	\$ 102,495,204	\$ 101,888,006	\$ 109,925,350	\$ 100,180,500	\$ 101,597,500	\$ (897,704)	-0.9%
<b>Expenditures and Transfers</b>							
Salaries and Benefits	\$ 38,361,583	\$ 37,285,629	\$ 37,284,852	\$ 37,206,190	\$ 37,311,320	\$ (1,050,263)	-2.7%
Travel	6,835,168	6,617,308	5,787,589	5,332,500	5,380,800	(1,454,368)	-21.3%
Student Aid	8,873,639	9,529,062	10,338,711	11,038,500	11,314,854	2,441,215	27.5%
Other Operating	28,958,686	33,801,080	39,913,529	30,278,310	30,555,526	1,596,840	5.5%
Subtotal Expenditures	\$ 83,029,076	\$ 87,233,079	\$ 93,324,680	\$ 83,855,500	\$ 84,562,500	\$ 1,533,424	1.8%
Debt Service Transfers	10,142,066	10,523,880	11,772,046	12,525,000	13,500,000	3,357,934	33.1%
Other Transfers	9,309,616	8,112,322	4,803,035	3,800,000	3,535,000	(5,774,616)	-62.0%
Total Expenditures and Transfers	\$ 102,480,758	\$ 105,869,281	\$ 109,899,762	\$ 100,180,500	\$ 101,597,500	\$ (883,258)	-0.9%
Fund Balance Addition / (Reduction)	\$ 14,446	\$ (3,981,275)	\$ 25,589	\$ -	\$ -		
<b>CHATTANOOGA</b>							
<b>Revenues</b>							
General Funds	\$ 5,034,581	\$ 5,072,219	\$ 5,636,090	\$ 5,591,581	\$ 5,702,590	\$ 668,009	13.3%
Student Fees for Athletics	3,070,180	4,127,744	5,180,579	5,170,817	4,991,503	1,921,323	62.6%
Ticket Sales	637,888	712,167	709,642	1,323,000	1,765,000	1,127,112	176.7%
Gifts	1,285,002	1,305,324	1,096,841	1,430,000	1,430,000	144,998	11.3%
Other	1,747,848	1,758,309	1,576,653	990,200	948,700	(799,148)	-45.7%
Total Revenues	\$ 11,775,499	\$ 12,975,763	\$ 14,199,805	\$ 14,505,598	\$ 14,837,793	\$ 3,062,294	26.0%
<b>Expenditures and Transfers</b>							
Salaries and Benefits	\$ 4,726,977	\$ 5,130,281	\$ 5,587,489	\$ 5,312,298	\$ 5,404,914	\$ 677,937	14.3%
Travel	833,639	1,003,626	1,089,801	1,012,001	1,037,001	203,362	24.4%
Student Aid	3,287,149	3,895,434	4,291,836	5,271,521	5,569,552	2,282,403	69.4%
Other Operating	2,460,702	2,554,483	2,996,823	2,739,779	2,656,327	195,625	7.9%
Subtotal Expenditures	\$ 11,308,467	\$ 12,583,824	\$ 13,965,949	\$ 14,335,598	\$ 14,667,793	\$ 3,359,326	29.7%
Debt Service Transfers	168,680	169,610	165,136	170,000	170,000	\$ 1,320	0.8%
Other Transfers							
Total Expenditures and Transfers	\$ 11,477,147	\$ 12,753,434	\$ 14,131,085	\$ 14,505,598	\$ 14,837,793	\$ 3,360,646	29.3%
Fund Balance Addition / (Reduction)	\$ 298,352	\$ 222,329	\$ 68,720	\$ -	\$ -		
<b>MARTIN</b>							
<b>Revenues</b>							
General Funds	\$ 4,431,339	\$ 4,959,761	\$ 5,173,844	\$ 5,077,754	\$ 5,824,617	\$ 1,393,278	31.4%
Student Fees for Athletics	2,081,875	2,011,149	1,981,413	1,955,000	1,955,000	\$ (126,875)	-6.1%
Ticket Sales	107,596	136,237	123,245	132,066	150,000	\$ 42,404	39.4%
Gifts	669,728	474,787	512,187	680,500	680,500	\$ 10,772	1.6%
Other	1,384,606	1,357,216	1,604,348	1,789,080	1,365,644	\$ (18,962)	-1.4%
Total Revenues	\$ 8,675,144	\$ 8,939,150	\$ 9,395,037	\$ 9,634,400	\$ 9,975,761	\$ 1,300,617	15.0%
<b>Expenditures and Transfers</b>							
Salaries and Benefits	\$ 2,812,169	\$ 3,060,136	\$ 3,210,920	\$ 3,350,407	\$ 3,505,015	\$ 692,846	24.6%
Travel	757,178	735,058	781,674	860,522	631,754	(125,424)	-16.6%
Student Aid	3,431,486	3,724,320	3,860,770	3,849,209	4,065,806	634,320	18.5%
Other Operating	1,567,162	1,643,789	1,450,467	1,349,262	1,548,186	(18,976)	-1.2%
Subtotal Expenditures	\$ 8,567,995	\$ 9,163,303	\$ 9,303,830	\$ 9,409,400	\$ 9,750,761	\$ 1,182,766	13.8%
Debt Service Transfers	24,203	72,489	160,137	225,000	225,000	200,797	829.6%
Other Transfers							
Total Expenditures and Transfers	\$ 8,592,198	\$ 9,235,792	\$ 9,463,967	\$ 9,634,400	\$ 9,975,761	\$ 1,383,563	16.1%
Fund Balance Addition / (Reduction)	\$ 82,946	\$ (296,642)	\$ (68,930)	\$ -	\$ -		
<b>TOTAL ATHLETICS</b>							
<b>Revenues</b>							
General Funds	\$ 9,465,920	\$ 10,031,980	\$ 10,809,934	\$ 10,669,335	\$ 11,527,207	\$ 2,061,287	21.8%
Student Fees for Athletics	6,152,055	7,138,893	8,161,992	8,125,817	7,946,503	1,794,448	29.2%
Ticket Sales	35,544,691	34,004,501	33,124,663	32,849,066	33,097,000	(2,447,691)	-6.9%
Gifts	28,509,387	26,818,481	25,798,873	26,610,500	26,610,500	(1,898,887)	-6.7%
Other	43,273,794	45,809,064	55,624,731	46,065,780	47,229,844	3,956,050	9.1%
Total Revenues	\$ 122,945,847	\$ 123,802,919	\$ 133,520,192	\$ 124,320,498	\$ 126,411,054	\$ 3,465,207	2.8%
<b>Expenditures and Transfers</b>							
Salaries and Benefits	\$ 45,900,729	\$ 45,476,046	\$ 46,083,261	\$ 45,868,895	\$ 46,221,249	\$ 320,520	0.7%
Travel	8,425,985	8,355,992	7,659,063	7,205,023	7,049,555	(1,376,430)	-16.3%
Student Aid	15,592,274	17,148,816	18,491,316	20,159,230	20,950,212	5,357,938	34.4%
Other Operating	32,986,550	37,999,352	44,360,819	34,367,351	34,760,039	1,773,489	5.4%
Subtotal Expenditures	\$ 102,905,538	\$ 108,980,206	\$ 116,594,460	\$ 107,600,498	\$ 108,981,054	\$ 6,075,516	5.9%
Debt Service Transfers	10,334,949	10,765,979	12,097,319	12,920,000	13,895,000	3,560,051	34.4%
Other Transfers	9,309,616	8,112,322	4,803,035	3,800,000	3,535,000	(5,774,616)	-62.0%
Total Expenditures and Transfers	\$ 122,550,103	\$ 127,858,507	\$ 133,494,814	\$ 124,320,498	\$ 126,411,054	\$ 3,860,951	3.2%
Fund Balance Addition / (Reduction)	\$ 395,744	\$ (4,055,588)	\$ 25,379	\$ -	\$ -		

NOTES: Data includes unrestricted and restricted funds. Other revenue sources include NCAA conference income, tournament income, program sales, concessions, parking, broadcasting, television, radio, internet, endowments, investments, royalties, advertisements, sponsorships, game guarantees, licensing fees, and sports camps.

## University of Tennessee System

### FY 2015 Proposed Budget Summary

#### Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2013 ACTUALS	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 533,809,389	\$ 569,218,807	\$ 607,115,225	\$ 37,896,418	6.7 %
State Appropriations	431,850,267	466,722,423	474,164,712	7,442,289	1.6 %
Grants & Contracts	49,542,582	41,885,523	44,190,219	2,304,696	5.5 %
Sales & Service	57,856,330	52,424,726	52,458,151	33,425	0.1 %
Other Sources	70,098,212	52,961,622	52,377,663	(583,959)	-1.1 %
Total Revenues	<u>\$ 1,143,156,780</u>	<u>\$ 1,183,213,101</u>	<u>\$ 1,230,305,970</u>	<u>\$ 47,092,869</u>	<u>4.0 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 455,174,572	\$ 524,772,289	\$ 531,510,941	\$ 6,738,652	1.3 %
Research	86,634,810	101,368,391	70,683,096	(30,685,295)	-30.3 %
Public Service	70,315,078	77,620,795	71,415,142	(6,205,653)	-8.0 %
Academic Support	130,694,151	145,142,925	132,809,206	(12,333,719)	-8.5 %
Student Services	84,118,134	77,446,461	77,859,611	413,150	0.5 %
Institutional Support	122,698,075	145,138,968	132,567,485	(12,571,483)	-8.7 %
Op/Maint Physical Plant	118,493,896	130,080,788	130,334,008	253,220	0.2 %
Scholarships & Fellowships	74,479,780	78,165,076	87,972,283	9,807,207	12.5 %
Sub-total Expenditures	<u>\$ 1,142,608,497</u>	<u>\$ 1,279,735,693</u>	<u>\$ 1,235,151,772</u>	<u>\$ (44,583,921)</u>	<u>(3.5) %</u>
Mandatory Transfers	6,273,292	7,268,997	6,942,735	(326,262)	-4.5 %
Non Mandatory Transfers	(17,523,145)	(52,543,134)	(8,733,865)	43,809,269	83.4 %
Total Expenditures & Transfers	<u>\$ 1,131,358,644</u>	<u>\$ 1,234,461,556</u>	<u>\$ 1,233,360,642</u>	<u>\$ (1,100,914)</u>	<u>-0.1 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 11,798,136</u>	<u>\$ (51,248,455)</u>	<u>\$ (3,054,672)</u>		
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 199,171,124	\$ 193,233,132	\$ 202,463,436	\$ 9,230,304	4.8 %
<b>Expenditures and Transfers</b>					
Expenditures	148,395,318	146,275,075	154,556,263	8,281,188	5.7 %
Mandatory Transfers	27,857,526	27,030,511	29,658,449	2,627,938	9.7 %
Non-Mandatory Transfers	17,254,499	19,927,546	18,248,724	(1,678,822)	-8.4 %
Total Expenditures & Transfers	<u>\$ 193,507,343</u>	<u>\$ 193,233,132</u>	<u>\$ 202,463,436</u>	<u>\$ 9,230,304</u>	<u>4.8 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 5,663,782</u>	<u>\$ -</u>	<u>\$ -</u>		
<b>TOTALS</b>					
<b>Revenues</b>	\$ 1,342,327,905	\$ 1,376,446,233	\$ 1,432,769,406	\$ 56,323,173	4.1 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 1,291,003,814	\$ 1,426,010,768	\$ 1,389,708,035	\$ (36,302,733)	-2.5 %
Mandatory Transfers	34,130,818	34,299,508	36,601,184	2,301,676	6.7 %
Non-Mandatory Transfers	(268,646)	(32,615,588)	9,514,859	42,130,447	129.2 %
Total Expenditures & Transfers	<u>\$ 1,324,865,986</u>	<u>\$ 1,427,694,688</u>	<u>\$ 1,435,824,078</u>	<u>\$ 8,129,390</u>	<u>0.6 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 17,461,918</u>	<u>\$ (51,248,455)</u>	<u>\$ (3,054,672)</u>		

**Chattanooga**  
**FY 2015 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 86,525,109	\$ 92,199,803	\$ 98,598,645	\$ 6,398,842	6.9 %
State Appropriations	35,523,864	37,449,681	38,443,181	993,500	2.7 %
Grants & Contracts	891,905	806,404	453,856	(352,548)	(43.7) %
Sales & Service	4,698,919	4,390,172	4,787,672	397,500	9.1 %
Other Sources	334,235	237,427	237,200	(227)	(0.1) %
Total Revenues	\$ 127,974,032	\$ 135,083,487	\$ 142,520,554	\$ 7,437,067	5.5 %
<b>Expenditures and Transfers</b>					
Instruction	\$ 55,186,168	\$ 61,557,226	\$ 62,041,250	\$ 484,024	0.8 %
Research	3,498,130	2,903,845	1,890,428	(1,013,417)	(34.9) %
Public Service	2,331,469	2,445,813	2,310,559	(135,254)	(5.5) %
Academic Support	10,136,131	11,409,678	9,817,880	(1,591,798)	(14.0) %
Student Services	22,960,974	21,201,554	22,053,970	852,416	4.0 %
Institutional Support	9,668,887	11,562,230	11,070,543	(491,687)	(4.3) %
Op/Maint Physical Plant	14,046,694	16,810,944	18,996,112	2,185,168	13.0 %
Scholarships & Fellowships	10,019,162	10,756,349	12,322,509	1,566,160	14.6 %
Sub-total Expenditures	\$ 127,847,614	\$ 138,647,639	\$ 140,503,251	\$ 1,855,612	1.3 %
Mandatory Transfers	686,465	694,165	774,165	80,000	11.5 %
Non Mandatory Transfers	(510,107)	(4,324,897)	1,176,558	5,501,455	127.2 %
Total Expenditures & Transfers	\$ 128,023,972	\$ 135,016,907	\$ 142,453,974	\$ 7,437,067	5.5 %
<b>Fund Balance Addition/(Reduction)</b>	\$ (49,940)	\$ 66,580	\$ 66,580		
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 12,457,401	\$ 8,451,931	\$ 9,404,266	\$ 952,335	11.3 %
<b>Expenditures and Transfers</b>					
Expenditures	8,260,043	4,648,962	5,601,297	952,335	20.5 %
Mandatory Transfers	1,393,599	1,948,402	1,948,402		
Non-Mandatory Transfers	2,739,968	1,854,567	1,854,567		
Total Expenditures & Transfers	\$ 12,393,610	\$ 8,451,931	\$ 9,404,266	\$ 952,335	11.3 %
<b>Fund Balance Addition/(Reduction)</b>	\$ 63,791	\$ -	\$ -		
<b>TOTALS</b>					
<b>Revenues</b>	\$ 140,431,432	\$ 143,535,418	\$ 151,924,820	\$ 8,389,402	5.8 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 136,107,657	\$ 143,296,601	\$ 146,104,548	\$ 2,807,947	2.0 %
Mandatory Transfers	2,080,064	2,642,567	2,722,567	80,000	3.0 %
Non-Mandatory Transfers	2,229,861	(2,470,330)	3,031,125	5,501,455	222.7 %
Total Expenditures & Transfers	\$ 140,417,582	\$ 143,468,838	\$ 151,858,240	\$ 8,389,402	5.8 %
<b>Fund Balance Addition/(Reduction)</b>	\$ 13,850	\$ 66,580	\$ 66,580		

**Knoxville**  
**FY 2015 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 305,640,162	\$ 332,875,826	\$ 356,682,954	\$ 23,807,128	7.2 %
State Appropriations	156,439,550	177,486,343	182,161,643	4,675,300	2.6 %
Grants & Contracts	29,036,239	20,560,000	22,560,000	2,000,000	9.7 %
Sales & Service	9,587,584	4,490,731	4,573,307	82,576	1.8 %
Other Sources	12,947,014	10,092,965	10,207,244	114,279	1.1 %
Total Revenues	\$ 513,650,550	\$ 545,505,865	\$ 576,185,148	\$ 30,679,283	5.6 %
<b>Expenditures and Transfers</b>					
Instruction	\$ 218,498,737	\$ 250,732,741	\$ 260,510,955	\$ 9,778,214	3.9 %
Research	35,594,360	38,893,779	21,688,036	(17,205,743)	(44.2) %
Public Service	13,677,751	10,999,725	10,087,503	(912,222)	(8.3) %
Academic Support	61,399,810	64,698,462	66,094,541	1,396,079	2.2 %
Student Services	45,269,568	39,396,949	39,259,236	(137,713)	(0.3) %
Institutional Support	37,038,944	41,850,163	42,547,834	697,671	1.7 %
Op/Maint Physical Plant	59,694,101	68,601,672	68,584,759	(16,913)	- %
Scholarships & Fellowships	48,114,597	50,122,431	58,032,268	7,909,837	15.8 %
Sub-total Expenditures	\$ 519,287,869	\$ 565,295,922	\$ 566,805,132	\$ 1,509,210	0.3 %
Mandatory Transfers	2,165,669	1,701,536	1,641,251	(60,285)	(3.5) %
Non Mandatory Transfers	(5,337,091)	(21,491,593)	7,738,765	29,230,358	136.0 %
Total Expenditures & Transfers	\$ 516,116,447	\$ 545,505,865	\$ 576,185,148	\$ 30,679,283	5.6 %
<b>Fund Balance Addition/(Reduction)</b>	\$ (2,465,897)	\$ -	\$ -		
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 173,429,399	\$ 171,291,952	\$ 179,640,457	\$ 8,348,505	4.9 %
<b>Expenditures and Transfers</b>					
Expenditures	130,374,337	132,197,162	139,643,733	7,446,571	5.6 %
Mandatory Transfers	23,308,614	21,537,220	24,164,986	2,627,766	12.2 %
Non-Mandatory Transfers	13,991,355	17,557,570	15,831,738	(1,725,832)	(9.8) %
Total Expenditures & Transfers	\$ 167,674,306	\$ 171,291,952	\$ 179,640,457	\$ 8,348,505	4.9 %
<b>Fund Balance Addition/(Reduction)</b>	\$ 5,755,093	\$ -	\$ -		
<b>TOTALS</b>					
<b>Revenues</b>	\$ 687,079,949	\$ 716,797,817	\$ 755,825,605	\$ 39,027,788	5.4 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 649,662,206	\$ 697,493,084	\$ 706,448,865	\$ 8,955,781	1.3 %
Mandatory Transfers	25,474,283	23,238,756	25,806,237	2,567,481	11.0 %
Non-Mandatory Transfers	8,654,264	(3,934,023)	23,570,503	27,504,526	699.1 %
Total Expenditures & Transfers	\$ 683,790,753	\$ 716,797,817	\$ 755,825,605	\$ 39,027,788	5.4 %
<b>Fund Balance Addition/(Reduction)</b>	\$ 3,289,196	\$ -	\$ -		

**Martin****FY 2015 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers**

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 56,196,472	\$ 57,468,805	\$ 61,160,563	\$ 3,691,758	6.4 %
State Appropriations	26,186,217	26,337,767	27,013,167	675,400	2.6 %
Grants & Contracts	76,902	310,500	310,500		
Sales & Service	3,392,805	3,533,684	3,153,555	(380,129)	(10.8) %
Other Sources	723,513	656,800	656,800		
Total Revenues	<u>\$ 86,575,908</u>	<u>\$ 88,307,556</u>	<u>\$ 92,294,585</u>	<u>\$ 3,987,029</u>	<u>4.5 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 38,745,983	\$ 40,762,429	\$ 42,235,809	\$ 1,473,380	3.6 %
Research	380,704	463,264	297,077	(166,187)	(35.9) %
Public Service	599,720	609,274	560,112	(49,162)	(8.1) %
Academic Support	10,787,500	11,015,385	11,300,921	285,536	2.6 %
Student Services	10,561,948	10,791,297	10,817,887	26,590	0.2 %
Institutional Support	4,925,375	5,923,999	5,960,631	36,632	0.6 %
Op/Maint Physical Plant	10,941,993	11,570,865	11,298,387	(272,478)	(2.4) %
Scholarships & Fellowships	7,580,315	8,059,153	8,411,712	352,559	4.4 %
Sub-total Expenditures	<u>\$ 84,523,537</u>	<u>\$ 89,195,666</u>	<u>\$ 90,882,536</u>	<u>\$ 1,686,870</u>	<u>1.9 %</u>
Mandatory Transfers	666,114	714,700	714,700	-	-
Non Mandatory Transfers	2,117,168	(1,602,810)	697,349	2,300,159	143.5 %
Total Expenditures & Transfers	<u>\$ 87,306,819</u>	<u>\$ 88,307,556</u>	<u>\$ 92,294,585</u>	<u>\$ 3,987,029</u>	<u>4.5 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (730,911)</u>	<u>\$ -</u>	<u>\$ -</u>		
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 10,830,742	\$ 11,436,671	\$ 11,449,771	\$ 13,100	0.1 %
<b>Expenditures and Transfers</b>					
Expenditures	6,793,824	7,684,000	7,607,200	(76,800)	(1.0) %
Mandatory Transfers	2,935,777	3,180,152	3,180,152	-	-
Non-Mandatory Transfers	1,246,199	572,519	662,419	89,900	15.7 %
Total Expenditures & Transfers	<u>\$ 10,975,800</u>	<u>\$ 11,436,671</u>	<u>\$ 11,449,771</u>	<u>\$ 13,100</u>	<u>0.1 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (145,058)</u>	<u>\$ -</u>	<u>\$ -</u>		
<b>TOTALS</b>					
<b>Revenues</b>	\$ 97,406,650	\$ 99,744,227	\$ 103,744,356	\$ 4,000,129	4.0 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 91,317,361	\$ 96,879,666	\$ 98,489,736	\$ 1,610,070	1.7 %
Mandatory Transfers	3,601,891	3,894,852	3,894,852		
Non-Mandatory Transfers	3,363,367	(1,030,291)	1,359,768	2,390,059	232.0 %
Total Expenditures & Transfers	<u>\$ 98,282,619</u>	<u>\$ 99,744,227</u>	<u>\$ 103,744,356</u>	<u>\$ 4,000,129</u>	<u>4.0 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (875,968)</u>	<u>\$ -</u>	<u>\$ -</u>		

**Space Institute**  
**FY 2015 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 1,354,039	\$ 1,266,750	\$ 1,340,255	\$ 73,505	5.8 %
State Appropriations	7,700,101	7,995,512	8,011,212	15,700	0.2 %
Grants & Contracts	432,524	461,000	204,816	(256,184)	(55.6) %
Sales & Service	248,855	100,000		(100,000)	(100.0) %
Other Sources	15,064	17,000	14,922	(2,078)	(12.2) %
Total Revenues	<u>\$ 9,750,584</u>	<u>\$ 9,840,262</u>	<u>\$ 9,571,205</u>	<u>\$ (269,057)</u>	<u>(2.7) %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 4,348,223	\$ 3,477,836	\$ 4,280,675	\$ 802,839	23.1 %
Research	1,229,780	1,975,884	961,548	(1,014,336)	(51.3) %
Public Service					
Academic Support	309,950	322,395	317,852	(4,543)	(1.4) %
Student Services	35,684	36,266	33,375	(2,891)	(8.0) %
Institutional Support	1,490,197	1,448,986	1,429,929	(19,057)	(1.3) %
Op/Maint Physical Plant	2,029,949	2,006,693	1,912,428	(94,265)	(4.7) %
Scholarships & Fellowships	345,476	280,944	285,112	4,168	1.5 %
Sub-total Expenditures	<u>\$ 9,789,257</u>	<u>\$ 9,549,004</u>	<u>\$ 9,220,919</u>	<u>\$ (328,085)</u>	<u>(3.4) %</u>
Mandatory Transfers					
Non-Mandatory Transfers	(34,214)	291,258	350,286	59,028	20.3 %
Total Expenditures & Transfers	<u>\$ 9,755,043</u>	<u>\$ 9,840,262</u>	<u>\$ 9,571,205</u>	<u>\$ (269,057)</u>	<u>(2.7) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (4,459)</u>	<u>\$ -</u>	<u>\$ -</u>		
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 206,244	\$ 197,000	\$ 170,691	\$ (26,309)	(13.4) %
<b>Expenditures and Transfers</b>					
Expenditures	439,083	254,110	270,691	16,581	6.5 %
Mandatory Transfers					
Non-Mandatory Transfers	(222,924)	(57,110)	(100,000)	(42,890)	(75.1) %
Total Expenditures & Transfers	<u>\$ 216,159</u>	<u>\$ 197,000</u>	<u>\$ 170,691</u>	<u>\$ (26,309)</u>	<u>(13.4) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (9,915)</u>	<u>\$ -</u>	<u>\$ -</u>		
<b>TOTALS</b>					
<b>Revenues</b>	\$ 9,956,828	\$ 10,037,262	\$ 9,741,896	\$ (295,366)	(2.9) %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 10,228,340	\$ 9,803,114	\$ 9,491,610	\$ (311,504)	(3.2) %
Mandatory Transfers					
Non-Mandatory Transfers	(257,138)	234,148	250,286	16,138	6.9 %
Total Expenditures & Transfers	<u>\$ 9,971,202</u>	<u>\$ 10,037,262</u>	<u>\$ 9,741,896</u>	<u>\$ (295,366)</u>	<u>(2.9) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (14,374)</u>	<u>\$ -</u>	<u>\$ -</u>		



**Health Science Center Total**  
**FY 2015 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 72,145,924	\$ 74,174,764	\$ 77,570,466	\$ 3,395,702	4.6 %
State Appropriations	122,200,499	129,468,051	130,066,040	597,989	0.5 %
Grants & Contracts	13,954,817	15,191,604	16,256,491	1,064,887	7.0 %
Sales & Service	19,788,611	19,471,206	19,595,582	124,376	0.6 %
Other Sources	19,823,751	3,780,849	2,566,466	(1,214,383)	(32.1) %
Total Revenues	\$ 247,913,602	\$ 242,086,474	\$ 246,055,045	\$ 3,968,571	1.6 %
<b>Expenditures and Transfers</b>					
Instruction	\$ 112,653,589	\$ 137,880,983	\$ 129,966,189	\$ (7,914,794)	(5.7) %
Research	7,053,638	12,050,764	8,034,970	(4,015,794)	(33.3) %
Public Service	29,264	44,872	40,321	(4,551)	(10.1) %
Academic Support	39,196,623	49,241,313	37,580,817	(11,660,496)	(23.7) %
Student Services	5,289,960	6,020,395	5,695,143	(325,252)	(5.4) %
Institutional Support	19,464,973	35,171,437	22,891,964	(12,279,473)	(34.9) %
Op/Maint Physical Plant	28,560,685	27,568,377	26,286,698	(1,281,679)	(4.6) %
Scholarships & Fellowships	8,345,309	8,896,881	8,873,624	(23,257)	(0.3) %
Sub-total Expenditures	\$ 220,594,042	\$ 276,875,022	\$ 239,369,726	\$ (37,505,296)	(13.5) %
Mandatory Transfers	2,620,096	4,023,596	3,677,619	(345,977)	(8.6) %
Non Mandatory Transfers	7,531,633	3,108,317	3,007,700	(100,617)	(3.2) %
Total Expenditures & Transfers	\$ 230,745,771	\$ 284,006,935	\$ 246,055,045	\$ (37,951,890)	(13.4) %
<b>Fund Balance Addition/(Reduction)</b>	\$ 17,167,831	\$ (41,920,461)	\$ -		
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 2,247,338	\$ 1,855,578	\$ 1,798,251	\$ (57,327)	(3.1) %
<b>Expenditures and Transfers</b>					
Expenditures	2,528,031	1,490,841	1,433,342	(57,499)	(3.9) %
Mandatory Transfers	219,536	364,737	364,909	172	- %
Non-Mandatory Transfers	(500,099)				
Total Expenditures & Transfers	\$ 2,247,468	\$ 1,855,578	\$ 1,798,251	\$ (57,327)	(3.1) %
<b>Fund Balance Addition/(Reduction)</b>	\$ (130)	\$ -	\$ -		
<b>TOTALS</b>					
<b>Revenues</b>	\$ 250,160,940	\$ 243,942,052	\$ 247,853,296	\$ 3,911,244	1.6 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 223,122,073	\$ 278,365,863	\$ 240,803,068	\$ (37,562,795)	(13.5) %
Mandatory Transfers	2,839,632	4,388,333	4,042,528	(345,805)	(7.9) %
Non-Mandatory Transfers	7,031,534	3,108,317	3,007,700	(100,617)	(3.2) %
Total Expenditures & Transfers	\$ 232,993,239	\$ 285,862,513	\$ 247,853,296	\$ (38,009,217)	(13.3) %
<b>Fund Balance Addition/(Reduction)</b>	\$ 17,167,701	\$ (41,920,461)	\$ -		

**Health Science Center - Memphis Other Specialized Units****FY 2015 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers**

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 49,879,624	\$ 48,931,464	\$ 51,744,066	\$ 2,812,602	5.7 %
State Appropriations	67,383,999	71,880,751	72,438,940	558,189	0.8 %
Grants & Contracts	13,353,919	12,829,106	12,719,580	(109,526)	(0.9) %
Sales & Service	7,449,415	6,833,948	7,174,247	340,299	5.0 %
Other Sources	14,036,982	3,100,849	1,891,466	(1,209,383)	(39.0) %
Total Revenues	\$ 152,103,940	\$ 143,576,118	\$ 145,968,299	\$ 2,392,181	1.7 %
<b>Expenditures and Transfers</b>					
Instruction	\$ 42,501,951	\$ 50,426,448	\$ 51,375,250	\$ 948,802	1.9 %
Research	4,271,115	9,228,066	7,402,188	(1,825,878)	(19.8) %
Public Service		25,000	25,000		
Academic Support	34,798,101	40,104,512	33,154,362	(6,950,150)	(17.3) %
Student Services	4,796,975	5,214,515	4,928,962	(285,553)	(5.5) %
Institutional Support	19,090,790	33,959,168	22,157,470	(11,801,698)	(34.8) %
Op/Maint Physical Plant	28,347,766	27,282,625	26,055,012	(1,227,613)	(4.5) %
Scholarships & Fellowships	6,438,373	6,905,881	6,858,881	(47,000)	(0.7) %
Sub-total Expenditures	\$ 140,245,071	\$ 173,146,215	\$ 151,957,125	\$ (21,189,090)	(12.2) %
Mandatory Transfers	2,520,733	3,924,077	3,577,970	(346,107)	(8.8) %
Non-Mandatory Transfers	(6,584,797)	7,586,725	(9,566,796)	(17,153,521)	(226.1) %
Total Expenditures & Transfers	\$ 136,181,007	\$ 184,657,017	\$ 145,968,299	\$ (38,688,718)	(21.0) %
<b>Fund Balance Addition/(Reduction)</b>	\$ 15,922,933	\$ (41,080,899)	\$ -		
<b>AUXILIARIES</b>					
<b>Revenues</b>	\$ 2,247,338	\$ 1,855,578	\$ 1,798,251	\$ (57,327)	(3.1) %
<b>Expenditures and Transfers</b>					
Expenditures	2,528,031	1,490,841	1,433,342	(57,499)	(3.9) %
Mandatory Transfers	219,536	364,737	364,909	172	- %
Non-Mandatory Transfers	(500,099)				
Total Expenditures & Transfers	\$ 2,247,468	\$ 1,855,578	\$ 1,798,251	\$ (57,327)	(3.1) %
<b>Fund Balance Addition/(Reduction)</b>	\$ (130)	\$ -	\$ -		
<b>TOTALS</b>					
<b>Revenues</b>	\$ 154,351,278	\$ 145,431,696	\$ 147,766,550	\$ 2,334,854	1.6 %
<b>Expenditures and Transfers</b>					
Expenditures	\$ 142,773,102	\$ 174,637,056	\$ 153,390,467	\$ (21,246,589)	(12.2) %
Mandatory Transfers	2,740,269	4,288,814	3,942,879	(345,935)	(8.1) %
Non-Mandatory Transfers	(7,084,896)	7,586,725	(9,566,796)	(17,153,521)	(226.1) %
Total Expenditures & Transfers	\$ 138,428,475	\$ 186,512,595	\$ 147,766,550	\$ (38,746,045)	(20.8) %
<b>Fund Balance Addition/(Reduction)</b>	\$ 15,922,803	\$ (41,080,899)	\$ -		

**Health Science Center - College of Medicine****FY 2015 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers**

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 22,266,300	\$ 25,243,300	\$ 25,826,400	\$ 583,100	2.3 %
State Appropriations	44,934,400	47,116,500	47,116,500		
Grants & Contracts	600,898	2,362,498	3,536,911	1,174,413	49.7 %
Sales & Service	1,890,109	2,262,000	1,775,000	(487,000)	(21.5) %
Other Sources	5,283,011				
Total Revenues	<u>\$ 74,974,718</u>	<u>\$ 76,984,298</u>	<u>\$ 78,254,811</u>	<u>\$ 1,270,513</u>	<u>1.7 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 51,433,724	\$ 66,520,640	\$ 57,932,533	\$ (8,588,107)	(12.9) %
Research	2,782,523	2,822,698	632,782	(2,189,916)	(77.6) %
Public Service	29,264	19,872	15,321	(4,551)	(22.9) %
Academic Support	4,398,522	9,136,801	4,426,455	(4,710,346)	(51.6) %
Student Services	492,985	805,880	766,181	(39,699)	(4.9) %
Institutional Support	138,089	326,302		(326,302)	(100.0) %
Op/Maint Physical Plant		70,209		(70,209)	(100.0) %
Scholarships & Fellowships	1,906,937	1,991,000	2,014,743	23,743	1.2 %
Sub-total Expenditures	<u>\$ 61,182,045</u>	<u>\$ 81,693,402</u>	<u>\$ 65,788,015</u>	<u>\$ (15,905,387)</u>	<u>(19.5) %</u>
Mandatory Transfers					
Non Mandatory Transfers	13,792,673	(4,679,025)	12,466,796	17,145,821	366.4 %
Total Expenditures & Transfers	<u>\$ 74,974,718</u>	<u>\$ 77,014,377</u>	<u>\$ 78,254,811</u>	<u>\$ 1,240,434</u>	<u>1.6 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ -</u>	<u>\$ (30,079)</u>	<u>\$ -</u>		

**Health Science Center - Family Medical Units****FY 2015 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers**

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 9,882,100	\$ 10,470,800	\$ 10,510,600	\$ 39,800	0.4 %
Grants & Contracts					
Sales & Service	10,449,086	10,375,258	10,646,335	271,077	2.6 %
Other Sources	503,758	680,000	675,000	(5,000)	(0.7) %
Total Revenues	<u>\$ 20,834,944</u>	<u>\$ 21,526,058</u>	<u>\$ 21,831,935</u>	<u>\$ 305,877</u>	<u>1.4 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 18,717,914	\$ 20,933,895	\$ 20,658,406	\$ (275,489)	(1.3) %
Research					
Public Service					
Academic Support					
Student Services					
Institutional Support	236,094	885,967	734,494	(151,473)	(17.1) %
Op/Maint Physical Plant	212,919	215,543	231,686	16,143	7.5 %
Scholarships & Fellowships					
Sub-total Expenditures	<u>\$ 19,166,927</u>	<u>\$ 22,035,405</u>	<u>\$ 21,624,586</u>	<u>\$ (410,819)</u>	<u>(1.9) %</u>
Mandatory Transfers	99,363	99,519	99,649	130	0.1 %
Non Mandatory Transfers	323,757	200,617	107,700	(92,917)	(46.3) %
Total Expenditures & Transfers	<u>\$ 19,590,047</u>	<u>\$ 22,335,541</u>	<u>\$ 21,831,935</u>	<u>\$ (503,606)</u>	<u>(2.3) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 1,244,898</u>	<u>\$ (809,483)</u>	<u>\$ -</u>		

***Agricultural Units Total***  
**FY 2015 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE	
				PROBABLE TO PROPOSED AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 11,947,683	\$ 11,232,859	\$ 11,762,342	\$ 529,483	4.7 %
State Appropriations	69,781,361	73,363,607	73,735,707	372,100	0.5 %
Grants & Contracts	4,836,798	4,277,794	4,179,794	(98,000)	(2.3) %
Sales & Service	20,139,556	20,438,933	20,348,035	(90,898)	(0.4) %
Other Sources	15,855,733	14,881,674	14,947,744	66,070	0.4 %
Total Revenues	<u>\$ 122,561,132</u>	<u>\$ 124,194,867</u>	<u>\$ 124,973,622</u>	<u>\$ 778,755</u>	<u>0.6 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 25,741,872	\$ 30,361,074	\$ 32,476,063	\$ 2,114,989	7.0 %
Research	38,407,900	45,080,855	37,811,037	(7,269,818)	(16.1) %
Public Service	39,080,945	48,291,951	43,046,194	(5,245,757)	(10.9) %
Academic Support	8,581,426	8,167,106	7,450,884	(716,222)	(8.8) %
Student Services					
Institutional Support	2,307,312	2,588,703	2,647,127	58,424	2.3 %
Op/Maint Physical Plant	3,220,475	3,522,237	3,255,624	(266,613)	(7.6) %
Scholarships & Fellowships	74,921	49,318	47,058	(2,260)	(4.6) %
Sub-total Expenditures	<u>\$ 117,414,850</u>	<u>\$ 138,061,244</u>	<u>\$ 126,733,987</u>	<u>\$ (11,327,257)</u>	<u>(8.2) %</u>
Mandatory Transfers					
Non Mandatory Transfers	2,158,333	(4,663,801)	1,145,157	5,808,958	124.6 %
Total Expenditures & Transfers	<u>\$ 119,573,183</u>	<u>\$ 133,397,443</u>	<u>\$ 127,879,144</u>	<u>\$ (5,518,299)</u>	<u>(4.1) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 2,987,949</u>	<u>\$ (9,202,576)</u>	<u>\$ (2,905,522)</u>		

**Agricultural Experiment Station****FY 2015 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers**

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 24,480,573	\$ 25,579,486	\$ 25,692,086	\$ 112,600	0.4 %
Grants & Contracts	2,942,751	2,720,000	2,720,000	-	-
Sales & Service	4,231,830	3,313,373	3,227,443	(85,930)	(2.6) %
Other Sources	10,704,293	6,158,876	6,152,876	(6,000)	(0.1) %
Total Revenues	<u>\$ 42,359,447</u>	<u>\$ 37,771,735</u>	<u>\$ 37,792,405</u>	<u>\$ 20,670</u>	<u>0.1 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 511				
Research	34,694,962	\$ 41,404,136	\$ 34,254,834	\$ (7,149,302)	(17.3) %
Public Service	29,976				
Academic Support	1,492,175	1,473,311	1,473,319	8	- %
Student Services					
Institutional Support	918,286	1,064,871	1,108,711	43,840	4.1 %
Op/Maint Physical Plant	446,965	447,503	442,841	(4,662)	(1.0) %
Scholarships & Fellowships					
Sub-total Expenditures	<u>\$ 37,582,876</u>	<u>\$ 44,389,821</u>	<u>\$ 37,279,705</u>	<u>\$ (7,110,116)</u>	<u>(16.0) %</u>
Mandatory Transfers					
Non Mandatory Transfers	70,530	(6,618,086)	512,700	7,130,786	107.7 %
Total Expenditures & Transfers	<u>\$ 37,653,406</u>	<u>\$ 37,771,735</u>	<u>\$ 37,792,405</u>	<u>\$ 20,670</u>	<u>0.1 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 4,706,042</u>	<u>\$ -</u>	<u>\$ -</u>		

***UT Extension***  
**FY 2015 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 29,580,016	\$ 30,987,767	\$ 31,165,967	\$ 178,200	0.6 %
Grants & Contracts	556,988	480,000	490,000	10,000	2.1 %
Sales & Service	4,161,248	4,737,985	4,679,780	(58,205)	(1.2) %
Other Sources	4,903,715	8,502,850	8,569,850	67,000	0.8 %
Total Revenues	<u>\$ 39,201,967</u>	<u>\$ 44,708,602</u>	<u>\$ 44,905,597</u>	<u>\$ 196,995</u>	<u>0.4 %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research					
Public Service	\$ 38,941,284	\$ 48,211,096	\$ 42,965,339	\$ (5,245,757)	(10.9) %
Academic Support	1,001,865	776,569	773,169	(3,400)	(0.4) %
Student Services					
Institutional Support	724,511	692,973	738,145	45,172	6.5 %
Op/Maint Physical Plant					
Scholarships & Fellowships					
Sub-total Expenditures	<u>\$ 40,667,660</u>	<u>\$ 49,680,638</u>	<u>\$ 44,476,653</u>	<u>\$ (5,203,985)</u>	<u>(10.5) %</u>
Mandatory Transfers					
Non Mandatory Transfers	1,014,769	348,100	483,000	134,900	38.8 %
Total Expenditures & Transfers	<u>\$ 41,682,429</u>	<u>\$ 50,028,738</u>	<u>\$ 44,959,653</u>	<u>\$ (5,069,085)</u>	<u>(10.1) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (2,480,463)</u>	<u>\$ (5,320,136)</u>	<u>\$ (54,056)</u>		

**College of Veterinary Medicine****FY 2015 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers**

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees	\$ 11,947,683	\$ 11,232,859	\$ 11,762,342	\$ 529,483	4.7 %
State Appropriations	15,720,772	16,796,354	16,877,654	81,300	0.5 %
Grants & Contracts	1,337,059	1,077,794	969,794	(108,000)	(10.0) %
Sales & Service	11,746,479	12,387,575	12,440,812	53,237	0.4 %
Other Sources	247,725	219,948	225,018	5,070	2.3 %
Total Revenues	<u>\$ 40,999,718</u>	<u>\$ 41,714,530</u>	<u>\$ 42,275,620</u>	<u>\$ 561,090</u>	<u>1.3 %</u>
<b>Expenditures and Transfers</b>					
Instruction	\$ 25,741,361	\$ 30,361,074	\$ 32,476,063	\$ 2,114,989	7.0 %
Research	3,712,938	3,676,719	3,556,203	(120,516)	(3.3) %
Public Service	109,685	80,855	80,855		
Academic Support	6,087,386	5,917,226	5,204,396	(712,830)	(12.0) %
Student Services					
Institutional Support	664,515	830,859	800,271	(30,588)	(3.7) %
Op/Maint Physical Plant	2,773,510	3,074,734	2,812,783	(261,951)	(8.5) %
Scholarships & Fellowships	74,921	49,318	47,058	(2,260)	(4.6) %
Sub-total Expenditures	<u>\$ 39,164,314</u>	<u>\$ 43,990,785</u>	<u>\$ 44,977,629</u>	<u>\$ 986,844</u>	<u>2.2 %</u>
Mandatory Transfers					
Non Mandatory Transfers	1,073,034	1,606,185	149,457	(1,456,728)	(90.7) %
Total Expenditures & Transfers	<u>\$ 40,237,348</u>	<u>\$ 45,596,970</u>	<u>\$ 45,127,086</u>	<u>\$ (469,884)</u>	<u>(1.0) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ 762,370</u>	<u>\$ (3,882,440)</u>	<u>\$ (2,851,466)</u>		



**Institute for Public Service Total****FY 2015 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers**

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 9,447,397	\$ 9,899,924	\$ 9,939,524	\$ 39,600	0.4 %
Grants & Contracts	313,398	278,221	224,762	(53,459)	-19.2 %
Sales & Service					
Other Sources	6,689,516	6,932,407	6,885,134	(47,273)	-0.7 %
Total Revenues	<u>\$ 16,450,311</u>	<u>\$ 17,110,552</u>	<u>\$ 17,049,420</u>	<u>\$ (61,132)</u>	<u>-0.4 %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research					
Public Service	\$ 14,595,929	\$ 15,229,160	\$ 15,370,453	\$ 141,293	0.9 %
Academic Support	282,712	288,586	246,311	(42,275)	-14.6 %
Student Services					
Institutional Support	1,102,865	1,165,125	1,175,307	10,182	0.9 %
Op/Maint Physical Plant					
Scholarships & Fellowships					
Sub-total Expenditures	<u>\$ 15,981,506</u>	<u>\$ 16,682,871</u>	<u>\$ 16,792,071</u>	<u>\$ 109,200</u>	<u>0.7 %</u>
Mandatory Transfers					
Non Mandatory Transfers	825,218	501,092	357,192	(143,900)	-28.7 %
Total Expenditures & Transfers	<u>\$ 16,806,724</u>	<u>\$ 17,183,963</u>	<u>\$ 17,149,263</u>	<u>\$ (34,700)</u>	<u>-0.2 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (356,413)</u>	<u>\$ (73,411)</u>	<u>\$ (99,843)</u>		

***Institute for Public Service***  
**FY 2015 Proposed Budget Summary**  
 Unrestricted Current Funds Revenues, Expenditures, and Transfers

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 5,058,459	\$ 5,249,898	\$ 5,267,698	\$ 17,800	0.3 %
Grants & Contracts	298,292	272,806	219,347	(53,459)	(19.6) %
Sales & Service					
Other Sources	660,979	807,383	603,371	(204,012)	(25.3) %
Total Revenues	<u>\$ 6,017,730</u>	<u>\$ 6,330,087</u>	<u>\$ 6,090,416</u>	<u>\$ (239,671)</u>	<u>(3.8) %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research					
Public Service	\$ 4,380,723	\$ 4,669,511	\$ 4,481,135	\$ (188,376)	(4.0) %
Academic Support					
Student Services					
Institutional Support	1,093,964	1,148,790	1,158,572	9,782	0.9 %
Op/Maint Physical Plant					
Scholarships & Fellowships					
Sub-total Expenditures	<u>\$ 5,474,687</u>	<u>\$ 5,818,301</u>	<u>\$ 5,639,707</u>	<u>\$ (178,594)</u>	<u>(3.1) %</u>
Mandatory Transfers					
Non Mandatory Transfers	612,219	509,542	443,760	(65,782)	(12.9) %
Total Expenditures & Transfers	<u>\$ 6,086,906</u>	<u>\$ 6,327,843</u>	<u>\$ 6,083,467</u>	<u>\$ (244,376)</u>	<u>(3.9) %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (69,176)</u>	<u>\$ 2,244</u>	<u>\$ 6,949</u>		

**Municipal Technical Advisory Service****FY 2015 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers**

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 2,737,969	\$ 2,892,013	\$ 2,903,713	\$ 11,700	0.4 %
Grants & Contracts	15,067	5,415	5,415		
Sales & Service					
Other Sources	2,940,078	3,035,877	3,201,729	165,852	5.5 %
Total Revenues	<u>\$ 5,693,114</u>	<u>\$ 5,933,305</u>	<u>\$ 6,110,857</u>	<u>\$ 177,552</u>	<u>3.0 %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research					
Public Service	\$ 5,327,551	\$ 5,653,543	\$ 5,981,909	\$ 328,366	5.8 %
Academic Support	282,712	288,586	246,311	(42,275)	(14.6) %
Student Services					
Institutional Support	5,329	8,500	8,900	400	4.7 %
Op/Maint Physical Plant					
Scholarships & Fellowships					
Sub-total Expenditures	<u>\$ 5,615,592</u>	<u>\$ 5,950,629</u>	<u>\$ 6,237,120</u>	<u>\$ 286,491</u>	<u>4.8 %</u>
Mandatory Transfers					
Non Mandatory Transfers	265,563	13,873	24,210	10,337	74.5 %
Total Expenditures & Transfers	<u>\$ 5,881,155</u>	<u>\$ 5,964,502</u>	<u>\$ 6,261,330</u>	<u>\$ 296,828</u>	<u>5.0 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (188,040)</u>	<u>\$ (31,197)</u>	<u>\$ (150,473)</u>		

**County Technical Assistance Service****FY 2015 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers**

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 1,650,969	\$ 1,758,013	\$ 1,768,113	\$ 10,100	0.6 %
Grants & Contracts	38				
Sales & Service					
Other Sources	3,088,459	3,089,147	3,080,034	(9,113)	(0.3)
Total Revenues	<u>\$ 4,739,467</u>	<u>\$ 4,847,160</u>	<u>\$ 4,848,147</u>	<u>\$ 987</u>	<u>- %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research					
Public Service	\$ 4,887,656	\$ 4,906,106	\$ 4,907,409	\$ 1,303	-
Academic Support					
Student Services					
Institutional Support	3,572	7,835	7,835		
Op/Maint Physical Plant					
Scholarships & Fellowships					
Sub-total Expenditures	<u>\$ 4,891,228</u>	<u>\$ 4,913,941</u>	<u>\$ 4,915,244</u>	<u>\$ 1,303</u>	<u>-</u>
Mandatory Transfers					
Non Mandatory Transfers	(52,564)	(22,323)	(110,778)	(88,455)	(396.3)
Total Expenditures & Transfers	<u>\$ 4,838,664</u>	<u>\$ 4,891,618</u>	<u>\$ 4,804,466</u>	<u>\$ (87,152)</u>	<u>(1.8)</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (99,197)</u>	<u>\$ (44,458)</u>	<u>\$ 43,681</u>		

**University-Wide Administration****FY 2015 Proposed Budget Summary****Unrestricted Current Funds Revenues, Expenditures, and Transfers**

	FY 2013 ACTUAL	FY 2014 PROBABLE	FY 2015 PROPOSED	CHANGE PROBABLE TO PROPOSED	
				AMOUNT	%
<b>EDUCATIONAL AND GENERAL</b>					
<b>Revenues</b>					
Tuition & Fees					
State Appropriations	\$ 4,571,278	\$ 4,721,538	\$ 4,794,238	\$ 72,700	1.5 %
Grants & Contracts					
Sales & Service					
Other Sources	13,709,384	16,362,500	16,862,153	499,653	3.1 %
Total Revenues	<u>\$ 18,280,662</u>	<u>\$ 21,084,038</u>	<u>\$ 21,656,391</u>	<u>\$ 572,353</u>	<u>2.7 %</u>
<b>Expenditures and Transfers</b>					
Instruction					
Research	\$ 470,299				
Public Service					
Academic Support					
Student Services					
Institutional Support	46,699,522	\$ 45,428,325	\$ 44,844,150	\$ (584,175)	(1.3) %
Op/Maint Physical Plant					
Scholarships & Fellowships					
Sub-total Expenditures	<u>\$ 47,169,821</u>	<u>\$ 45,428,325</u>	<u>\$ 44,844,150</u>	<u>\$ (584,175)</u>	<u>(1.3) %</u>
Mandatory Transfers	134,948	135,000	135,000		
Non Mandatory Transfers	(24,274,085)	(24,360,700)	(23,206,872)	1,153,828	4.7 %
Total Expenditures & Transfers	<u>\$ 23,030,684</u>	<u>\$ 21,202,625</u>	<u>\$ 21,772,278</u>	<u>\$ 569,653</u>	<u>2.7 %</u>
<b>Fund Balance Addition/(Reduction)</b>	<u>\$ (4,750,023)</u>	<u>\$ (118,587)</u>	<u>\$ (115,887)</u>		

## TUITION AND FEES

SCHEDULE TITLE	PAGE NUMBER
Tuition and Fee Recommendations	51
Chattanooga (UTC)	55
Knoxville (UTK)	56
Knoxville College of Law	58
Martin (UTM)	59
Space Institute (UTSI)	60
Health Science Center (HSC)	61
Veterinary Medicine	62
Other Fees - Executive MBA Programs, Disabled, Elderly, UTK Distance Education	63
Student Programs and Services Fee (Excludes HSC)	64
HSC Student Programs and Services Fee and Other	65
Online Fees	66

## THE UNIVERSITY OF TENNESSEE FY 2015 MAINTENANCE FEE AND TUITION RECOMMENDATIONS

### OVERVIEW

The FY 2015 recommended tuition<sup>1</sup> and fee increases continue the effort to provide students a quality education while keeping the cost to students at a reasonable level. Revenues generated from the increases are used to fund:

- Operating cost increases including general inflation ; increases in utility costs; contractual service increases; and inflationary costs for campus facilities and library acquisitions
- Significant growth in scholarships and fellowships
- Academic reinvestment in support of Knoxville’s Top 25 implementation plan
- Academic promotions and new full-time faculty positions
- Faculty start-up commitments and Honor’s research projects
- Staff positions needed to support student services

*Anticipated new revenues from tuition and fee increases reported in this document do not include adjustments for related increases to scholarships and fellowships and anticipated enrollment changes.*

### RECOMMENDATION

The recommended tuition and fee increases and adjustments presented in the following pages include adjustments in tuition and in selected specialized campus fees students pay. The recommendation is based on considerable discussion with campus leadership and an analysis of expenditures funded at varying fee levels. The administration recommends approval of the proposed tuition and fee increases and adjustments. All other required tuition and fees are recommended for continuation at their current amounts.

---

<sup>1</sup> For the purposes of this document, tuition refers to both in-state maintenance fees and out-of-state tuition.

## MAINTENANCE FEES AND TUITION FY 2015 RECOMMENDED PERCENT CHANGE

<i>ACADEMIC UNITS</i>	<i>MAINTENANCE FEE *</i>	<i>OUT-OF-STATE TUITION</i>	<i>NEW REVENUES</i>
Chattanooga - Undergraduate and Graduate	6.0%	6.0%	\$ 4,345,350
Knoxville – Undergraduate and Graduate	3.0% - 6.0%	0.0%	16,500,000
Knoxville - College of Law	0.0%	0.0 %	
Martin – Undergraduate and Graduate	6.0%	0.0%	2,747,200
Space Institute	6.0%	0.0%	49,020
Health Science Center	0.0%	0.0%	
College of Veterinary Medicine	5.0%	0.0%	689,652
<b>TOTAL</b>			<b>\$ 24,331,222</b>

\*In-state students pay only for the maintenance fee. Out-of-state students pay the maintenance fee plus out-of-state tuition

In addition to changes in tuition, changes are recommended in the following campus specific fees:

## CAMPUS SPECIFIC FEES FY 2015 RECOMMENDED RATE INCREASE

<i>CAMPUS</i>	<i>FEE</i>	<i>CURRENT ANNUAL RATE</i>	<i>PROPOSED ANNUAL RATE</i>	<i>CHANGE</i>	<i>NEW REVENUES</i>
Chattanooga	Health Fee	\$ 50	\$ 120	\$ 70	\$ 870,334
	Technology Fee	200	250	50	562,567
	Facilities Fee	150	200	50	580,767
	Transportation Fee	NEW	48	48	519,864
Knoxville	Master of Human Resource Management	NEW	3,000	3,000	90,000
	Healthcare Executive MBA	NEW	65,000	65,000	975,000
	Master Business Analytics	NEW	4,500	4,500	211,500
	Master of Accountancy	3,000	4,500	1,500	102,000
	Aerospace Executive MBA	64,000	66,000	2,000	52,000
	Physician Executive MBA	74,000	76,000	2,000	90,000
	Towing Fee	42	60	18	4,000
	Program Service Fee – Capital Projects	744	790	46	1,150,000
	International Education Fee	10	20	10	190,360
Facilities Fee (In-state Only)	320	360	40	900,000	
Martin	Student Activity Fee (Non-Athletic)	126	146	20	116,000
	Student Health & Counseling Fee	NEW	60	60	348,000
	Technology Fee	200	250	50	290,000
HSC	Transitional DDS Program	NEW	70,000	70,000	210,000
<b>TOTAL</b>					<b>\$ 7,262,392</b>



## PROPOSED USES OF NEW REVENUES

### UT CHATTANOOGA

- Maintenance Fee and Out-of-State Tuition – A 6.0% increase generates \$4.3 million in additional revenues. \$736,000 of the increase is used for faculty and instruction to fund academic staff and student positions, faculty positions, library acquisitions, and operating budget increases. \$793,000 is allocated for general operating expenses such as classroom furnishings, utilities, UT system charges, and other institution – wide budget increases. A portion is allocated to fund salary adjustments resulting from reorganizations, reclassifications, and promotions. \$655,000 is used to fund scholarships and athletic grant-in-aid. \$1.4 million is used to fund campus support services. These include hiring a community engagement coordinator, physical plant personnel, student development disability center personnel, and human resources personnel. The remaining campus support funding includes University Center custodial and operating increases, a communication plan, and reserve for contingencies.
- Professional MBA Program (Online)- Fee revenues support faculty and staff time, technical support, program marketing costs, books, and materials. Fees for Professional MBA students are often paid by their employers. The proposed increases are as follows:

PROGRAM (Online)	CURRENT FEE	PROPOSED FEE	CHANGE	EFFECTIVE DATE
Professional MBA (In-state)	\$ 25,200	\$ 27,348	\$2,148	Fall, 2014
Professional MBA (Out-of-state)	\$ 26,784	\$ 29,004	\$2,220	Fall, 2014

### UT KNOXVILLE

- Maintenance Fee and Out-of-State Tuition – Knoxville proposes a 6.0% increase to maintenance fees. They propose a 3.0% increase to those students who are in the second year of the 15-4 model. There is no increase for out-of-state tuition, but out-of-state students will pay their share of the maintenance fee increase.

The increase generates \$16.5 million in additional revenues. \$9.0 million is used for institutionally funded scholarships and graduate fee waivers to help keep the *net* cost of attendance as low as possible. \$4.5 million is allocated towards campus support services including \$2.2 million for Top 25 academic reinvestment plans and \$2.3 million for summer school to support student progression and completion. The remaining funds are allocated to faculty startup commitments, academic hires and promotions, graduate student health insurance, and contractual services increases.

- Executive MBA Programs - The Executive MBA programs are self-funded and their fee revenues support faculty and staff time, technical support, program marketing costs, books, materials, and travel cost for international experiences. The Health Care Leadership Executive MBA Program (effective January, 2015) is new and generates \$975,000. Increases for the Aerospace and Physician Executive MBA Programs amount to \$142,000. These fees are often paid by the students' employers.
- Masters Programs – Fee increases for three masters programs will generate \$403,500. The programs include Master of Human Resource Management, Master of Business Analytics, and Master of Accountancy.

**UT MARTIN**

- **Maintenance Fee and Out-of-State Tuition** – A 6.0% increase for the maintenance fee generates \$2.7 million in additional revenues based on projected enrollment in Fall 2014. There is no increase for out-of-state tuition, but out-of-state students will pay their share of the maintenance fee increase. \$251,000 is used to fund faculty and instruction for the online MBA program, Parsons Center, and Ripley Center. \$1.5 million is used for operating expense increases. These include utilities, library inflation, additional support for System initiatives and the UT Foundation, staff benefits, and reserve for contingencies. Part will be used to fund faculty promotions, CAP certifications, and staff upgrades. \$238,000 is used for scholarships and fee waivers, to help keep the *net* cost of attendance as low as possible.
- **UT Online - Martin** – The in-state course fee portion increases 6.0% per credit hour (PCH) for both undergraduate and graduate students. The online support fee remains unchanged at \$56. The new rates are as follows:

		COURSE FEE	SUPPORT FEE	TOTAL PCH RATE
Undergraduate	In-State	\$280	\$56	\$336
	Out-of-State	\$306	\$56	\$362
Graduate	In-State	\$478	\$56	\$534
	Out-of-State	\$526	\$56	\$582

**UT SPACE INSTITUTE**

- **Maintenance Fee and Out-of-State Tuition** – UTSI proposes a 6.0% increase to maintenance fees only. Out-of-state tuition remains unchanged, but out-of-state students will pay the higher maintenance fee, resulting in a 2.1% increase for out-of-state students. Part of the increase will be offset by reduced enrollment resulting in \$49,000 in additional revenues. These funds will be allocated toward faculty startup commitments.

**UT HEALTH SCIENCE CENTER**

- **Maintenance Fee and Out-of-State Tuition** – There will be no tuition increase for FY 2014-15. However, revenues will increase by \$4,413,300 due to enrollment increases in several of UTHSC colleges. \$2,647,650 will be allocated to help fund new faculty positions in Health Professions, Dentistry, Nursing, & Pharmacy. \$497,235 will fund increases for staff benefits and increased payments for the UT Foundation. \$300,000 will be allocated toward the expansion of our Pharmacy School in Nashville. Finally, \$698,415 will be used to fund new research initiatives across the campus.

**UT COLLEGE OF VETERINARY MEDICINE**

- **Maintenance Fee and Out-of-State Tuition** – A 5% increase generates \$689,652. \$241,527 will be used for general operating expenses. The remaining \$448,125 is allocated to fund faculty promotions, career ladder increases, specialty board certifications, and other minor compensation adjustments.

## Chattanooga

### FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees

	FY 2013-14	FY 2014-15	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Undergraduate</b>				
Maintenance Fee *	\$ 6,065	\$ 6,430	\$ 365	6.0%
<u>Other Fees:</u>				
Programs & Services **	\$ 590	\$ 660	\$ 70	11.9%
Athletics	480	480		
Green Fee	20	20		
Technology	200	250	50	25.0%
Library Fee	50	50		
Transportation *****		48	48	NEW
Facilities ***	150	200	50	33.3%
Total Other Fees	<u>\$ 1,490</u>	<u>\$ 1,708</u>	<u>\$ 218</u>	<u>14.6%</u>
Total Maintenance Fee and Other Fees	<u>\$ 7,555</u>	<u>\$ 8,138</u>	<u>\$ 583</u>	<u>7.7%</u>
<b>Graduate</b>				
Maintenance Fee *	\$ 7,272	\$ 7,708	\$ 436	6.0%
<u>Other Fees:</u>				
Programs & Services **	\$ 590	\$ 660	\$ 70	11.9%
Athletics	480	480		
Green Fee	20	20		
Technology	200	250	50	25.0%
Library Fee	50	50		
Transportation *****		48	48	NEW
Facilities ***	150	200	50	33.3%
Total Other Fees	<u>\$ 1,490</u>	<u>\$ 1,708</u>	<u>\$ 218</u>	<u>14.6%</u>
Total Maintenance Fee and Other Fees	<u>\$ 8,762</u>	<u>\$ 9,416</u>	<u>\$ 654</u>	<u>7.5%</u>
<b>OUT-OF-STATE</b>				
<b>Undergraduate</b>				
Maintenance Fee *	\$ 6,065	\$ 6,430	\$ 365	6.0%
Out-of-State Tuition ****	15,207	16,118	911	6.0%
Maintenance Fee & Out-of-State Tuition ****	<u>\$ 21,272</u>	<u>\$ 22,548</u>	<u>\$ 1,276</u>	<u>6.0%</u>
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 590	\$ 660	\$ 70	11.9%
Athletics	480	480		
Green Fee	20	20		
Technology	200	250	50	25.0%
Library Fee	50	50		
Transportation *****		48	48	NEW
Facilities ***	150	200	50	33.3%
Total Other Fees	<u>\$ 1,490</u>	<u>\$ 1,708</u>	<u>\$ 218</u>	<u>14.6%</u>
Total Maintenance Fee & Out-of-State Tuition, and Other Fees	<u>\$ 22,762</u>	<u>\$ 24,256</u>	<u>\$ 1,494</u>	<u>6.6%</u>
<b>Graduate</b>				
Maintenance Fee *	\$ 7,272	\$ 7,708	\$ 436	6.0%
Out-of-State Tuition ****	15,205	16,118	913	6.0%
Maintenance Fee & Out-of-State Tuition ****	<u>\$ 22,477</u>	<u>\$ 23,826</u>	<u>\$ 1,349</u>	<u>6.0%</u>
<u>Other Fees:</u>				
Programs and Service Fees **	\$ 590	\$ 660	\$ 70	11.9%
Athletics	480	480		
Green Fee	20	20		
Technology	200	250	50	25.0%
Library Fee	50	50		
Transportation *****		48	48	NEW
Facilities ***	150	200	50	33.3%
Total Other Fees	<u>\$ 1,490</u>	<u>\$ 1,708</u>	<u>\$ 218</u>	<u>14.6%</u>
Total Maintenance Fee & Out-of-State Tuition, and Other Fees	<u>\$ 23,967</u>	<u>\$ 25,534</u>	<u>\$ 1,567</u>	<u>6.5%</u>

\* The Maintenance Fee is commonly referred to as "in-state tuition."

\*\* Student Programs and Services Fees are listed on page 64. This fee is sometimes referred to as the "student activity fee."

\*\*\* In FY 2014, facilities and and facilities match were presented as separate fees. These are combined in FY 2015.

\*\*\*\* Out-of-state students pay the Maintenance Fee (commonly referred to as "in-state-tuition") and an additional fee commonly referred to as "out-of-state tuition."

\*\*\*\*\* This is commonly referred to as "out-of-state tuition."

\*\*\*\*\* The Transportation Fee will be phased in over three years. In FY 2014-15, full-time undergraduate and graduate students will pay \$48 per academic year (part-time students will pay \$2 per-credit-hour). This will increase to \$72 per year (\$3 per-credit hour) in FY 2015-16 and \$96 per year (\$4 per-credit-hour) in FY 2016-17.

The schedule above does not include differential fees assessed at \$53 per credit hour for School of Business, Nursing, and Engineering courses. There is no cap on these fees.

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

**Knoxville**  
**FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees**  
**15-4 Model**

	FY 2013-14	FY 2014-15	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Undergraduate</b>				
<u>Maintenance Fee *</u>				
2014-15 (Students admitted or readmitted fall 2014, spring/summer 2015)		\$ 10,366	NEW	
2013-14 (Students admitted or readmitted fall 2013, spring/summer 2014)	\$ 9,780	10,074	\$ 294	3.0%
<u>Other Fees *****</u>				
	\$ 1,414	\$ 1,510	\$ 96	6.8%
<u>Total Maintenance Fee and Other Fees</u>				
2014-15 (Students admitted or readmitted fall 2014, spring/summer 2015)		\$ 11,876	NEW	
2013-14 (Students admitted or readmitted fall 2013, spring/summer 2014)	\$ 11,194	\$ 11,584	\$ 390	3.5%
<b>OUT-OF-STATE</b>				
<b>Undergraduate</b>				
<u>Maintenance Fee *</u>				
2014-15 (Students admitted or readmitted fall 2014, spring/summer 2015)		\$ 10,366	NEW	
2013-14 (Students admitted or readmitted fall 2013, spring/summer 2014)	\$ 9,780	10,074	\$ 294	3.0%
<u>Out-of-State Tuition ***</u>				
2014-15 (Students admitted or readmitted fall 2014, spring/summer 2015)		\$ 18,190	NEW	
2013-14 (Students admitted or readmitted fall 2013, spring/summer 2014)	\$ 18,190	18,190		
<u>Maintenance Fee &amp; Out-of-State Tuition Total *****</u>				
2014-15 (Students admitted or readmitted fall 2014, spring/summer 2015)		\$ 28,556	NEW	
2013-14 (Students admitted or readmitted fall 2013, spring/summer 2014)	\$ 27,970	28,264	\$ 294	1.1%
<u>Other Fees *****</u>				
	\$ 1,714	\$ 1,770	\$ 56	3.3%
<u>Total Maintenance Fee, Tuition, and Other Fees</u>				
2014-15 (Students admitted or readmitted fall 2014, spring/summer 2015)		\$ 30,326	NEW	
2013-14 (Students admitted or readmitted fall 2013, spring/summer 2014)	\$ 29,684	\$ 30,034	\$ 350	1.2%

\* The Maintenance Fee is commonly referred to as "in-state tuition."  
 \*\* Student Programs and Services Fees are listed on page 64. This fee is sometimes referred to as the "student activity fee."  
 \*\*\* Out-of-state students pay the Maintenance Fee (commonly referred to as "in-state tuition") and an additional fee commonly referred to as "out-of-state tuition."  
 \*\*\*\* This is commonly referred to as "out-of-state tuition."  
 \*\*\*\*\* Detail shown on the UTK schedule for 'Students Admitted or Readmitted Before 2013' on page 57.

The schedule above does not include differential fees assessed for certain courses: additional charge of \$60 per credit hour for Engineering courses; additional charge of \$123 per credit hour for 200, 300 and 400 level Nursing courses; and additional charge of \$68 per credit hour for 100, 500, 600 level Business courses. There is no cap on these fees.

There is no summer school maximum for undergraduate students.

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**

**Knoxville**  
**FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees**  
**Students Admitted or Readmitted Before Fall 2013**

	FY 2013-14	FY 2014-15	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Undergraduate</b>				
Maintenance Fee *	\$ 8,270	\$ 8,766	\$ 496	6.0%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 744	\$ 790	\$ 46	6.2%
Technology	200	200		
Facilities	320	360	40	12.5%
Transportation	120	120		
Library Fee	20	20		
International Education	10	20	10	100.0%
Total Other Fees	\$ 1,414	\$ 1,510	\$ 96	6.8%
Total Maintenance Fee and Other Fees	\$ 9,684	\$ 10,276	\$ 592	6.1%
<b>Graduate</b>				
Maintenance Fee *	\$ 9,540	\$ 10,112	\$ 572	6.0%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 744	\$ 790	\$ 46	6.2%
Technology	200	200		
Facilities	320	360	40	12.5%
Transportation	120	120		
Library Fee	20	20		
Total Other Fees	\$ 1,404	\$ 1,490	\$ 86	6.1%
Total Maintenance Fee and Other Fees	\$ 10,944	\$ 11,602	\$ 658	6.0%

<b>OUT-OF-STATE</b>				
<b>Undergraduate</b>				
Maintenance Fee *	\$ 8,270	\$ 8,766	\$ 496	6.0%
Out-of-State Tuition ***	18,190	18,190		
Maintenance Fee & Out-of-State Tuition ****	\$ 26,460	\$ 26,956	\$ 496	1.9%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 744	\$ 790	\$ 46	6.2%
Technology	200	200		
Facilities	620	620		
Transportation	120	120		
Library Fee	20	20		
International Education	10	20	10	100.0%
Total Other Fees	\$ 1,714	\$ 1,770	\$ 56	3.3%
Total Maintenance Fee, Out-of-State Tuition, and Other Fees	\$ 28,174	\$ 28,726	\$ 552	2.0%
<b>Graduate</b>				
Maintenance Fee *	\$ 9,540	\$ 10,112	\$ 572	6.0%
Out-of-State Tuition ***	18,188	18,188		
Maintenance Fee & Out-of-State Tuition ****	\$ 27,728	\$ 28,300	\$ 572	2.1%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 744	\$ 790	\$ 46	6.2%
Technology	200	200		
Facilities	620	620		
Transportation	120	120		
Library Fee	20	20		
Total Other Fees	1,704	1,750	46	2.7%
Total Maintenance Fee, Out-of-State Tuition, and Other Fees	\$ 29,432	\$ 30,050	\$ 618	2.1%

\* The Maintenance Fee is commonly referred to as "in-state tuition."  
 \*\* Student Programs and Services Fees are listed on page 64. This fee is sometimes referred to as the "student activity fee."  
 \*\*\* Out-of-state students pay the Maintenance Fee (commonly referred to as "in-state tuition") and an additional fee commonly referred to as "out-of-state tuition."  
 \*\*\*\* This is commonly referred to as "out-of-state tuition."

The schedule above does not include differential fees assessed for certain courses: additional charge of \$60 per credit hour for Engineering courses; additional charge of \$123 per credit hour for 200, 300 and 400 level Nursing courses; and additional charge of \$68 per credit hour for 100, 500, 600 level Business courses. There is no cap on these fees.

There is no summer school maximum for undergraduate students.

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**

**Knoxville - College of Law****FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees**

	FY 2013-14	FY 2014-15	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
Maintenance Fee *	\$ 16,078	\$ 16,078		
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 744	\$ 790	\$ 46	6.2%
Technology	200	200		
Facilities	320	360	40	12.5%
Transportation	120	120		
Law Library Fee	250	250		
Law Enhancement Fee	1,250	1,250		
Total Other Fees	\$ 2,884	\$ 2,970	\$ 86	3.0%
Total Maintenance Fee and Other Fees	\$ 18,962	\$ 19,048	\$ 86	0.5%
<b>Summer Semester Only</b>				
Maintenance Fee*	\$ 5,364	\$ 5,364		
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 226	\$ 240	\$ 14	6.2%
Technology	100	100		
Facilities	160	180	20	12.5%
Transportation	60	60		
Total Other Fees	\$ 546	\$ 580	\$ 34	6.2%
Total Maintenance Fee and Other Fees	\$ 5,910	\$ 5,944	\$ 34	0.6%
<b>OUT-OF-STATE</b>				
Maintenance Fee*	\$ 16,078	\$ 16,078		
Out-of-State Tuition ***	18,444	18,444		
Maintenance Fee & Out-of-State Tuition ****	\$ 34,522	\$ 34,522		
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 744	\$ 790	\$ 46	6.2%
Technology	200	200		
Facilities	620	620		
Transportation	120	120		
Law Library Fee	250	250		
Law Enhancement Fee	1,250	1,250		
Total Other Fees	\$ 3,184	\$ 3,230	\$ 46	1.4%
Total Maintenance Fee & Out-of-State Tuition, and Other Fees	\$ 37,706	\$ 37,752	\$ 46	0.1%
<b>Summer Semester Only</b>				
Maintenance Fee *	\$ 5,364	\$ 5,364		
Out-of-State Tuition ***	6,145	6,145		
Maintenance Fee & Out-of-State Tuition ****	\$ 11,509	\$ 11,509		
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 226	\$ 240	\$ 14	6.2%
Technology	100	100		
Facilities	310	310		
Transportation	60	60		
Total Other Fees	\$ 696	\$ 710	\$ 14	2.0%
Total Maintenance Fee & Out-of-State Tuition, and Other Fees	\$ 12,205	\$ 12,219	\$ 14	0.1%

\* The Maintenance Fee is commonly referred to as "in-state tuition."

\*\* Student Programs and Services Fees are listed on page 64. This fee is sometimes referred to as the "student activity fee."

\*\*\* Out-of-state students pay the Maintenance Fee (commonly referred to as "in-state-tuition") and an additional fee commonly referred to as "out-of-state tuition".

\*\*\*\* This is commonly referred to as "out-of-state tuition."

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

**Martin****FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees**

	FY 2013-14	FY 2014-15	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Undergraduate</b>				
Maintenance Fee *	\$ 6,336	\$ 6,716	\$ 380	6.0%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 814	\$ 894	\$ 80	9.8%
Technology	200	250	50	25.0%
Yearbook	14	14		
Facilities	150	150		
Total Other Fees	\$ 1,178	\$ 1,308	\$ 130	11.0%
Total Maintenance Fee and Other Fees	\$ 7,514	\$ 8,024	\$ 510	6.8%
<b>Graduate</b>				
Maintenance Fee*	\$ 7,560	\$ 8,014	\$ 454	6.0%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 814	\$ 894	\$ 80	9.8%
Technology	200	250	50	25.0%
Facilities	150	150		
Total Other Fees	\$ 1,164	\$ 1,294	\$ 130	11.2%
Total Maintenance Fee and Other Fees	\$ 8,724	\$ 9,308	\$ 584	6.7%
<b>OUT-OF-STATE</b>				
<b>Undergraduate</b>				
Maintenance Fee*	\$ 6,336	\$ 6,716	\$ 380	6.0%
Out-of-State Tuition ***	13,944	13,944		
Maintenance Fee & Out-of-State Tuition ****	\$ 20,280	\$ 20,660	\$ 380	1.9%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 814	\$ 894	\$ 80	9.8%
Technology	200	250	50	25.0%
Yearbook	14	14		
Facilities	150	150		
Total Other Fees	\$ 1,178	\$ 1,308	\$ 130	11.0%
Total Maintenance Fee & Out-of-State Tuition, and Other Fees	\$ 21,458	\$ 21,968	\$ 510	2.4%
<b>Graduate</b>				
Maintenance Fee *	\$ 7,560	\$ 8,014	\$ 454	6.0%
Out-of-State Tuition ***	13,944	13,944		
Maintenance Fee & Out-of-State Tuition ****	\$ 21,504	\$ 21,958	\$ 454	2.1%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 814	\$ 894	\$ 80	9.8%
Technology	200	250	50	25.0%
Facilities	150	150		
Total Other Fees	\$ 1,164	\$ 1,294	\$ 130	11.2%
Total Maintenance Fee & Out-of-State Tuition, and Other Fees	\$ 22,668	\$ 23,252	\$ 584	2.6%

\* The Maintenance Fee is commonly referred to as "in-state tuition."

\*\* Student Programs and Services Fees are listed on page 64. This fee is sometimes referred to as the "student activity fee."

\*\*\* Out-of-state students pay the Maintenance Fee (commonly referred to as "in-state-tuition") and an additional fee commonly referred to as "out-of-state tuition".

\*\*\*\* This is commonly referred to as "out-of-state tuition."

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

## Space Institute

### FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees

	FY 2013-14	FY 2014-15	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
Maintenance Fee *	\$ 9,540	\$ 10,112	\$ 572	6.0%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 180	\$ 180		
Total Maintenance Fee and Other Fees	<u>\$ 9,720</u>	<u>\$ 10,292</u>	<u>\$ 572</u>	<u>5.9%</u>
<b>Summer Semester Only</b>				
Maintenance Fee*	\$ 4,770	\$ 5,056	\$ 286	6.0%
<u>Other Fees:</u>				
Programs & Services **	\$ 75	\$ 75		
Total Maintenance Fee and Other Fees	<u>\$ 4,845</u>	<u>\$ 5,131</u>	<u>\$ 286</u>	<u>5.9%</u>
<b>OUT-OF-STATE</b>				
Maintenance Fee *	\$ 9,540	\$ 10,112	\$ 572	6.0%
Out-of-State Tuition ***	18,188	18,188		
Maintenance Fee & Out-of-State Tuition ****	<u>\$ 27,728</u>	<u>\$ 28,300</u>	<u>\$ 572</u>	<u>2.1%</u>
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 180	\$ 180		
Total Maintenance Fee & Out-of-State Tuition, and Other Fees	<u>\$ 27,908</u>	<u>\$ 28,480</u>	<u>\$ 572</u>	<u>2.1%</u>
<b>Summer Semester Only</b>				
Maintenance Fee *	\$ 4,770	\$ 5,056	\$ 286	6.0%
Out-of-State Tuition ***	9,094	9,094		
Maintenance Fee & Out-of-State Tuition ****	<u>\$ 13,864</u>	<u>\$ 14,150</u>	<u>\$ 286</u>	<u>2.1%</u>
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 75	\$ 75	\$ -	0.0%
Total Maintenance Fee & Out-of-State Tuition, and Other Fees	<u>\$ 13,939</u>	<u>\$ 14,225</u>	<u>\$ 286</u>	<u>2.1%</u>

\* The Maintenance Fee is commonly referred to as "in-state tuition."

\*\* Student Programs and Services Fees are listed on page 64. This fee is sometimes referred to as the "student activity fee."

\*\*\* Out-of-state students pay the Maintenance Fee (commonly referred to as "in-state-tuition") and an additional fee commonly referred to as "out-of-state tuition".

\*\*\*\* This is commonly referred to as "out-of-state tuition."

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**



**Health Science Center**  
**FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees**

	FY 2013-14	FY 2014-15	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
<b>Maintenance Fee</b>				
<b>Graduate Health Sciences</b>				
MS Pharmacology	\$ 10,080	\$ 10,080		
	15,000	15,000		
<b>Medicine</b>				
Class of 2018	\$ -	\$ 33,030	NEW	
Class of 2017	33,030	33,030		
Class of 2016	32,070	32,070		
Class of 2015	31,140	31,140		
<b>Dentistry</b>				
General DDS*****	\$ 27,900	\$ 27,900		
Dental Hygiene Bachelor of Science	7,546	7,546		
<b>Pharmacy</b>	\$ 21,020	\$ 21,020		
<b>Nursing</b>				
Bachelors*****	\$ 8,000	\$ 8,000		
Graduate	11,740	11,740		
<b>Health Professions</b>				
Entry Level Bachelor of Science				
Medical Technology	\$ 7,546	\$ 7,546		
Entry Level Advanced Degrees *	12,580	12,580		
Entry Lev Adv Degrees Audiology/Speech Path**	11,470	11,470		
Entry Lev Adv Degree Physician Assistant****	14,000	14,000		
Post-Professional Degrees ***	9,120	9,120		
<b>OUT-OF-STATE</b>				
<b>Graduate Health Sciences</b>				
MS Pharmacology	\$ 29,040	\$ 29,040		
	23,000	23,000		
<b>Medicine</b>				
Class of 2018	\$ -	\$ 65,460	NEW	
Class of 2017	65,460	65,460		
Class of 2016	63,560	63,560		
Class of 2015	61,700	61,700		
<b>Dentistry</b>				
General DDS*****	\$ 66,070	\$ 66,070		
Dental Hygiene Bachelor of Science	25,570	25,570		
<b>Pharmacy</b>	\$ 40,600	\$ 40,600		
<b>Nursing</b>				
Bachelors*****	\$ 24,000	\$ 24,000		
Graduate	28,300	28,300		
<b>Health Professions</b>				
Entry Level Bachelor of Science				
Medical Technology	\$ 25,570	\$ 25,570		
Entry Level Advanced Degrees *	30,300	30,300		
Entry Lev Adv Degrees Audiology/Speech Path**	27,630	27,630		
Entry Lev Adv Degree Physician Assistant****	24,000	24,000		
Post-Professional Degrees ***	26,870	26,870		

**NOTE:**

\* **Entry Level Advanced Degrees**  
 Doctor of Physical Therapy  
 Master of Cytopathology  
 Master of Occupational Therapy

\*\* **Entry Level Advanced Degrees Audiology/Speech Path**  
 Doctor of Audiology  
 Master of Science in Speech-Language Pathology  
 Transitional Doctor of Audiology

\*\*\* **Post-Professional Degrees**  
 Doctor of Science in Physical Therapy  
 Master of Science in Physical Therapy  
 Transitional Doctor of Physical Therapy  
 Master of Science in Clinical Lab Sciences

\*\*\*\* **Entry Level Advanced Degree Physician Assistant**  
 This degree started in Spring 2014.

\*\*\*\*\* **Nursing Bachelors Degree**  
 This degree was reinstated in Fall 2013.

\*\*\*\*\* **Transitional DDS**  
 This is a required program for students that have a Dentistry degree from a foreign country but wish to be certified to practice in the United States. These students are given advanced standing status and are required to only complete the last 2 years (or 3 years based on a standard skills assessment) of the regular Dentistry program in order to receive a Doctor of Dental Science (DDS) degree in the United States. The students will be charged a flat \$70,000 annual rate even though they may be US citizens and residents of Tennessee due to the special circumstances for their admission.

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

## ***Veterinary Medicine***

### **FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees**

	FY 2013-14	FY 2014-15	CHANGE	
			Amount	Percent
<b>IN-STATE</b>				
Maintenance Fee *	\$ 22,638	\$ 23,770	\$ 1,132	5.0%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 744	\$ 790	\$ 46	6.2%
Technology	200	200		
Facilities	320	360	40	12.5%
Transportation	120	120		
Total Other Fees	\$ 1,384	\$ 1,470	\$ 86	6.2%
Total Maintenance Fee and Other Fees	\$ 24,022	\$ 25,240	\$ 1,218	5.1%
<b>OUT-OF-STATE</b>				
Maintenance Fee *	\$ 22,638	\$ 23,770	\$ 1,132	5.0%
Out-of-State Tuition ***	27,800	27,800		
Maintenance Fee & Out-of-State Tuition ****	\$ 50,438	\$ 51,570	\$ 1,132	2.2%
<u>Other Fees:</u>				
Student Programs and Service Fees **	\$ 744	\$ 790	\$ 46	6.2%
Technology	200	200		
Facilities	620	620		
Transportation	120	120		
Total Other Fees	\$ 1,684	\$ 1,730	\$ 46	2.7%
Total Maintenance Fee & Out-of-State Tuition, and Other Fees	\$ 52,122	\$ 53,300	\$ 1,178	2.3%

\* The Maintenance Fee is commonly referred to as "in-state tuition."

\*\* Student Programs and Services Fees are listed on page 64. This fee is sometimes referred to as the "student activity fee."

\*\*\* Out-of-state students pay the Maintenance Fee (commonly referred to as "in-state-tuition") and an additional fee commonly referred to as "out-of-state tuition".

\*\*\*\* This is commonly referred to as "out-of-state tuition."

\*\*\*\*\* Students are required to complete a minimum of 9 semesters, attending one summer term immediately before the final fall and spring semesters of their 4-year professional degree program. The CVM assesses fee payment for 8 semesters of academic work, but no fees for summer semester enrollment which is consistent with common practice among its national peers.

**University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.**

**Other Fees****FY 2014-15 Maintenance and Annual Tuition and Other**

	FY 2013-14	FY 2014-15	AMOUNT CHANGE
<b>EXECUTIVE MBA PROGRAMS</b>			
<b>1-YEAR PROGRAMS - Knoxville</b>			
Senior Executive MBA	\$ 69,500	\$ 69,500	
Aerospace Executive MBA	64,000	66,000	\$ 2,000
Professional Executive MBA	46,500	46,500	
Physician Executive MBA **	74,000	76,000	2,000
Global Supply Chain Executive MBA	82,500	82,500	
Health Care Leadership MBA **		65,000	NEW
<b>2-YEAR PROGRAM - Chattanooga</b>			
Executive MBA - In-State *	\$ 44,000	\$ 44,000	
Executive MBA - Out-of-State *	49,000	49,000	
<b>PROFESSIONAL MASTERS PROGRAMS</b>			
<b>Knoxville</b>			
Masters of Science in Industrial Engineering	\$ 16,000	\$ 16,000	
Masters of Human Resource Management *		3,000	NEW
Master Business Analytics Program in Statistics, Operations, and Management Science		4,500	NEW
Master of Accountancy in Accounting and Information Management	\$ 3,000	\$ 4,500	\$ 1,500
* Effective August, 2014			
** Effective January, 2015			
<b>DISABLED/ELDERLY PERSONS</b>			
<i>Disabled/Elderly Persons covered under Tennessee Code 49-7-113</i>			
<b>COURSES FOR CREDIT</b>			
Per Semester Hour	\$ 7	\$ 7	
Maximum Fee per Semester	70	70	
<b>AUDIT COURSES</b>	No Charge	No Charge	
<b>DISTANCE EDUCATION - KNOXVILLE</b>			
<i>(Distance Education Students Only)</i>			
Distance Education Support	\$ 46	\$ 46	

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

## **Student Programs and Services Fee**

### **FY 2014-15 Annual Fees**

	FY 2013-14	FY 2014-15	AMOUNT CHANGE	PERCENT CHANGE
<b>KNOXVILLE</b> (Includes College of Law and Veterinary Medicine)				
<b>FALL AND SPRING</b>				
Student Activity*	\$ 210	\$ 228	\$ 18	8.6%
Debt Service	258	282	24	9.3%
Health Services	194	198	4	2.1%
Counseling	82	82		
Total	<u>\$ 744</u>	<u>\$ 790</u>	<u>\$ 46</u>	<u>6.2%</u>
<b>Summer Semester Only</b>				
Student Activity*	\$ 64	\$ 69	\$ 5	7.8%
Debt Service	78	86	8	10.3%
Health Services	59	60	1	1.7%
Counseling	25	25		
Total	<u>\$ 226</u>	<u>\$ 240</u>	<u>\$ 14</u>	<u>6.2%</u>
<b>SPACE INSTITUTE</b>				
<b>FALL AND SPRING</b>				
Student Activity	\$ 180	\$ 180		
<b>Summer Semester Only</b>				
Student Activity	\$ 75	\$ 75		
<b>CHATTANOOGA</b>				
Student Activity	\$ 240	\$ 240		
Debt Service	300	300		
Health Services	50	120	70	140.0%
Total	<u>\$ 590</u>	<u>\$ 660</u>	<u>\$ 70</u>	<u>11.9%</u>
<b>MARTIN</b>				
Student Activity - Non Athletic	\$ 126	\$ 146	\$ 20	15.9%
Student Activity - Athletic	308	308		
Student Health & Counseling	NEW	60	60	NEW
Debt Service	380	380		
Total	<u>\$ 814</u>	<u>\$ 894</u>	<u>\$ 80</u>	<u>9.8%</u>

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

**Health Science Center**  
**Student Programs and Services and Other Fees**  
 FY 2014-15 Annual Tuition and Fees

	FY 2013-14	FY 2014-15	AMOUNT CHANGE
<b>Student Programs and Service Fees and Other Fees</b>			
<b>Programs &amp; Services</b>			
Student Activity	\$ 26	\$ 26	
Campus Recreation	40	40	
Campus Improvement	50	50	
Debt Service	54	54	
Health Services	200	200	
Counseling	180	180	
Total Programs & Services Fees	<u>\$ 550</u>	<u>\$ 550</u>	
<b>Technology Fee</b>	200	200	
<b>Graduation/Yearbook</b>	50	50	
Total	<u>\$ 800</u>	<u>\$ 800</u>	
<b>Other Fees</b>			
<b>Health Insurance</b>	\$ 2,068	\$ 2,223	\$ 155
<b>Hepatitis Immunization</b>	230	230	
<b>Disability Insurance</b>	48	48	
<b>Malpractice Insurance</b>			
Medicine			
Class of 2017	24	24	
Class of 2016	24	24	
Class of 2015	72	72	
Class of 2014	72	72	
Pharmacy	25	20	(5)
Nursing	25	20	(5)
Allied Health Sciences	25	20	(5)
Dentistry	5	18	13
<b>Other Fees - Allied Health</b>			
Laptop Computer Fee	\$ 1,200	\$ 1,200	
Digital Course Materials Fee	865	865	
<b>Other Fees - Nursing</b>			
CON Pre-Licensure Digital Course Materials Fee		\$ 2,646	NEW
CON BSN Digital Course Materials Fee		1,206	NEW
CON CNL Digital Course Materials Fee		832	NEW
CON Nursing Kit		220	NEW
CON Digital Equipment Fee		368	NEW
<b>Other Fees - Dentistry</b>			
Dentistry Student Government	\$ 60	\$ 60	
Laboratory and Clinical Utilization Fee	2,200	2,200	
Graduate Endodontics Clinical Utilization Fee	12,750	12,750	
Graduate Orthodontics Clinical Utilization Fee		7,000	NEW

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*

## **Online Fees - Chattanooga**

### **FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees**

	FY 2013-14	FY 2014-15	CHANGE	
			Amount	Percent
<b>UT ONLINE - Chattanooga</b>				
UT online course and support fees are charged per credit hour with no maximum credit hour cap on the distance campus. The online support fee also applies to each online credit hour taken by on-campus students.				
<b><u>UNDERGRADUATE</u></b>				
<b>IN-STATE</b>				
Course Fee	\$ 253	\$ 268	\$ 15	6.0%
Online Support	56	56		
Total	<u>\$ 309</u>	<u>\$ 324</u>	<u>\$ 15</u>	<u>4.9%</u>
<b>OUT-OF-STATE</b>				
Course Fee	\$ 277	\$ 294	\$ 17	6.0%
Online Support	56	56		
Total	<u>\$ 333</u>	<u>\$ 350</u>	<u>\$ 17</u>	<u>5.0%</u>
<b><u>GRADUATE</u></b>				
<b>IN-STATE</b>				
Course Fee	\$ 404	\$ 428	\$ 24	6.0%
Online Support	56	56		
Total	<u>\$ 460</u>	<u>\$ 484</u>	<u>\$ 24</u>	<u>5.3%</u>
<b>OUT-OF-STATE</b>				
Course Fee	\$ 448	\$ 475	\$ 27	6.0%
Online Support	56	56		
Total	<u>\$ 504</u>	<u>\$ 531</u>	<u>\$ 27</u>	<u>5.3%</u>
<b>2 Year Professional MBA Program *</b>				
In-State	\$ 25,200	\$ 27,348	\$ 2,148	8.5%
Out-of-State	26,784	29,004	2,220	8.3%

\* Includes 6% increase for maintenance and out-of-state tuition. The online support fee has not changed. Additional increase due to School of Business differential fee approved at the 2013 Winter Board meeting and implemented over a 2 year period.

University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.

**Online Fees - Martin****FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees**

	FY 2013-14	FY 2014-15	CHANGE	
			Amount	Percent
<b>UT ONLINE - Martin</b>				
UT online course fees are charged per credit hour with no maximum credit hour cap. This applies to all students, including on-campus students.				
<b>UNDERGRADUATE</b>				
<b>IN-STATE</b>				
Course Fee	\$ 264	\$ 280	\$ 16	6.0%
Online Support	56	56		
Total	<u>\$ 320</u>	<u>\$ 336</u>	<u>\$ 16</u>	<u>5.0%</u>
<b>OUT-OF-STATE</b>				
Course Fee	\$ 289	\$ 306	\$ 17	6.0%
Online Support	56	56		
Total	<u>\$ 345</u>	<u>\$ 362</u>	<u>\$ 17</u>	<u>5.0%</u>
<b>GRADUATE</b>				
<b>IN-STATE</b>				
Course Fee	\$ 451	\$ 478	\$ 27	6.0%
Online Support	56	56		
Total	<u>\$ 507</u>	<u>\$ 534</u>	<u>\$ 27</u>	<u>5.3%</u>
<b>OUT-OF-STATE</b>				
Course Fee	\$ 497	\$ 527	\$ 30	6.0%
Online Support	56	56		
Total	<u>\$ 553</u>	<u>\$ 583</u>	<u>\$ 30</u>	<u>5.4%</u>

*University Fees are set by the Board of Trustees and are subject to change at any regular meeting of the Board.*

## **Online Fees - Health Science Center**

### **FY 2014-15 Annual Maintenance Fees, Out-of-State Tuition, and Other Fees**

	FY 2013-14	FY 2014-15	CHANGE Amount    Percent
<b>HEALTH SCIENCE CENTER ONLINE</b>			
HSC online course fees are charged per credit hour with no maximum credit hour cap.			
<b><u>UNDERGRADUATE</u></b>			
<b>IN-STATE</b>			
Maintenance Fee	\$    350	\$    350	
Online Support	46	46	
Total	<u>\$    396</u>	<u>\$    396</u>	
<b>OUT-OF-STATE</b>			
Maintenance Fee	\$    350	\$    350	
Out-of-State Tuition	65	65	
Online Support	46	46	
Total	<u>\$    461</u>	<u>\$    461</u>	
<b><u>GRADUATE</u></b>			
<b>IN-STATE</b>			
Maintenance Fee	\$    640	\$    640	
Online Support	46	46	
Total	<u>\$    686</u>	<u>\$    686</u>	
<b>OUT-OF-STATE</b>			
Maintenance Fee	\$    640	\$    640	
Out-of-State Tuition	65	65	
Online Support	46	46	
Total	<u>\$    751</u>	<u>\$    751</u>	

*University Fees are set by the Board of Trustees and are subject to change at any regular or called meeting of the Board.*



## ***The University of Tennessee FY 2014-15 Budget Document***

Charles M. Peccolo, Treasurer & Chief Financial Officer

### **System Budget and Finance Office**

Ron Maples, Controller  
Ron Loewen, Budget Director  
John Bodin-Henderson

*We gratefully acknowledge the effort and cooperation of the campus and unit budget staff and the IRIS staff who contribute to the preparation of the University budget.*

### **Knoxville**

Chris Cimino, Chief Business Officer  
Jonee Daniels Lindstrom  
James Price  
Suzan Thompson  
Gary Gray  
David Price  
Kim Givens

### **Chattanooga**

Richard Brown, Chief Business Officer  
Vanasia Parks  
Danny Grant  
Tyler Forrest

### **Martin**

Nancy Yarbrough, Interim Chief Business Officer  
Petra McPhearson  
Charles Covington

### **Space Institute**

Penny Oliver

### **Health Science Center**

Anthony Ferrara, Chief Business Officer  
Pam Vaughn  
Charles Cossar  
Kimberly Moore  
Betty Lee Pace

### **Institute for Agriculture**

Tim Fawver, Chief Business Officer  
Cynthia Nichols  
David Stone  
Missy Kitts  
Tonya Kenley  
Kathy Yates

### **Institute for Public Service**

Gail White, Chief Business Officer

### **IRIS**

Les Mathews  
Richard Smith  
Mark Hall  
Laurie Rees  
Denise Haley  
David Goforth

The University of Tennessee does not discriminate on the basis of race, sex, color, religion, national origin, age, disability or veteran status in provision of educational programs and services or employment opportunities and benefits. This policy extends to both employment by and admission to the University.

The University does not discriminate on the basis of race, sex, or disability in its education programs and activities pursuant to the requirements of Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, Section 504 of the Rehabilitation Act of 1973, and the Americans with Disabilities Act (ADA) of 1990.

Inquiries and charges of violation concerning Title VI, Title IX, Section 504, ADA or the Age Discrimination in Employment Act (ADEA) or any of the other above referenced policies should be directed to the Office of Equity and Diversity (OED), 1840 Melrose Avenue, Knoxville, TN 37996-3560, telephone (865) 974-2498 (V/TTY available) or 974-2440. Requests for accommodation of a disability should be directed to the ADA Coordinator at the UT Knoxville Office of Human Resources, 600 Henley Street, Knoxville, TN 37996-4125.



THE UNIVERSITY OF TENNESSEE  
FY 2015 COMPENSATION GUIDELINES

---

While there will be no across the board general salary increases for FY 2014-15, there are selective mid-year merit increases and one-time bonuses included in the budget. In addition, other salary increases and incentive or bonus payments are also permissible during the fiscal year if the intended action falls under one of the categories listed below. The availability of funding, such as budgeted increases in externally funded proposals or use of payroll savings, are not by themselves sufficient justification for authorizing a salary increase or an incentive or bonus payment. Any of these compensation actions should be accomplished in consultation with the campus or institute human resources and business offices.

**Qualifying Compensation Actions in Addition to the General Salary Increase:**

- a. Promotions in rank.
- b. Promotions resulting from the hiring/transfer process in accordance with policy.
- c. Job reclassifications resulting from job reviews. Any salary adjustments are in accordance with policy. Job reviews involving potential compensation changes are currently limited to the following events:
  - i. Department or sub-department reorganization (requires explanation of reorganization)
  - ii. Reclassification of position(s) and/or assumption of additional duties (requires explanation)
  - iii. Cyclical position review according to current protocol
- d. To bring employees to salary schedule minimums.
- e. Advancement through approved career ladders.
- f. Contractual agreements.
- g. Compression: Increases based on comparison with others in the same job title and work unit who have comparable qualifications and performance.
- h. Market: Increases implemented under extraordinary circumstances, providing non-standard "off-cycle" salary increases to faculty and staff in positions acutely critical in support of the university's mission; implemented on a case-by-case basis, in consideration of the external job market for similar positions.
- i. Merit Pay: An increase in total compensation based on documented performance within a defined period with an established method to assess an employee's performance. Merit Pay may be an increase to base pay or a non-recurring lump-sum payment.
- j. Retention Pay: An exceptional circumstance in which an increase in total compensation is granted to a high-performing employee in a mission-critical position to retain his/her services. Such retention payments may or may not be associated with an offer of employment from another organization.
- k. Incentive Pay: A pre-determined lump-sum payment to an individual or to a defined group to reward the accomplishment of documented results achieved over a defined period of time.
- l. Bonuses: A discretionary lump-sum payment to recognize exceptional service at a point in time.
- m. Correction of any university error.

**REQUIRED APPROVALS APPEAR ON THE FOLLOWING PAGE**

**APPROVALS**

1. Employees will not be notified of proposed or pending salary increases or incentive or bonus payments until all required approvals are obtained.
2. Salary increases that exceed 10% of base salaries require approval by the Chief Financial Officer or his designee before implementation.
3. Salary increases and incentive or bonus payments for coaches and athletic directors, regardless of the amount, require approval by the Chief Financial Officer or his designee before implementation. If the salary increase, incentive payment, or bonus payment is to be made in accordance with the terms of a written employment agreement, the Chief Financial Officer's approval of the employment agreement satisfies this requirement.
4. Salary increases and incentive or bonus payments for the Chancellors and other elected University Officers must be approved by the Board of Trustees on the recommendation of the President and the Executive and Compensation Committee.
5. Incentive Compensation Plans must be reviewed and recommended by the University's Compensation Advisory Board and approved by the Chief Financial Officer and the President.
6. Chancellors and Vice Presidents serving as Institute chief administrators, or their designees, are authorized to approve salary increases and incentive or bonus payments in accordance with these guidelines and are accountable for assuring campus/institute/system compliance.
7. Salary increases and incentive or bonus payments outside these guidelines, along with written justification documenting the need for an exceptional approval, must be submitted to the campus or institute chief business officer (CBO). If approved by the CBO, the request is submitted to the CFO for approval.
8. A retroactive salary increase has an effective date prior to the date it is processed, e.g., to correct a university error or to include external budget changes. For other situations, in consultation with the campus or institute human resources office, departments must provide supporting documentation of the need for a retroactive increase to the campus or institute CBO for approval.

RESOLUTION  
OF  
THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
JUNE 19, 2014

**FY 2015 Operating Budget, Student Tuition and Fees, and Compensation Guidelines**

WHEREAS, the Bylaws require the Board of Trustees to approve an annual operating budget for the University; and

WHEREAS, the proposed FY 2015 Educational and General (E&G) budget is balanced and within available resources, as is the budget for Auxiliary Enterprises; and

WHEREAS, the proposed budget complies with all applicable policies and guidelines; and

WHEREAS, the administration needs to be able to respond quickly and effectively to a significant budget shortfall due to a state impoundment of funds or appropriation rescission; and

WHEREAS, mandatory furloughs without pay, reduction of time worked, across-the-board salary reductions, and similar salary-related measures may be required to address budget reductions or a budgetary shortfall; and

WHEREAS, the Bylaws further require the Board of Trustees to approve student tuition and fees;

NOW THEREFORE BE IT RESOLVED that:

1. The FY 2015 proposed operating budget is approved with the understanding that if the General Assembly or the Department of Finance and Administration further alters the FY 2015 appropriations or if changes in estimated resources require, the budget shall be modified accordingly so expenditures will not exceed available resources.
2. The Board of Trustees expressly authorizes the campus, institute and unit administrations, in response to budget reductions or a budgetary shortfall, to implement mandatory furloughs without pay, reduction of time worked, across-the-board salary reductions, and similar salary-related measures during FY 2015, subject to approval by the Executive and Compensation Committee, the

President, and the Treasurer and Chief Financial Officer in consultation with the General Counsel and Human Resources.

3. The proposed tuition and fee schedules are adopted for FY 2014-15.
4. The proposed FY 2015 salary and wage compensation plan and the FY 2015 Compensation Guidelines are approved.
5. Any additional general salary increases that exceed the FY 2015 salary and wage plan may only be granted upon approval by the Board of Trustees.
6. Any remaining balance of Net Assets may be considered as a reserve for contingencies to be used for:
  - a. Employing additional staff where enrollments and reorganization requirements warrant;
  - b. Modifying departmental operating budgets where changing conditions during the year require funding adjustments;
  - c. Funding to make salary adjustments for personnel as may be necessary during the year in keeping with state and university salary guidelines;
  - d. Improving physical facilities for academic and research departments as opportunities arise;
  - e. Mandated cost increases; and
  - f. State impoundment of funds or appropriations rescission during the budget year.

All such changes shall be reported to the Board in a Revised Budget for the Board's approval.

Adopted by the Board of Trustees, this 19<sup>th</sup> day of June, 2014.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEESACTION ITEM

DATE: June 18, 2014

COMMITTEE: Executive and Compensation

ITEM: **Performance Goals and Retention Amount for Chancellor Angle under the Performance and Retention Plan for Executive Officers**

RECOMMENDATION: Approval

PRESENTED BY: Joseph A. DiPietro, President

Under the Performance and Retention Plan for executive officers of the University, the Executive and Compensation Committee must recommend to the Board the performance goals and retention amount for each Participant. Chancellor Steve Angle becomes eligible to participate in the Plan as of July 1, 2014, having been in office for one year as of that date.

The retention period for Chancellor Angle will be July 1, 2014 through June 30, 2017. The performance goals recommended by President DiPietro follow this memorandum. President DiPietro also recommends setting the retention amount for Chancellor Angle at the maximum amount of \$130,950.00. In accordance with the Plan, the maximum retention amount is calculated as 15% of Chancellor Angle's July 1, 2014 base salary multiplied by the number of years in the retention period ( $\$291,000 \times .15 = \$43,650 \times 3 = \$130,950.00$ ).

**MOTION:**

**That the President's recommendation of performance goals and a retention amount for Chancellor Steve Angle under the Performance and Retention Plan for executive officers be approved.**

# UTC Executive Officer Performance & Retention Plan Goals (FY2017 Update)

	FY2007	FY2008	FY2009	FY2010	FY2011	FY2012	Base Year Data		Evaluation Period: July 1, 2014-June 30, 2017 (FY15-FY17)		GOAL FY17	Weight	Chancellor's Goal	
							FY2013	FY2014	FY2015	FY2016			FY17 4-Yr Incr	Incr / Yr

(N,I,H = Normal, Intermediate, High)

## Enhancing Educational Excellence

### 1 Six-Year Freshman Graduation Rate

UTC	40.9%	39.6%	42.3%	37.6%	39.5%	38.2%	37.1%				47.0%	H	9.9%	2.5%
-----	-------	-------	-------	-------	-------	-------	-------	--	--	--	-------	---	------	------

### 2 Freshman Retention Rate

UTC	64.3%	61.0%	66.9%	67.3%	69.3%	67.4%	69.1%				73.0%	H	3.9%	1.0%
-----	-------	-------	-------	-------	-------	-------	-------	--	--	--	-------	---	------	------

### 3 Total Degrees Awarded (Summer/Fall/Spring)

UTC	1,565	1,715	1,778	1,835	1,887	2,140	2,232				2,490	H	11.6%	2.9%
-----	-------	-------	-------	-------	-------	-------	-------	--	--	--	-------	---	-------	------

## Expand Research Capacities

### 4 Research and Sponsored Projects (Restricted) Expenditures (in \$millions) Reported to THEC

UTC	\$11.05	\$12.01	\$10.03	\$11.75	\$11.14	\$12.44	\$11.20				\$11.27	I	0.6%	0.1%
-----	---------	---------	---------	---------	---------	---------	---------	--	--	--	---------	---	------	------

*The decreases in research expenditures in FY13 were mostly attributable to the withdrawal of the ARRA (stimulus) funds. UTC lost some long-term earmarks for the SimCenter that decreased extramural funds by nearly \$2M.*

### 4a Research and Sponsored Projects (Restricted) Expenditures **Less Stimulus Funds** (in \$millions) Reported to THEC

UTC	\$11.05	\$12.01	\$10.03	\$11.36	\$9.66	\$10.43	\$9.70				\$9.71	I	0.1%	0.04%
-----	---------	---------	---------	---------	--------	---------	--------	--	--	--	--------	---	------	-------

*The decreases in research expenditures in FY13 were mostly attributable to the withdrawal of the ARRA (stimulus) funds. UTC lost some long-term earmarks for the SimCenter that decreased extramural funds by nearly \$2M.*

### 5 Restricted and Unrestricted Research Expenditures Reported to NSF (in \$millions)

UTC	\$5.53	\$6.68	\$6.29	\$10.75	\$9.25	\$10.90	\$7.84				\$9.45	I	20.5%	5.1%
-----	--------	--------	--------	---------	--------	---------	--------	--	--	--	--------	---	-------	------

*The decreases in research expenditures in FY13 were mostly attributable to the withdrawal of the ARRA (stimulus) funds.*

### 5A Restricted and Unrestricted Research Expenditures Reported to NSF **Less Stimulus Funds** (in \$millions)

UTC	\$5.53	\$6.68	\$6.29	\$10.38	\$8.91	\$10.72	\$7.67				\$9.45	I	23.2%	5.8%
-----	--------	--------	--------	---------	--------	---------	--------	--	--	--	--------	---	-------	------

*The decreases in research expenditures in FY13 were mostly attributable to the withdrawal of the ARRA (stimulus) funds.*

### 6 Research and Sponsored Program Proposals

UTC	98	99	120	99	145	156	131				180	I	37.5%	9.4%
-----	----	----	-----	----	-----	-----	-----	--	--	--	-----	---	-------	------

### 7 Number of Disclosures (Inventions) (3-yr average)

UTC	5	5	5	5	3	4	3				5	I	57.5%	14.4%
-----	---	---	---	---	---	---	---	--	--	--	---	---	-------	-------

### 8 Revenue From Executed License Agreements (in \$Thousands) (3-yr average)

UTC	\$0	\$0	\$0	\$0	\$0	\$5	\$5				\$6	I	26.0%	6.5%
-----	-----	-----	-----	-----	-----	-----	-----	--	--	--	-----	---	-------	------

# UTC Executive Officer Performance & Retention Plan Goals (FY2017 Update)

	FY2007	FY2008	FY2009	FY2010	FY2011	FY2012	Base Year Data		Evaluation Period: July 1, 2014-June 30, 2017 (FY15-FY17)		GOAL FY17	Weight	Chancellor's Goal	
							FY2013	FY2014	FY2015	FY2016			FY17 4-Yr Incr	Incr / Yr
(N,I,H = Normal, Intermediate, High)														
<b>Engage in Outreach and Service</b>														
<b>9 Number of Participants in all Outreach and Engagement Activities</b>														
UTC					4,364	6,334	5,159				6,655	N	29.0%	7.2%
<b>10 Number of Patient Billings or Clients Served</b>														
UTC	Not Applicable													
<b>11 Number of Alumni Who Donate</b>														
UTC	2,406	2,327	2,420	2,720	2,487	2,541	2,601				2,759	N	6.1%	1.5%
<b>Increase Effectiveness and Efficiency</b>														
<b>12 Fundraising Progress (in \$m)*</b>														
UTC	\$13,578	\$9,833	\$12,328	\$10,631	\$10,890	\$8,657	\$10,046				\$11,000	H	9.5%	2.4%
* Includes new gifts, pledges, and bequests; Variations in year-to-year progress are expected due to one-time major gifts and gifts in-kind.														
<b>13 Unrestricted E&amp;G Expense per Student FTE (in 2012 \$)</b>														
UTC	\$13,152	\$12,709	\$12,585	\$12,164	\$12,387	\$12,231	\$12,582				\$13,500	H	7.3%	1.8%
FY13 expenditures increased 6.2% over FY12, while student FTE increased 1.2%; FY14 State appropriations increased by 5.6% over FY12														
<b>14 Unrestricted E&amp;G Expense per Degrees Awarded (in 2012 \$)</b>														
UTC	\$63,564	\$60,466	\$59,707	\$60,352	\$61,256	\$56,291	\$58,196				\$56,000	H	-3.8%	-0.9%
FY13 expenditures increased 6.2% over FY12, while student degrees increased 4.2%; FY14 State appropriations increased by 5.6% over FY12														
<b>15 Net Cost of Tuition and Fees. (Average percentage of total cost of tuition and mandatory fees that an undergraduate pays after subtracting financial aid grants and scholarships)</b>														
UTC	28.2%	29.8%	31.2%	15.5%	6.2%	19.6%	24.4%				36.0%	H	11.6%	2.9%
In FY13, the average grant/scholarships decreased 8.4% over FY12 at UTC while tuition/fees increased by 7.4% at UTC														
<b>16 Tuition and Mandatory Fees as a Percent of Peer Average</b>														
UTC	88.9%	90.0%	88.7%	90.0%	89.8%	91.6%	92.3%				97.0%	H	4.7%	1.2%
<b>17 E&amp;G Expense Per Direct Service Contact (in 2012 \$)</b>														
UTC	Not Applicable													
<b>18 State Capital Maintenance Funded (\$ in millions)</b>														
UTC	\$4.68	\$4.70	\$3.36	\$0.00	\$4.60	\$7.45	\$4.90				\$6.90	N	40.8%	10.2%



# UTC Executive Officer Performance & Retention Plan Goals (FY2017 Update)

							Base Year Data	Evaluation Period: July 1, 2014-June 30, 2017 (FY15-FY17)				Chancellor's Goal	
FY2007	FY2008	FY2009	FY2010	FY2011	FY2012	FY2013	FY2014	FY2015	FY2016	GOAL FY17	Weight	FY17 4-Yr Incr	Incr / Yr

(N,I,H = Normal, Intermediate, High)

## Additional Goals for Chancellor Angle

- \* Implement a plan to achieve the goal of becoming a national competitive athletic program that emphasizes comprehensive excellence in both academics and athletics, financial sustainability, focus on student-athletes, reduction of debt, and development of a substantial financial reserve fund.
- \* Demonstrate improvement in employee satisfaction on the Employee Engagement Survey to facilitate recruiting and retaining a diverse employee base (i.e.: compensation, work balance, training, and sense of community).
- \* Reduce the gap in freshmen six-year graduation rates between minority and multi-racial students and the total.
- \* Increase the amount of capital funding to support renovation and new construction needs.
- \* Bring faculty and staff salaries closer to the median of the peer group.
- \* Continue to build positive relationships with students, faculty, staff, alumni, other stakeholders, donors, and governmental officials.
- \* Attract and retain a outstanding, diverse faculty and staff who embrace our vision, exemplify our values, and collaborate to realize our strategic priorities.
- \* Continue to improve and diversify the resource base to achieve campus priorities by carefully balancing state revenues, tuition, grant funding, revenue generating programs, and private support.
- \* Expand initiatives and opportunities for student involvement in experiential learning, internships, research, scholarship, and creative expression,
- \* Forge stronger partnerships and relationships with Chattanooga and the region.

**Retention Amount for Chancellor Steve Angle  
under the  
Performance and Retention Plan for Executive Officers**

Section III.B.2. of the Performance and Retention Plan provides as follows: “The retention amount will not exceed the sum of fifteen (15%) of the base salary of the Participant as of July 1 of the first year of the retention period, multiplied by the number of years in the retention period.”

<b>Name</b>	<b>Retention Period (Yrs)</b>	<b>Base Salary as of July 1, 2014</b>	<b>15% of Base Salary</b>	<b>Retention Amount</b>
Chancellor Angle	3	\$291,000.00	\$43,650.00	\$130,950.00

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Executive and Compensation

ITEM: **President's Four-Year Comprehensive Performance Review of Chancellor Schwab**

RECOMMENDATION: Approval

PRESENTED BY: Joseph A. DiPietro, President

At the Executive and Compensation Committee Meeting on January 28, 2013, President DiPietro announced his plan to conduct a comprehensive performance review of Chancellors after four years in office and at subsequent four-year intervals.

During the spring of this year, President DiPietro conducted a comprehensive performance review of Chancellor Schwab. Following this memorandum are:

1. The President's report of Chancellor Schwab's review;
2. Chancellor Schwab's self-assessment;
3. Results of a 360-survey concerning Chancellor Schwab's performance; and
4. Guidelines under which the review was conducted.

**MOTION:**

**That the President's Four-Year Comprehensive Performance Review of Chancellor Schwab be approved.**



THE UNIVERSITY of TENNESSEE

KNOXVILLE, CHATTANOOGA, MARTIN, TULLAHOMA, MEMPHIS

PRESIDENT'S OFFICE

### **Four Year Comprehensive Review**

Dr. Steve Schwab

The University of Tennessee Health Science Center

5

Dr. Steve Schwab has served as Chancellor for the University of Tennessee Health Science Center since 2010. In this capacity he serves as the chief executive officer of the statewide portfolio of six doctoral degree granting health science colleges, including Medicine, Dentistry, Pharmacy, Nursing, Allied Health Sciences and the Graduate Health Sciences.

In terms of the educational enterprise, Dr. Schwab has brought great stability to the campus. During his tenure he has recruited and retained permanent deans in every college. His cabinet level positions are all filled, with the exception of the Vice Chancellor of Research. He has placed Dr. Lawrence Pfeffer in that role as interim during the recruitment of a permanent Vice Chancellor of Research. I am pleased that he has asked Dr. Millhorn to assist his campus leadership team in this search process for the research effort. This is a hire key to the continued growth and expansion of the research program that he has planned.

The UT Health Science Center Residents and Fellows programs have grown and improved during Dr. Schwab's watch. Dr. Schwab has also aggressively expanded residency programs geographically, including new programs in Nashville and Chattanooga.

The clinical enterprise has grown significantly over his tenure. Clinical charges from the UT Health Science Center organizations exceeded \$909 million in 2012-13. This represents a \$556 million increase over 2011-12 and a \$579 million increase from 2010-11. The Relative Value Units earned also have shown a marked increase over the past three fiscal years, surpassing the \$2.9 million mark in 2012-13. (RVU's are a nationally standardized measurement of physician work activity that evaluates work effort agnostic of charges or receipts that can vary by region and payer mix.)

Faculty practices are now established with the UT Medical Group, UT LeBonheur, UT/West/Methodist Cancer Center, UT Methodist Physicians and UT ROP (the MED). The UT-LeBonheur Pediatric Specialists Practice Group has been highly successful, moving into the Top 25 Children's Hospital and designated a Best Children's Hospital as identified by US News & World Reports. Methodist University, UT Medical Center and Erlanger also have been named as US News regional "hospital of choice." Two specific programs (Trauma at the MED and UT Methodist Transplant Institute) have been ranked in the Top Five of their respective units across the country.

Sponsored Programs continues to grow. The UT Health Science Center's Sponsored Programs revenue (all source non-clinical grant and contract awards, including residency support) now annually produces over \$200 million annually, which makes it the largest producer of Sponsored Programs revenue in the University of Tennessee system. Sponsored Programs revenue has been on an upward trajectory since Dr. Schwab's tenure began in the Chancellor's office.

Total Research Expenditures, Number of Research Proposals, Research Award Dollars and Number of Research Awards are currently at levels that Dr. Schwab wants to improve (see the UT System Strategic Plan Dashboard). Dr. Schwab is not satisfied with these numbers, and I am pleased to see his commitment to double research awards to reach the top quartile metric for academic health science campuses. I am comfortable with his plan to grow research (as referenced in his 2010-2014 Activity Report). I expect outcomes that result in significant growth of the Health Science Center research programs in terms of all pertinent measurements in the Expand Research Capacity Section of the Strategic Plan Dashboard, and specifically in terms of an increase in expenditures reported to NSP by more than 5% per year over the next 4 years.

Dr. Schwab and his leadership team have worked with UT system officials to effectively lobby the state of Tennessee to improve campus facilities. During Dr. Schwab's tenure as Chancellor over \$250 million has been committed to campus for renovations, new structures and infrastructure improvement. Additionally, Dr. Schwab has initiated an aggressive campaign to remove unused, unsafe buildings on the Memphis campus.

I am pleased with Dr. Schwab's renewed commitment to advancement work and private philanthropy. He has led the effort to obtain a full staff in the Office of Development and Alumni Affairs and has set up a good plan for facilitating alumni and donor events across the state and region. Dr. Schwab has recently engaged the UT Health Science Center's affiliated foundation, and he and his team should utilize that group of alumni and volunteers to further push the philanthropic efforts of the campus forward.

I am encouraged with Dr. Schwab's focus on the future. The UT Health Science Center Strategic Plan was approved by the University of Tennessee Board of Trustees at the winter 2014 meeting in Martin, Tennessee. The six goals for the plan are Educate Outstanding Graduates, Grow the Research Portfolio, Create Areas of Clinical Performance, Increase Visibility and Recognition, Align UTHC Resources with Areas of Excellence and Expand and Strengthen Key Partnerships. These goals, supported by the UT Health Science Center's Scorecard Metrics from the plan, are in solid alignment with the overall UT System Administration Strategic Plan.

Responses generated from the 360 degree, web-based survey yielded overall positive feedback for Dr. Schwab's job performance in the areas of:

Leadership:

- Promotes continued development of academic programs, research, productivity and national reputation of excellence
- Articulates strategic vision and long-term goals for the campus
- Establishes and maintains productive relationships on behalf of the University with the state government, the Tennessee congressional delegation, federal agencies and the community at large
- Has a clear understanding of financial and budgetary issues

Research

- Sets research as a high priority for the University

University System

- Commits to aligned strategic planning
- Understands the relationship and roles of the campus and the university system

Areas for potential improvement suggested by the survey responses include:

Faculty:


- Considers faculty input in making important decisions
- Is accessible and supportive of the principle of shared governance

Staff:

- Considers staff input in making important decisions.

In conclusion, I am pleased with Dr. Schwab's leadership of the UT Health Science Center and appreciate his service and commitment to the university. He has clearly advanced the state-wide campus forward in the areas of education as well as clinical care and has implemented a sound strategy that has and will continue to improve the research program. In addition, there is stability across the Health Science Center's colleges and programs, and I am grateful for his successful efforts to recruit a diverse and talented leadership team. Over the last four years Dr. Schwab has performed his duties very well and his overall job performance exceeds expectations.

Respectfully submitted,



Dr. Joseph A. DiPietro  
President, The University of Tennessee System



# **Status Report for Academic Years 2010-2014**

**Steve J. Schwab, MD Chancellor**

## UTHSC Accomplishments 2010-2014

1. UTHSC defined its goal to be a top quartile academic health science center, created a broad based strategic plan to achieve this goal, and defined the core missions in which it must perform.
2. UTHSC solidified and advanced its financial position to place it in its strongest financial go forward position in more than a decade.
3. UTHSC substantially rebuilt its infrastructure on all four UTHSC campuses to a state of the art status.
4. UTHSC recruited and engaged a forward thinking committed executive team.
5. UTHSC made major progress on achieving the defined goals of the UTHSC Strategic Plan to be a Top Quartile Academic Health Science Center by advancing the core missions of Health Science Center.

## UTHSC Goal

**Become a top quartile Academic Health Science Center as defined by performance in the core health science missions.**

### Academic Health Science Center Core Missions:

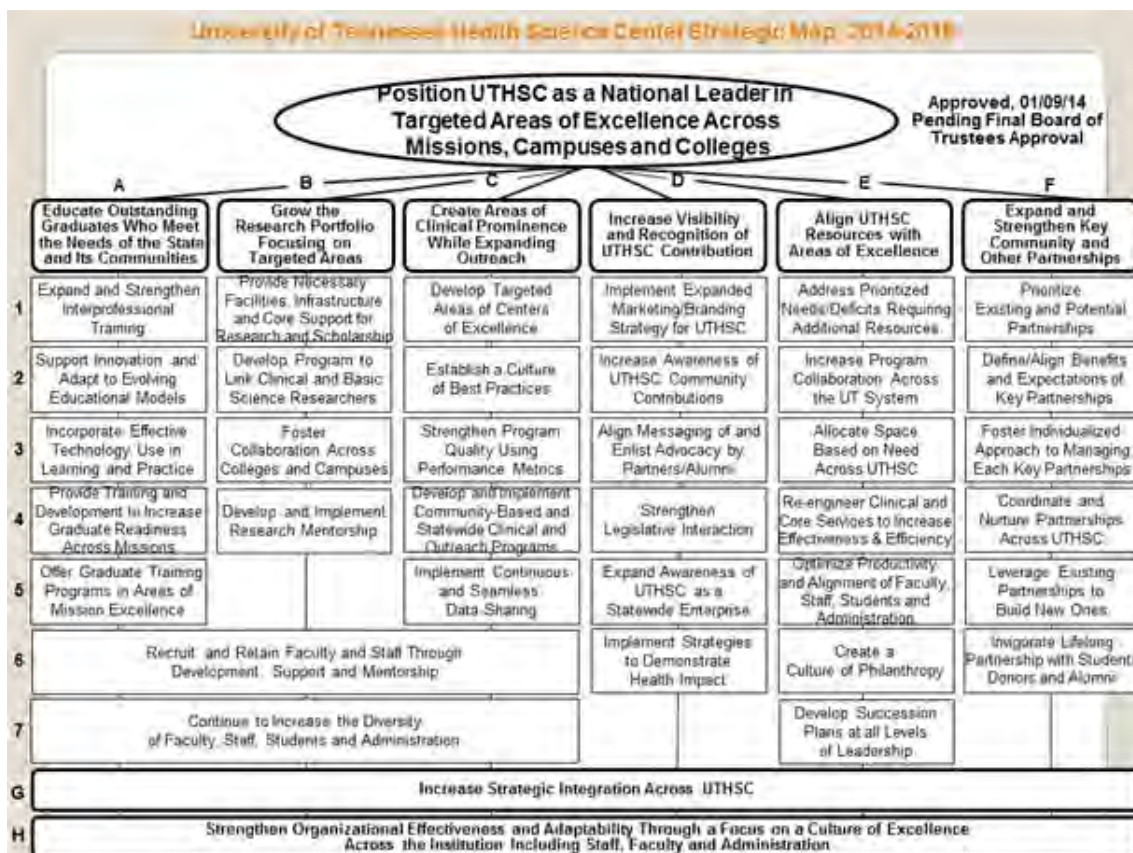
- **Education** of health care professionals
- Innovative high volume **Clinical Care**
- **Research** in Health related areas
  - Tennessee Specific Expectation
    - **Service** to the State



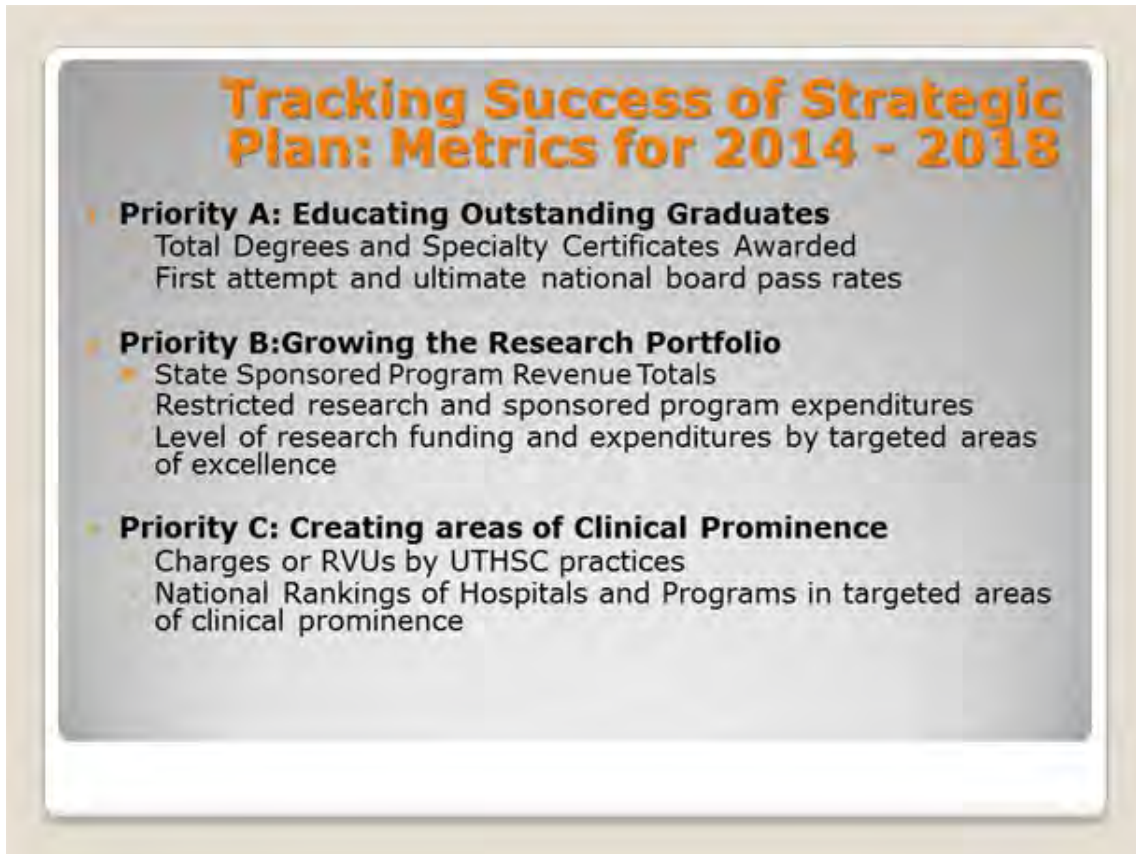
## UTHSC actions and accomplishments in support of the core missions 2010 -2014

- 1. Created UTHSC Strategic Plan:** UTHSC created a new strategic plan including timetable and metrics with the goal of entering the ranks of the top quartile of Academic Health Science Centers in the U.S. This strategic plan was approved by the Board of Trustees of the University of Tennessee at the winter 2014 board meeting. This plan is the culmination of a broad based inclusive three year process that included every college and campus of UTHSC. It is endorsed By the Faculty, Staff, Students and the Executive team of UTHSC. It is monitored by annually reported metrics reported to faculty, staff, students and Trustees.

### UTHSC Strategic Plan



## Sample Scorecard Metrics from UTHSC Strategic Plan



- 2. Restructured UTHSC Reporting:** UTHSC working with the UT President developed a well-defined and effective reporting structure that eliminates previous miscommunications and creates clear oversight and accountability.

Chancellor serves as direct report to the UT President on all issues.

Chancellor and UTHSC Executive Team report to the Board of Trustees via the now created Health Affairs Committee. This committee provides oversight and guidance of the complex business affairs of UTHSC and its relationship to key corporate partners.

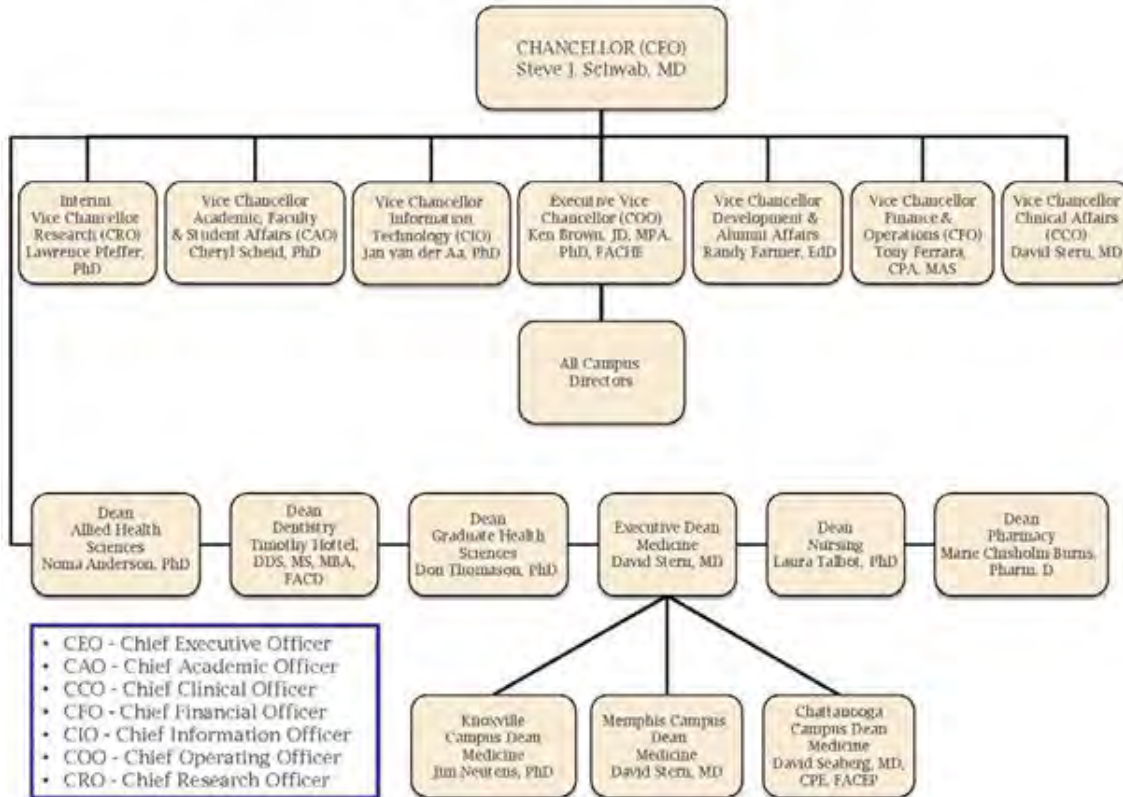
**3. Created UTHSC Executive Team:** From 2010-2014, UTHSC has created a dynamic executive team: Over the last four years, new Deans have been recruited via national search for each of UTHSC's six Colleges. These Deans are the key resources in crafting and driving the strategic plan of UTHSC.

- Dentistry: Tim Hottel, DDS recruited 2009
- Health Professions: Noma Anderson, PhD recruited 2011
- Medicine: David Stern M.D. recruited 2011
- Pharmacy: Marie Chisholm Burns, Pharm D recruited 2012
- Graduate Health Sciences: Don Thomason, PhD recruited 2012
- Nursing: Laura Talbot: PhD, Ed D recruited 2012

The Vice Chancellery has been streamlined and reorganized. Multiple Vice Chancellor positions were renewed as Associate or Assistant Vice Chancellor or Director positions and placed in an administrative structure limiting the number of Vice Chancellors.

- Ken Brown JD MPA PhD FACHE appointed Executive Vice Chancellor and COO 2010
- Jan Van Der Aa PhD recruited as our first CIO and VC for Information Technology 2012
- Randy Farmer Ed D recruited as Vice Chancellor of Development and Alumni Affairs 2012
- David Stern M.D. appointed Vice Chancellor of Clinical Affairs ensuring direct report of clinical, enterprise to Chancellor 2011

### The University of Tennessee Health Science Center Organizational Chart



**4. Enhanced UTHSC Financial Position:** UTHSC with its affiliated not for profit faculty practice groups are in a very strong financial go forward position in 2014.

UTHSC entered the recession of 2008 heavily dependent on state “orange” funds as a non-formula unit of the University of Tennessee. The UTHSC faculty practice groups were approaching insolvency. The UTHSC infrastructure was antiquated and unrepaired.

UTHSC reorganized its budget process. Developed accountability metrics and standards for all missions (education, research and clinical practice). UTHSC longitudinally moved to budget management in a proactive multi-year strategy. This has placed UTHSC in a very good overall financial position.

The UTHSC budget while by far the largest in UTHSC history is today much more reflective of earned income rather than state allocated appropriation and tuition “orange” income. Orange income is a steadily decreasing % of the overall UTHSC budget based on limited tuition increases and limited state appropriation. This creates problems in faculty compensation sources in that in the future larger portions of faculty compensation will need to be shifted to UTHSC earned “soft” revenue rather than state appropriation and tuition revenue in order to keep compensation levels competitive for faculty and staff. State allocation while improving has not yet reached 2008 levels of actual dollars.

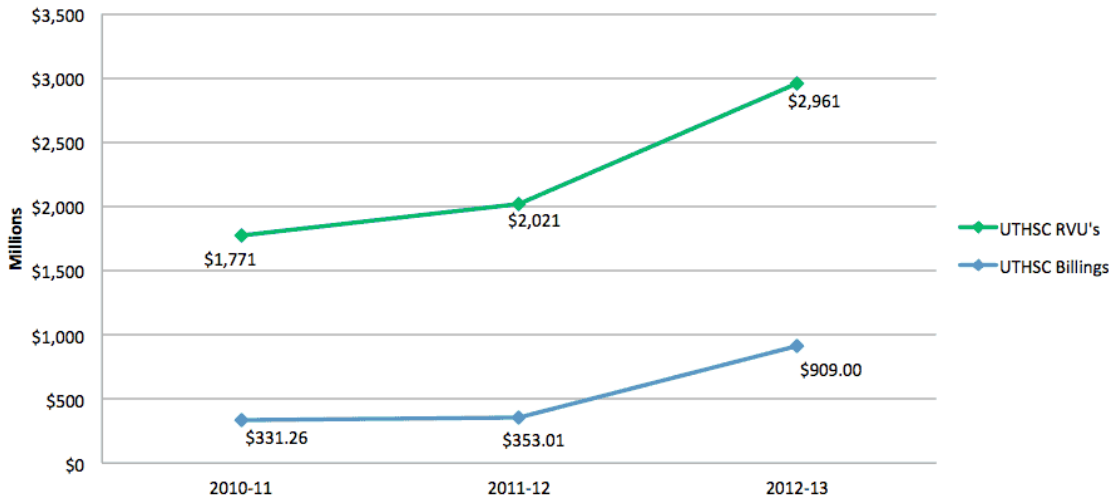
**Education:** “Tool for teaching” education work effort metrics were approved and implemented in 2013.

**Clinical:** Clinical productivity variable compensation adopted by UTMG in 2010 and subsequently extended to all faculty clinical practices. Dramatic expansion of clinical activities initiated to serve as financial engine for academic advancement.

**Clinical Charges in 2012-2013 exceeded \$900M**

**UTHSC Charges and Relative Value Units earned.**

(Source: UT Presidents website on UT strategic plan)



(Relative Value Units “RVUs” are a nationally standardized measurement of physician work activity that evaluates work effort agnostic of charges or receipts that can vary by region and payer mix)

Clinical faculty practices have been reorganized to align with partner hospitals leading to substantially decreased liability for UTHSC and the individual faculty practice. Growth of these practices has led to not only compensation for these faculty being improved but also shifted to the faculty practice groups while substantially enhancing academic payments to UTHSC.

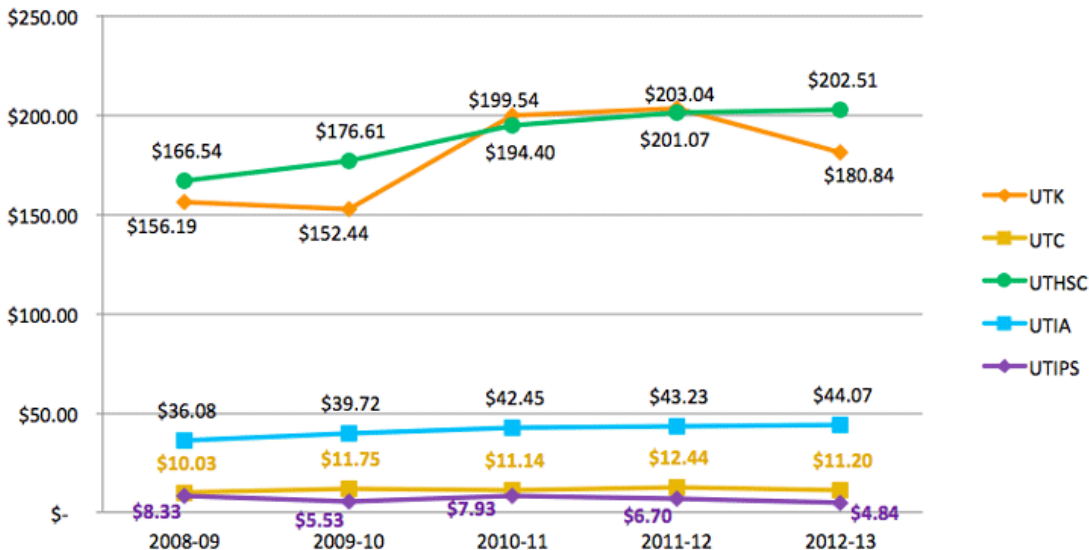
Projected fixed academic payments for clinical activities projected at **>\$20M** annually in 2015. Details included in clinical practice section of report.

**Research** expectation in terms of productivity and grant funding for faculty with primary research assignments developed in 2011. This includes space assignment expectations and productivity requirements to remain as a primary research faculty member. Details included in research section of report.

**Sponsored Program revenue has substantially grown to now exceed over \$200M annually. UTHSC is the largest generator of sponsored program revenue in the UT system.**

**Sponsored Programs Revenue (All Source Grants and Contracts)(excludes clinical revenue)**

(Source: UT Presidents website on UT strategic plan)



**Philanthropy:** Major real time actual financial contributions secured over the last four years have aided the UTHSC mission.

St Jude gift to Pediatric research \$15M

State Match of St Jude Gift \$15M

Le Bonheur (In kind service) match of St Jude Gift \$15M

Plough Gift to develop GMP facility \$4.5M

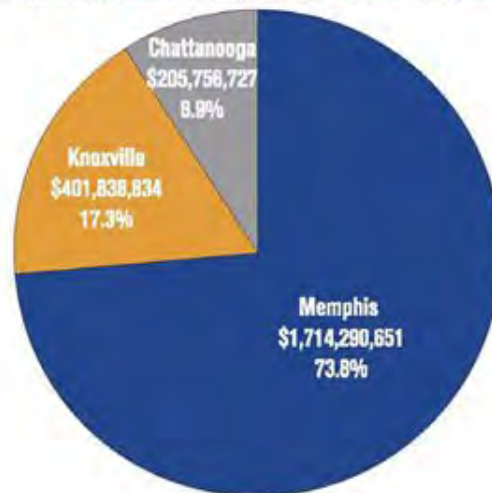
BC/BS Contribution to Simulation Center \$5M

BC/BS contribution to Infant Mortality project \$4M

**UTHSC economic impact on State in 2010 estimated at \$2.3B annually.**

(Independent report from U of M Sparks Center)

Chart ES-1. Distribution of \$2.3 Billion of Total Output Impact by Location



The sum of these activities arguably place UTHSC in its best go forward financial position in several decades history. UTHSC has grown its unrestricted reserve fund based on earned income to record levels. These funds allow us to advance the UTHSC missions. Similar growth has been seen in college specific unrestricted and restricted reserve funds.

UTHSC Unrestricted Reserve Funds have steadily increased from \$29.5M in 2009 to more than \$93M in 2014.

## 5. Enhanced UTHSC Campus Facilities and Infrastructure

UTHSC has made major progress in upgrading the infrastructure of its Memphis and regional campuses. Over the last four years over \$250-\$300M has been invested or committed to upgrading facilities and infrastructure on the home and regional campus locations. A strategic UTHSC multi campus master plan for facilities and infrastructure has been detailed , presented to the BOT and is being carried out.

ARRA funds (~\$37M) used to upgrade all essential educational space and infrastructure. This has been supplemented heavily by campus earned funds and state approved renovation funds.

### Renovated Lecture Amphitheater in General Education Building



Renovation of GEB, SAC, Coleman and Plaza lectures halls, laboratories, student study and relaxation space and simulation space to state of the art education standard.



Upgrading of information technology telecommunications to create a wireless campus, allow online coursework and beam lectures and coursework to all regional campus locations and core teaching hospitals.

Plaza building renovation for Dermatology, COM, Pathology, Psychiatry, College of Health Professions, students services, CON, Graduate College, dining, bookstore, HR and research administration.

Alexander Bldg. renovation for IT support and Library.

Coleman Bldg. renovation for GME and clinical core education.

Pauline Bldg. renovation for Preventive Medicine and the new Physician Assistant program.

Dunn Dental renovation has been accomplished now with all space advanced to state of the art for dental practice and education.

Health Science Park has been absorbed into the management of UTHSC. This City Park in the center of the UTHSC Memphis campus is now administered by UTHSC to make it an essential gathering space for our campus.

**Demolition:** Demolition of old, unused, unsafe buildings on our Memphis campus makes way in the strategic campus plan for a major series of state of the art education and research integrated buildings. A special \$4.5M fund sought and obtained by UTHSC from the legislature allows for the demolition of the Goodman and Randolph Dormitories as well as the Beale Building.

The Pharmacy Building was completed 2012. This \$70M facility provides a state of the art home for the nationally ranked College of Pharmacy.

### Pharmacy Building



The Translational Science Building is scheduled for completion in 2014. This 100,000 square foot \$50M state of the art laboratory building is essential to our research strategy.

### Translational Science Building



Historic quadrangle renovation is scheduled to begin in 2014. This \$70M massive renovation will create a central gathering area and administrative space in the Mooney Building. There will be an upgrade to the Nash and Nash Annex buildings to state of the art research laboratories and create in the Crowe building a home for the College of Nursing.

The Education Simulation Building (\$27M) will be built on the site of the current Feurt building. Construction is set to begin in 2014. This is essential to the integrated multi-disciplinary educational strategy of UTHSC.

### Education Simulation Building Drawing



The Knoxville Health Science Campus has advanced with a new College of Pharmacy building. The \$24M Speech and Hearing building is scheduled to begin construction in 2014. A dental clinic for student dental practice will be constructed



The Chattanooga Health Science Campus has scheduled renovation and expansion of the Medicine space in the Whitehall Building and the medical library and study facility and clinical simulation center all in the Whitehall building.

Nashville Health Science Campus has scheduled space for all colleges as well as a St. Thomas constructed Dental Clinic.

**6. Advanced UTHSC Statewide Reach, Branding, and Public Awareness:**

**Major Locations**

*Memphis*  
Main campus; home to six colleges



*Knoxville*  
The Graduate School of Medicine, a College of Medicine unit, a College of Pharmacy site, and a College of Allied Health Sciences unit. In September 2013, the Audiology and Speech Pathology Department in the CAHS broke ground for a new \$20 million building.



*Chattanooga*  
A College of Medicine site



*Nashville*  
Joint Residency Program, College of Medicine in partnership with Saint Thomas Health (Hospital training sites in Nashville and Middle Tennessee), and a College of Pharmacy Clinical Education Center

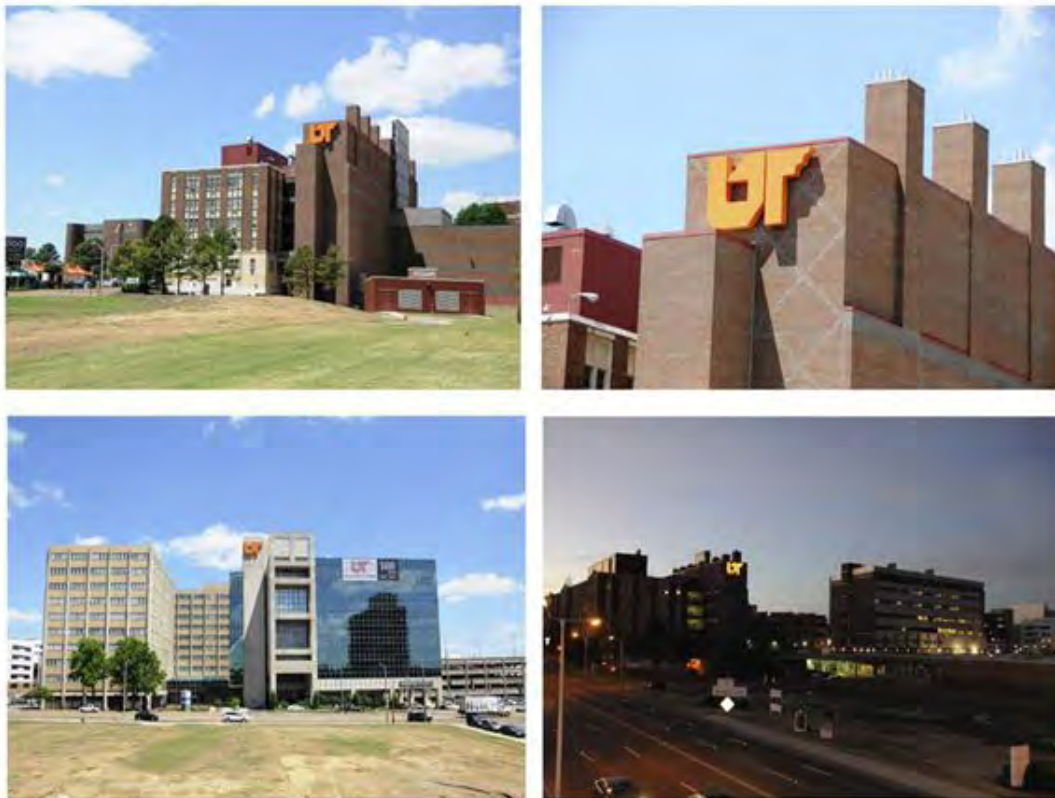


In addition to four major locations, the Health Science Center has more than 100 clinical and educational sites across the state. Our students work and learn while contributing to the health care of Tennesseans in rural and metropolitan areas of the state. (See map on last page.)

**UTHSC** has undergone unprecedented growth as described in this report. Public awareness and Branding is on schedule. The rebranding of the campus buildings with new street level signs and cardinal direction big orange UT signs at height have made a major impact. A public awareness campaign by the office of communication has led to very good press coverage in print and broadcast media. The rebranding of the UT/West/ Methodist Cancer Center and the planned rebranding of the Methodist- UT University Hospital as well as some form of co-branding with the acclaimed top 25 Le Bonheur Children's Hospital will bring UTHSC deserved reputation enhancement.

---



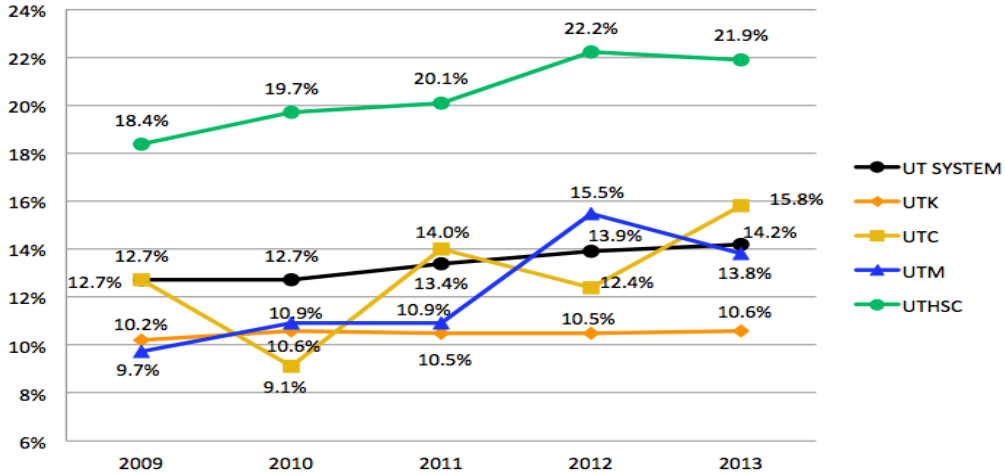


**UTHSC Building Signage (from left to right top to bottom: Nash research building signage, Nash building detail, Plaza Buildings, campus night photo)**

- 7. Enhanced UTHSC Diversity:** UTHSC as part of the strategic plan has a commitment to a diverse work force and a diverse student body. UTHSC has become the most diverse of the UT higher education units (Faculty, Staff and Students). Senior administration (Deans and Vice Chancellors) is also the most diverse in the UT system.

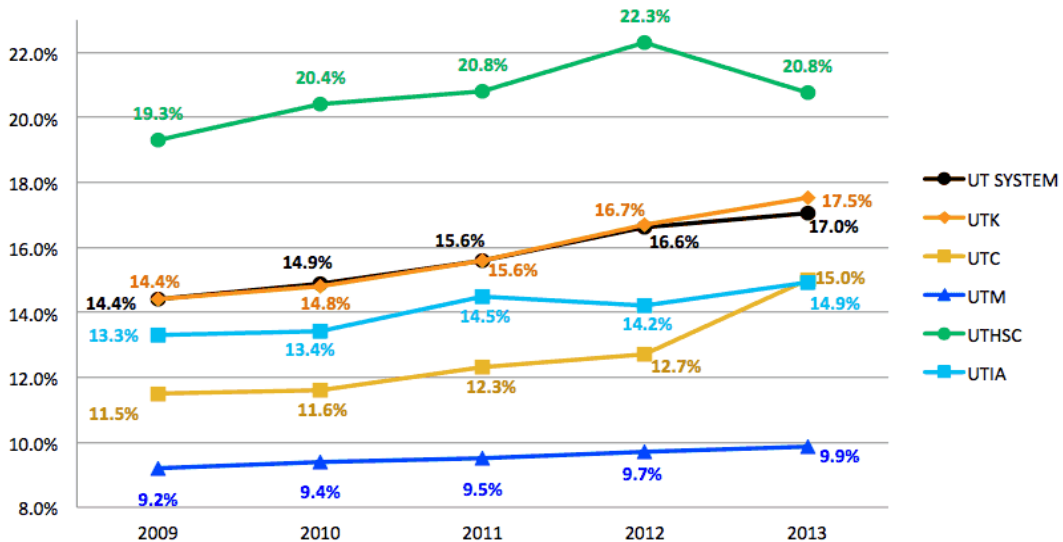
### Student diversity -% Minority advanced degree students

(Source: UT Presidents website on UT strategic plan)



### Faculty diversity -% minority tenure track faculty

(From UT Presidents website on UT strategic plan, strategic plan dashboard)



- 8. Increased UTHSC Philanthropy:** UT has reorganized its development and Alumni Affairs office. All development officer positions have been filled. Clear cut metrics for performance have been approved and executed for all units and individuals. The office of special events has been transferred from the Executive Vice Chancellor to the Vice Chancellor for Development.

## UTHSC Annual Development Revenue



### UT Health Science Center (Memphis) Historic Giving

Total Dollars Raised				
Fiscal Year	New Gifts (Includes GIK)	New Pledges	New Bequest Expectancies	Total \$\$ Raised (gifts, pledges & bequest expectancies)
2009	\$5,128,995	\$4,263,727	\$1,645,000	\$11,037,722
2010	\$5,851,418	\$9,710,409	\$11,542,931	\$27,104,758
2011	\$4,856,533	\$3,914,342	\$4,790,948	\$13,561,824
2012	\$3,882,583	\$1,805,207	\$2,897,340	\$8,585,130
2013*	\$3,437,304	\$2,503,937	\$12,125,000	\$23,066,142

Total Cash In				
Fiscal Year	Cash In	Pledge Payments	Realized Bequests	Total Cash In
2009	\$4,255,117	\$3,732,944	\$1,057,246	\$8,535,407
2010	\$5,640,180	\$4,439,773	\$1,820,285	\$11,900,238
2011	\$4,409,590	\$4,323,014	\$26,193	\$8,758,796
2012	\$3,872,443	\$5,103,736	\$2,902,899	\$11,878,578
2013*	\$7,663,303	\$3,354,358	\$2,327,328	\$13,344,989

\*FY 2013 through 4/23/2013



## UTHSC Core Mission Performance 2010-2014

### Education Mission:

**Status: Currently Performing at Health Science Center top quartile metric as defined within UTHSC strategic plan.**

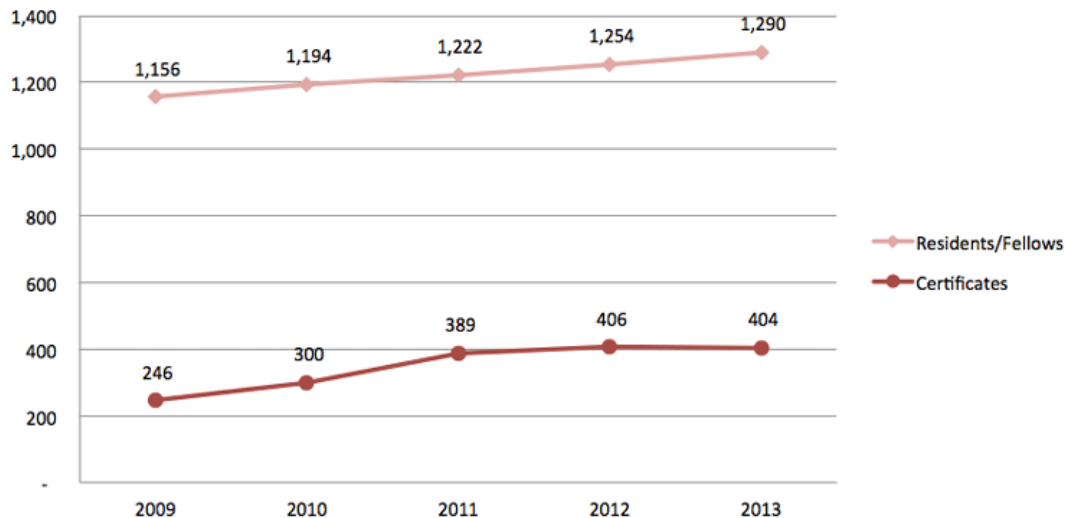
This assessment based on the diversity of and number of advanced degrees granted, number of advanced programs (residencies and fellowships) graduation rate and first attempt specialty or licensure board pass rate.

#### 1. Degrees and Certificates Granted

- a. Largest College of Medicine in the State with largest residency and fellowship programs.
- b. Largest College of Dentistry in the State. One of a few Dental Colleges with full range of advanced residencies (Orthodontics, Oral surgery, etc).
- c. Largest College Pharmacy in the State, nationally ranked in the top 20 in the nation.
- d. Largest Doctoral and Master's trained Nurse Program in the State. One of the few with full range of DNP options (Family Nurse Practitioner, Pediatric Nurse Practitioner, etc.).
- e. Largest Doctoral and Masters trained Allied Health Professions programs in the State (Physical Therapy, Occupational Therapy, Speech and Hearing, etc.).
- f. Largest advanced residency and fellowship program in the 6 State region. Over 1200 residents and fellows on four campuses. (Ex: Pediatrics, Internal Medicine, Surgery, etc.). These program vary from three to seven years in duration.

## Trend of UTHSC Residents and Fellows

From UT Presidents website on UT strategic plan



### Accreditation

All six Colleges and advanced programs within the colleges are fully accredited by their respective accrediting body and accredited for the maximum number of years.

Examples:

- LCME (College of Medicine) accreditation this year for maximum number of years (8) with no return site visits.
- Similar excellent accreditation visit for nurse practitioner program (DNP) and Speech and Hearing program.
- Overall College Graduation rates > 95%.
- Overall First Time certification national rates >90%.

### 3. New degree programs:

- MS in Physician Assistant Studies
- BS in Speech Pathology
- MS in Research Administration
- BSN
- RN to BSN

**4. Major new expansion of advanced residency programs**

- a. Emergency Medicine Memphis UTHSC Campus 2014
- b. Emergency Medicine Nashville UTHSC Campus. 2014
- c. Internal Medicine expanded Nashville UTHSC Campus 2013
- d. Family Medicine Nashville UTHSC Campus 2014
- e. Surgical and Emergency Critical Care Chattanooga UTHSC Campus 2013

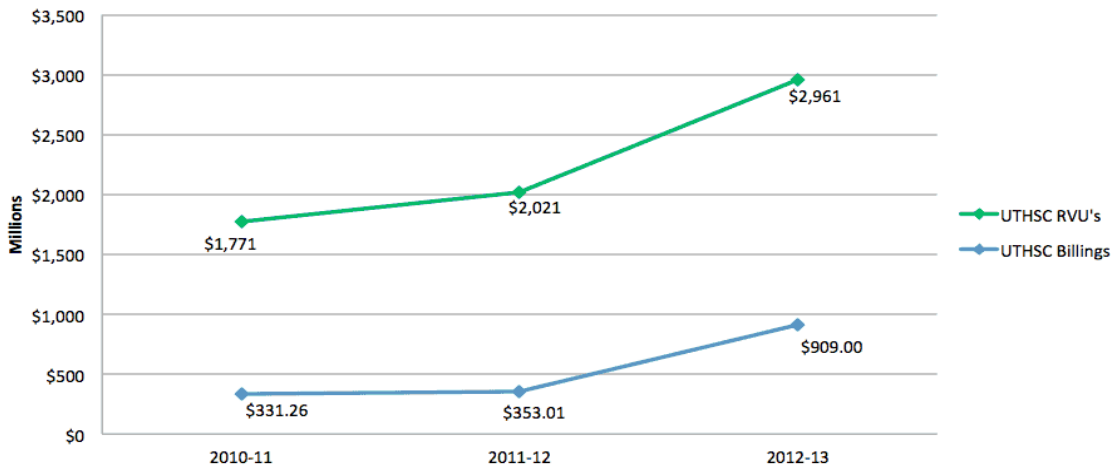
**Clinical Care Mission:**

**Status: Near top quartile performance. Once adult hospitals steadily remain RELIABLY on the top 50 US News lists we will have secured top quartile clinical status.**

**1. UTHSC Volume of clinical care**

Volume parameters met by UTHSC faculty practice plans UTMG, ULPS, UTMP, UT/West and others meet top quartile volume standard.

**Charges and RVU Growth in UTHSC Practices**



(Source: UT Presidents website on UT strategic plan)

(Relative Value Units “RVUs” are a nationally standardized measurement of physician work activity that evaluates work effort agnostic of charges or receipts that can vary by region and payer mix)

## 2. Purpose, Organization and Growth of UTHSC Faculty Practice

- a. Faculty Clinical practices secure the teaching environment for UTHSC. Over 60% of student teaching and almost all resident and fellow teaching occurs in partner core teaching hospitals. Bringing value to partner hospitals assures teaching site and volume of care needed for clinical education. It also assures most teaching is done by full time faculty committed to education.
- b. In addition UTHSC has pursued a policy of clinical growth to generate the revenue needed to advance the academic mission. Simultaneously, we have pursued a policy of aligning our faculty practice groups with our core partner teaching hospitals. This alignment has dramatically increased academic payment revenues, limited UTHSC and UTHSC faculty liability and increased quality and stature of our hospitals and clinical programs. This alignment of the faculty practices adds substantial value to the partner hospital by generating cases and volume and serves to secure the clinical teaching environment.

## 3. Practices

- UTMG (UT Medical Group) since 1974
- ULPS (UT Le Bonheur Pediatric Specialists) since 2011
- UT/West /Methodist Cancer Center since 2012
- UTMP (UT Methodist Physicians) since 2013
- UTROP (MED) (UT Regional One Physicians) expected 2014

Academic payments to UTHSC for education and research from these clinical ventures will exceed \$20M annually by 2015.

## 4. Characteristics of these newly developed faculty practice groups include:

1. Fully affiliated with UTHSC by binding written agreement.

2. Governed by UTHSC and Hospital Partner. Dean of Medicine Chairs or Co Chairs Board. Board representation 1/3 UTHSC, 1/3 Hospital, 1/3 faculty.
3. Appropriate Academic Payment to UTHSC.
4. UTHSC assigned Board seats on Hospital governing board.
5. Not for profit organization.
6. Approved and monitored By Health Affairs Committee of UT Board of Trustees.

We are currently working with Erlanger and beginning the process with St. Thomas to develop clinical practice groups.

#### **5. National Stature of Clinical Care**

1. Le Bonheur Children's Hospital (UT- Le Bonheur Pediatric Specialists Practice Group) secured national ranking in five areas moving into the national ranked Children's Hospitals top 25 in US News.
2. Methodist University Hospital (UT Methodist Physicians Practice Group) (MUH-Memphis) 2011-2013 US News National Rank
3. UT Medical Center (Knoxville) 2012 US News National Rank
4. Each (MUH, UTMC and Erlanger) named US News regional ranked hospital of choice for region.
5. The arrival of Le Bonheur on the national rankings in 2011 is the first time any Hospital except Vanderbilt was nationally ranked in Tennessee.
6. Notable individual areas of strength developed include:
  - a. Top five nationally solid organ transplant program (UT Methodist Transplant Institute)
  - b. Top five nationally Trauma Unit (Elvis Presley Trauma Unit)

## Research Mission:

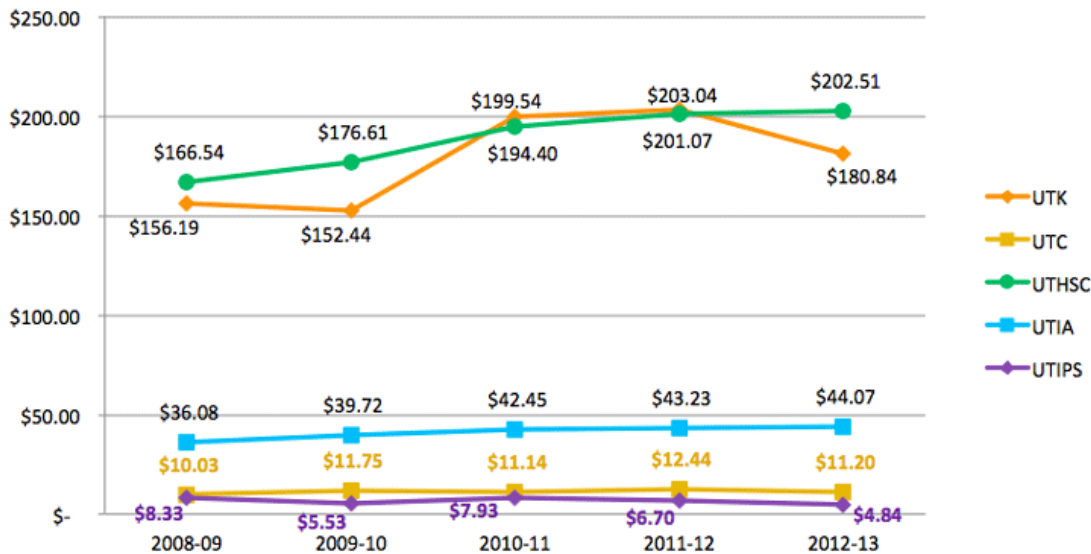
UTHSC Needs to double research awards to reach top quartile metric.

UTHSC is at record and UT system record levels for all source grants and contracts. However, UTHSC is currently flat on the research grant award dollars component. A surge in research grant dollars occurred in the 2008 ARRA stimulus enhanced grants but has not been maintained. This in part reflects dramatically diminished federal research funding by NIH which has decreased funding for new research grants from the 16-17 percentile to 8-9 percentile.

An active plan to grow research is outlined below:

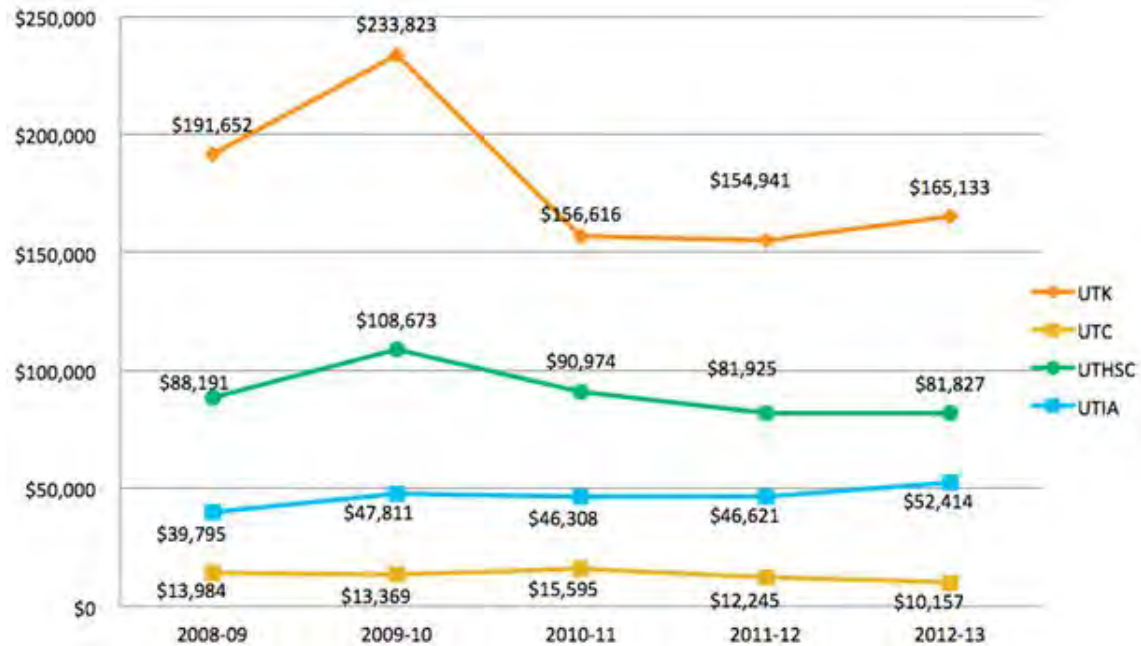
### Sponsored Programs Revenue (All Source Non Clinical Grants and Contracts)

(Source: UT Presidents website on UT strategic plan)



## UT Research Grant Awards

(Source: UT Presidents website on UT strategic plan)



### Status of Research Plan:

**Research Strategic Plan created and action steps underway. We anticipate a 2 to 3 year lag phase between actions and major change in research awards.**

**We are on track in strategic plan and timeline to increase research funding over a 5 year period.**

### Research Action 1: Create UTHSC Research Strategic Plan

**Status: Done**

#### 1. UTHSC strategic plan created (see strategic plan)

- a. Strategic plan focuses on strategic themes of research in disease groups and focusing on:
  - i. Current areas of research strength
  - ii. Key regional disease issues for which we have a unique population or unique advantages
  - iii. Health disparities and disease prevention strategies for conditions prevalent in mid-south

- iv. Children's health, leveraging off the strength of Le Bonheur Children's Hospital and St Jude Cancer Research Hospital
  - v. Items interconnected with ORNL and the UTHSC Regional Bio - containment Laboratory and Plough GMP facility where we can create unique opportunities and synergies
- b. Strategic plan focuses on building interdisciplinary teams to compete for large federal and industry mission based multi investigator grants and contracts.
  - c. Strategic plan focuses on key links to ORNL with goal of creating UTHSC/ORNL joint institutes around the two UTHSC UT/ORNL recently awarded Governors Chairs.
    - i. Computational Genetics
    - ii. Health Informatics
  - d. Strategic plan enhances investigator incentives.
    - i. UTHSC expanded and implemented generous bridge funding program to help investigators bridge between awards. This recognized a funding climate at or near the 9% down from 16-17% funding level at NIH.
    - ii. UTHSC developed and implemented new grant submission incentive program to incent new grant submissions on novel topics.
    - iii. UTHSC expanded grant incentive program for funded investigators to share savings on salary support.

**Research Action 2: Create facilities to replace antiquated research facilities and thereby drive investigation.**

**Status: On Track**

1. Regional Bio Containment Laboratory (Level 3) built and now operational with federal grant funding to allow research with select agents, One of 13 such facilities in U.S.(12,916 sq. feet)
2. New Pharmacy building in place and operational 2012. (20,325 research sq. feet)
3. Translational Science research building under construction scheduled 2015. (77,680 research square feet)



4. Nash/ Nash Annex building renovation funded scheduled for 2015-2016. (51,832 research sq. feet)
5. Build out of top floor pharmacy building and top floor of cancer research building funded and planned 2014-2015. (25,460 research sq. feet)
6. Design, Build and operate Plough Center for Drug Discovery and Good Manufacturing Processes. This facility will allow the manufacturing and compounding of both investigational study drugs as well as manufacture of commercial orphan low volume products. (7,348 research sq. feet)

This more than doubles the currently available first class research space.

**Research Action 3: Generate a minimum of \$20-\$30 million research investable revenue per year to drive research recruiting and support existing investigators and programs.**

**Status: Funding secured**

1. \$33 million in FICA refunds earmarked for research and education secured by UTHSC and agreements with partner hospitals signed to define use of funds for research and education. (one time funds)
2. \$15M from St Jude for Children's research received and State of TN has committed additional \$15M in matching Funds and \$15M in kind contribution from Le Bonheur.
  - a. Total \$45M to provide startup funds for children's disease research. (one time funds)
3. \$4M from Memphis Research Consortium for childhood obesity and musculoskeletal research. (anticipate renewable funds at 3 year intervals)
4. Will be at \$20M in annual academic payment funds by 2015. These funds earmarked for research and education.
5. Earmarked money from UTHSC budget to drive selected areas of research (5 Million annually)

**Research Action 4: Recruit and align Research Faculty and assign resources.**

**Status: On track**

1. Over 50 research recruits in progress with major program development in:

- Science of Children’s Disease
- Cancer
- Cardiovascular
- Neuroscience
- Computation Genetics and Health Informatics with ORNL
- Health Disparities

Two Governors Chairs appointed:

- Rob Williams PhD UT/ORNL Governors Chair in Computational Genetics
- Robert Davis M.D. UT/ORNL Governors Chair in Health Informatics

**Example UTHSC Science Areas (New Recruits in Red)**

<b>Pulmonary/Respiratory/Asthma</b> Chris Waters, Physiology Dennis Stokes, Pediatrics Andy Schwingshackl, Pediatrics Detlef Heck, Anat & Neuro Kanchak Parthasarathi, Physiology <i>Stephanie Cormier, Pediatrics</i>	<b>Bone/Arthritis</b> Andy Kang, Internal Med Annie Postlewaite, Internal Med AK Yi, Pediatrics Monica Browns, Pediatrics Linda Meyers, Pediatrics Karen Hatry, Ortho Darryl Quarles, Internal Med. Bill Mihaliko, Ortho Sameer Krum, Ortho	<b>Addiction</b> Ales Dopico, Pharmacology WenLin Sun, Pharmacology <i>Azma Bakiya, Pharmacology</i> <i>Hao Chen, Pharmacology</i>	<b>Genetics of Disease</b> Rob Williams, Anat & Neuro Mark Ledoux, Neurology Larry Retter, Neurology Xia Li, MIB David Nelson, MIB Larry Retter, Neurology Vito Chikilov, Anat & Neuro Beni Hozumi, Prev Med	<b>Cancer</b> Larry Pfeffer, Pathology Tiffany Seagraves, Pathology Ronald Larabee, Pathology Zhaohai Wu, Pathology Meiyun Fan, Pathology Andree Slemirski, Pathology Dariusz Mahadevan, Internal Med Ari Vandewalbe, Internal Med Pameth Narayanan, Internal Med Gus Miranda-Carboni, Int Med
<b>Cardiovascular</b> G Rao, Physiology KU Malik, Pharmacology Jon Jagger, Physiology Charlie Leffler, Physiology Gladysheva & Reed, Internal Med Helena Parfenova, Physiology Suleiman Bahouth, Pharmacology Yao Sun, Internal Med Karl Weber, Internal Med <i>Ade Adesowale, Physiology</i> <i>Sai Manjorella, Physiology</i>	<b>Infectious Disease</b> Jim Dale, Internal Med John Devincenzo, Pediatrics Gerry Byrne, MIB Maria Gomes-Solecki, MIB Ying Kong, MIB <i>Sam McCullers, Pediatrics</i> <i>Brian Schugart, IDB</i>	<b>Neurodegenerative Disorders</b> Tony Reiner, Anat & Neuro Mike McDonald, Neurology Ioannis Dragatsis, Physiology Francesca Dao, Pharmacology Steve Tavolin, Pharmacology Fanning Zhou, Pharmacology <i>Andy Papanicolaou, Pediatrics</i> <i>C Gaczowski, Anat&amp;Neuro</i> <i>Jack Tsao, Neurology</i>	<b>Hypertension &amp; Diabetes &amp; Obesity Clinical Trials</b> Karm Johnson, Prev Med Sam Dagogo-Jack, Internal Med Satri Satterfield, Prev Med Linda Nichols, Prev Med Abby Kitchi, Internal Med <i>Daria Revesdy, Internal Med</i> <i>Miklos Molnar, Internal Med</i> <i>Kater Akos, Prev Med</i> <i>Helmut Steinberg, Internal Med</i>	<b>Center for Population Science</b> Bob Kiesges, Prev Med Rebecca Kholwatski, Prev Med Melissa Little, Prev Med Zoran Buscar, Prev Med
<b>GI &amp; Mitigation of Radioactivity</b> Gabor Tigyi, Physiology Rinky Johnson, Physiology RR Rao, Physiology	<b>Obesity/Metabolism</b> Ed Park, Pharmacology Kristen O’Connell, Physiology Joan Han, Pediatrics David Bolgen, Physiology	<b>Eye Disease</b> Jena Steinko, Ophthalmology Ed Chaur, Ophthalmology Monica Jablonski, Ophthalmology A Iannaccone, Ophthalmology	<b>Community/Health Outcomes</b> Jim Bailey, Internal Med Teresa Waters, Prev Med <i>Rama Granta, Prev Med</i> <i>Cameron Captain, Prev Med</i>	<b>Center for Health Disparities</b> Pat Matthew-Janez, Prev Med Paul Jansen, Prev Med Steve Loughead, Prev Med Tony Oyana, Prev Med
<b>COM Focus Areas With Funded PIs (black font) &amp; New Research Recruits (blue font): 5.1.14</b>	<b>Stroke</b> Thad Nowak, Neurology <i>Andrei Alexandrov, Neurology</i> <i>George Dalgas, Neurology</i> <i>Jeff Mattar, Neurology</i>	<b>Channel Electrophysiology</b> Bill Armstrong, Anat & Neuro Robert Foehring, Anat & Neuro Robert Waters, Anat & Neuro Hitoshi Kita, Anat & Neuro John Boughter, Anat & Neuro	<b>Center for Biomed Informatics</b> Bob Davis, Pediatrics	

**Service Mission:**

**Meeting strategic goals of service to the state**

1. UTHSC provides through its faculty substantial care to the underserved of the State.
2. UTHSC via continuing education supports the ongoing education of health professionals through the State.
3. Community engagement and disease prevention via health fairs and public service screenings constitute a major effort at all of our four UTHSC Campuses.

## Steve Schwab

		#	Average	Min	Max	Variance	Standard Deviation
<b>Leadership</b>							
	Promotes continued development of academic programs, research, productivity and national reputation of excellence	44.00	3.52	1.00	4.00	0.67	0.81
	Articulates strategic vision and long-term goals for the campus	44.00	3.55	1.00	4.00	0.49	0.69
	Provides visionary leadership in strategic initiatives at the regional or national level	44.00	3.27	1.00	4.00	0.71	0.84
	Establishes and maintains productive relationships on behalf of the University with state government, the Tennessee congressional delegation, federal agencies and the community at large as appropriate for institutional/institute mission	41.00	3.44	1.00	4.00	0.60	0.77
	Has exhibited an understanding of the value of diversity, intercultural education, collegiality, collaboration and public service	43.00	3.28	1.00	4.00	0.49	0.69
	Has a good understanding of community growth while maintaining a quality education	44.00	3.23	1.00	4.00	0.51	0.70
	Has a clear understanding of financial and budgetary issues	43.00	3.47	1.00	4.00	0.83	0.90
	Leads the campus's development activities	42.00	3.21	1.00	4.00	0.47	0.67
	Understands the importance of engaging alumni, legislators, educational leaders across the state and the community at large in advancing the mission of the university	44.00	3.30	1.00	4.00	0.59	0.76
<b>Students</b>							
	Is genuinely concerned with student issues	35.00	3.09	1.00	4.00	0.32	0.55
	Is committed to providing an effective teaching/learning environment for students	36.00	3.39	1.00	4.00	0.47	0.68
	Is accessible and open to student input and recommendations	24.00	3.04	1.00	4.00	0.39	0.61
<b>Faculty</b>							
	Considers faculty input in making important decisions	33.00	2.82	1.00	4.00	0.65	0.80
	Is accessible and supportive of the principle of shared governance	33.00	2.94	1.00	4.00	0.81	0.89
	Places a high value on faculty development	30.00	3.03	1.00	4.00	0.79	0.87
	Fosters interdisciplinary approaches to undergraduate and graduate education	29.00	3.17	1.00	4.00	0.79	0.87
	Promotes the recruitment, development and retention of excellent faculty, department heads and deans	35.00	3.34	1.00	4.00	1.06	1.01
<b>Staff</b>							
	Considers staff input in making important decisions	28.00	2.93	1.00	4.00	0.44	0.65
	Understands the importance of staff in achieving the University's mission	30.00	3.13	1.00	4.00	0.46	0.67

**Board of Trustees Annual Meeting - President's Four-Year Comprehensive Performance Review of Chancellor Schwab**

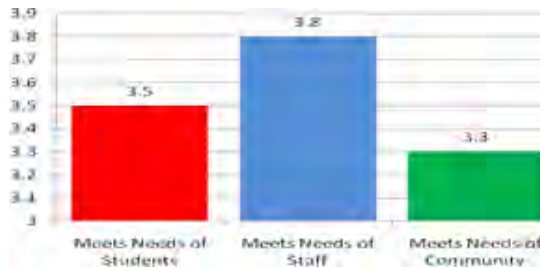
	Promotes the recruitment, development and retention of excellent staff at all levels	31.00	3.16	1.00	4.00	0.74	0.85
<b>Research</b>							
	Sets research as a high priority for the University	38.00	3.45	1.00	4.00	0.69	0.82
	Is experienced in promoting research enterprise	38.00	3.13	1.00	4.00	0.87	0.92
	Is supportive of faculty efforts as entrepreneurs (start-up efforts)	34.00	3.24	1.00	4.00	0.49	0.69
	Provides direction and incentives for research, scholarly publication, creative achievements and service	33.00	3.00	1.00	4.00	0.63	0.78
	Demonstrates interest and supports outreach and service-related opportunities	35.00	3.11	1.00	4.00	0.63	0.78
<b>University System</b>							
	Understands Complete College Tennessee Act (CCTA) and its impact on the University	23.00	3.35	2.00	4.00	0.42	0.63
	Commits to aligned strategic planning	37.00	3.43	2.00	4.00	0.42	0.64
	Understands the relationship and roles of the campus and the university system	37.00	3.41	2.00	4.00	0.47	0.68
<b>Overall</b>							
	I would rate this Chancellor's overall performance over the last four years as:	40.00	2.90	1.00	4.00	0.71	0.83

## FOUR-YEAR COMPREHENSIVE EVALUATION PROCESS

### GUIDELINES

1. Every four years, a comprehensive performance evaluation of Chancellors will be conducted, involving a broad group of constituents.
2. Each Chancellor will develop an activity report, outlining activities and accomplishments over the four-year time frame. The report will be distributed to all survey participants before they complete the survey.
3. An anonymous feedback tool will be developed that is web-based. Responses will be tracked by constituent group, not by individuals.
4. For each chancellor, the following individuals will be surveyed: SGA President, Faculty Senate President, Employee Relations Council Chair, UT Alumni Association President and Development Council Chair. Additionally, each chancellor will provide a list of individuals to be surveyed, and the President will add others to the list.
5. The President will develop survey questions, in consultation with the Chancellor who will have an opportunity to review and provide comments regarding them before constituents are invited to participate.
6. Individuals will respond on a 4-point scale, with 1 being strongly disagree and 4 being strongly agree. Each question, other than the overall rating, will also have a choice of not applicable (N/A). Only one response can be selected for each question.
7. The President will send an email to each individual invited to provide feedback and provide a three-week window for their confidential feedback. The tool will not require user IDs or passwords, and only those invited to participate will have the link to access the tool. All participants will be invited to respond to all questions, regardless of category, because they may have observed and have feedback about the Chancellor's interaction with other groups.
8. After the feedback tool closes, the President will be provided a summary report, which will roll feedback into constituency groups (i.e., students, faculty, staff, community, alumni, etc.) and will provide an average rating based on feedback from the entire group. The President will not be able to attribute ratings to individuals. This provides a more balanced summary, which will include outliers (extremely high or extremely low), but one individual cannot set the overall rating for an entire group.
9. There will be a free-text question at the end of the survey. The comments have no scoring value.

10. When the survey closes, a summary report will be provided in the following format:



We use these confidential feedback tools now in our annual performance evaluation process for my direct reports and to collect feedback from key constituent groups in a search. It works extremely well, providing confidentiality, which increases feedback. It is also easy to access and does not require the participant to establish or remember a user ID and password, which causes individuals to be concerned that their feedback is not anonymous and can be accessed through their user ID and password.

### GROUPS TO PARTICIPATE

For each chancellor, the following individuals will be surveyed: SGA President, Faculty Senate President, Employee Relations Council Chair, UT Alumni Association President and Development Council Chair. Additionally, each chancellor will provide a list of individuals to be surveyed, and the President will add others to the list. Groups to include:

1. Students
2. Faculty
3. Staff
4. Alumni
5. Community and Major Donors
6. Legislators and External Relationships
7. UT System and UT Foundation Administration

### SURVEY QUESTIONS

Questions will be adjusted/revised to suit the mission of each campus and responsibilities of each Chancellor. For each question, the scale will look like this:

#### Leadership

	Strongly Disagree		Strongly Agree		Not Applicable (N/A)
	(1)	(2)	(3)	(4)	
Promotes continued development of academic programs, research, productivity and national reputation of excellence					

**Leadership**

- Promotes continued development of academic programs, research, productivity and national reputation of excellence
- Articulates strategic vision and long-term goals for the campus
- Provides visionary leadership in strategic initiatives, such as the Top 25 initiative or other regional or national recognition
- Establishes and maintains productive relationships on behalf of the University with state government, the Tennessee congressional delegation, federal agencies and the community at large as appropriate for institutional/institute mission
- Has exhibited an understanding of the value of diversity, intercultural education, collegiality, collaboration and public service
- Has a good understanding of community growth while maintaining a quality education
- Has a clear understanding of financial and budgetary issues
- Leads the campus's development activities
- Understands the importance and role of collegiate athletics
- Understands the importance of engaging alumni, legislators, educational leaders across the state and the community at large in advancing the mission of the university

**Students**

- Is genuinely concerned with student issues
- Is committed to providing an effective teaching/learning environment for students
- Is accessible and open to student input and recommendations

**Faculty**

- Considers faculty input in making important decisions
- Is accessible and supportive of the principle of shared governance
- Places a high value on faculty development
- Fosters interdisciplinary approaches to undergraduate and graduate education
- Promotes the recruitment, development and retention of excellent faculty, department heads and deans

**Staff**

- Considers staff input in making important decisions
- Understands the importance of staff in achieving the University's mission
- Promotes the recruitment, development and retention of excellent staff at all levels



**Research and Outreach**

- Sets research as an important priority for the University
- Is experienced in promoting research enterprise
- Is supportive of faculty efforts as entrepreneurs (start-up efforts)
- Provides direction and incentives for research, scholarly publication, creative achievements, and service
- Demonstrates interest and supports outreach and service-related opportunities

**University System**

- Understands Complete College Tennessee Act (CCTA) and its impact on the University
- Commits to aligned strategic planning
- Understands the relationship and roles of the campus and the university system

**Overall**

I would rate this Chancellor's overall performance over the last four years as:

Scale 1 – 5 (ineffective to extremely effective)

**Comments**

What other information would you like to share regarding the Chancellor's performance (free text field)?

***Thank you for your feedback!***

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Executive and Compensation

ITEM: **Election and Compensation of University Officers**

RECOMMENDATION: Adoption of Resolution

PRESENTED BY: J. Brian Ferguson, Vice Chair of the Board  
Joseph A. DiPietro, President

Article IV of the Bylaws names the following positions as elected University Officers:

- President
- Executive Vice President/Vice President for Research and Economic Development
- Chancellors
- General Counsel and Secretary
- Treasurer and Chief Investment Officer
- Vice President for Development and Alumni Affairs

The Bylaws provide that these officers are elected by the Board initially and are subject to re-election annually. The service of all elected officers of the University is at the will of the Board of Trustees and without a definite term, except for the President, who has an employment agreement for a definite term.

The following Resolution is recommended to elect University Officers with the compensation shown in a spreadsheet marked Exhibit A appearing immediately after the Resolution. No increases in compensation are proposed.

Because the office of Vice President for Development and Alumni Affairs is currently filled on an interim basis by appointment of the President, the Resolution does not include that officer. The Resolution designates the Treasurer as the Chief Financial Officer of the University, thereby satisfying the Bylaw requirement that one of the University Officers be so designated.

**MOTION:**

**That the Resolution on Election and Compensation of University Officers be adopted.**

RESOLUTION  
OF  
THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES  
June 19, 2014

**Election and Compensation of University Officers**

WHEREAS, the Bylaws designate officers of the University and provide for their election by the Board upon the recommendation of the President; and

WHEREAS, the Bylaws further provide that these officers serve at the pleasure of the Board and are subject to re-election annually; and

WHEREAS, the Bylaws provide that the Board shall set the compensation of these officers annually;

NOW THEREFORE BE IT RESOLVED that:

1. The following University Officers are elected with the titles designated in the Bylaws as they currently exist or as subsequently amended:

Joseph A. DiPietro, President  
Steve R. Angle, Chancellor, The University of Tennessee at Chattanooga  
Larry R. Arrington, Chancellor, The University of Tennessee Institute of  
Agriculture  
Jimmy G. Cheek, Chancellor, The University of Tennessee, Knoxville  
Thomas A. Rakes, Chancellor, The University of Tennessee at Martin  
Steven J. Schwab, Chancellor, The University of Tennessee Health Science Center  
David E. Millhorn, Executive Vice President and Vice President for Research and  
Economic Development  
Catherine S. Mizell, General Counsel and Secretary  
Charles M. Peccolo, Jr., Treasurer and Chief Investment Officer

2. In accordance with Article IV, Section 1, of the Bylaws, which requires the Board of Trustees to designate one of the elected University Officers as Chief Financial Officer, Charles M. Peccolo, Jr., Treasurer and Chief Investment Officer, is hereby designated Chief Financial Officer.
3. The election of the above-named University Officers is with the compensation shown in Exhibit A to this Resolution.
4. The election of the above-named University Officers is subject to the following conditions:

- a. In accordance with the Bylaws, all University Officers serve at the pleasure of the Board, without tenure in their administrative positions, and without a definite term of appointment, unless otherwise provided in a written employment contract approved by the Board.
- b. The approved compensation for University Officers is for service in their administrative positions; if any University Officer also holds a tenured faculty appointment and leaves his/her University Officer position but continues in the faculty appointment, his/her initial compensation as a full-time faculty member shall not exceed the average compensation for full-time professors in the department in which he/she holds a faculty appointment, unless otherwise approved by the Board of Trustees.

Adopted by the Board of Trustees, this 19th day of June, 2014.

## Exhibit A to the Resolution on Election and Compensation of University Officers

**The University of Tennessee**

Elected Officers of the University

Compensation - July 1, 2014

		<b>Current June 30, 2014</b>	<b>Across-the- Board</b>	<b>Merit &amp; Market</b>	<b>Adjusted July 1, 2014</b>	<b>Housing Allowance</b>	<b>Expense Allowance</b>
DiPietro, Joseph A.	President	\$ 465,618.12	\$ -	\$ -	\$ 465,618.12	\$ 20,000.04	\$12,000.00
Angle, Steve R.	Chancellor, UTC	291,000.00	-	-	291,000.00	20,000.04	6,999.96
Arrington, Larry R.	Chancellor, UTIA	294,090.96	-	-	294,090.96	-	5,000.04
Cheek, Jimmy G.	Chancellor, UTK	434,451.96	-	-	434,451.96	20,000.04	9,999.96
Rakes, Thomas A.	Chancellor, UTM	247,360.32	-	-	247,360.32	9,999.96	5,000.04
Schwab, Steven J.	Chancellor, UTHSC	562,380.00	-	-	562,380.00	20,000.04	9,999.96
Millhorn, David E. <sup>(1)</sup>	Executive VP/VP Research & Econ Dev	383,069.76	-	-	383,069.76	-	6,999.96
Mizell, Catherine S.	General Counsel and Secretary	219,527.16	-	-	219,527.16	-	5,000.04
Peccolo, Charles M.	Treasurer and Chief Financial Officer	273,362.04	-	-	273,362.04	-	5,000.04

Amounts are presented in dollars and cents to ensure that the annual amounts approved by the Board of Trustees can be paid out in equal monthly amounts over a 12-month period.

<sup>(1)</sup> Includes temporary additional pay of \$50,000.04 for additional assignment as CEO & President of the UT Research Foundation.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: May 13, 2014

COMMITTEE: Executive and Compensation

ITEM: **2015 Dates for Regular Board Meetings and a Workshop**

RECOMMENDATION: Approval

PRESENTED BY: Catherine S. Mizell, Secretary

After conducting conflict checks, the following dates are proposed for three regular meetings of the Board of Trustees and a workshop in 2015:

Winter Meeting, February 25-26, 2015 in Memphis

Annual Meeting, June 24-25, 2015 in Knoxville

Workshop, September 9-10, 2015 (location to be determined)

Fall Meeting, October 15-16, 2015 in Knoxville

The Fall Meeting date is tentative, pending release of the 2015 Volunteer football schedule. If a home football game is not scheduled for October 17, 2015, alternate Fall Meeting dates will be recommended at a subsequent Committee meeting.

**MOTION:**

**That the proposed 2015 dates for regular board meetings and a workshop be recommended to the Board of Trustees for approval.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: May 13, 2014

COMMITTEE: Trusteeship

ITEM: Authorization for the Vice Chair to Make Committee and Committee Chair Appointments

ACTION: Approval

PRESENTED BY: Catherine S. Mizell, Secretary

Because the Governor has several Trustee appointments or reappointments to make this year, the final composition of the Board for 2014-15 is not yet known. Therefore, it is recommended that the Vice Chair be authorized to make any necessary committee and committee chair appointments, subject to review by the Trusteeship Committee at a subsequent meeting and further subject to ratification by the Board at the 2014 Fall Meeting.

**MOTION:**

**That the Vice Chair be authorized to make any necessary committee and committee chair appointments after Trustee appointments or reappointments have been made, subject to review by the Trusteeship Committee at a subsequent meeting and further subject to ratification by the Board at the Fall Meeting on October 3, 2014.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: May 13, 2014

COMMITTEE: Trusteeship

ITEM: **Reappointment of UT Members to the Board of Directors of University Health System, Inc.**

RECOMMENDATION: Approval

PRESENTED BY: Joseph A. DiPietro, President

9

University Health System, Inc. (UHS) is a not-for-profit corporation created in 1998 to operate the UT Medical Center in Knoxville. The UHS charter provides for a board of directors that includes three members appointed by the University President with the approval of the Board of Trustees. The current UT members are Dr. Joe Johnson, Mr. Michael Strickland, and Dr. David Millhorn.

The appointments of Dr. Johnson and Mr. Strickland will expire on June 30, and they are eligible for reappointment. The Nominating Committee of the UHS board of directors has recommended reappointment of Dr. Johnson and Mr. Strickland, and President DiPietro concurs with their reappointment for another three-year term.

**MOTION:**

**That the reappointment of Dr. Joseph E. Johnson and Mr. Michael Strickland to the Board of Directors of University Health System, Inc., for a three-year term beginning July 1, 2014 and ending June 30, 2017 be recommended to the Board of Trustees for approval.**



THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

ITEM: **Policy on a Student Programs and Services Fee**

RECOMMENDATION: Approval

PRESENTED BY: Joseph A. DiPietro, President

10

During the recent session of the General Assembly, the Senate adopted a resolution, introduced as Senate Joint Resolution 626, with the following key provisions:

1. Directing the Board of Trustees to work with the administration to implement a procedure allowing students to “opt in” to the allocation of fees to student organizations for student programming and strongly urging the University to provide a written acknowledgment to students to make them aware that some of the programming by student organizations may be of a controversial or objectionable nature to them.
2. Directing the Board of Trustees to work with the administration to publish a list of programs funded by the portion of the student activity fee allocated to student organizations for student programming so that students and parents may make informed choices about whether to opt in to the allocation.
3. Directing the Board of Trustees to work with the administration to consider ways to increase transparency and accountability in the process by which student activity fee funds are allocated to student organizations for student programming;
4. Directing the Board of Trustees to work with the administration to restructure the University Programs and Services Fee Board at the Knoxville campus to ensure a majority of nonstudent representation on the board; and

5. Directing the President to report to the Chairs of the Education Committees of the Senate and House of Representatives by January 1, 2014 concerning actions taken in response to the resolution.

President DiPietro worked with the Chairs of the Education Committees and the Speakers of the House and Senate to achieve mutually acceptable language in the resolution. Accordingly, upon the Senate's adoption of the resolution, President DiPietro committed to those legislative leaders that he would work in good faith to implement its directives. In consultation with the Chancellors and Chief Student Affairs Officers, who in turn consulted with student leadership, the proposed Policy on a Student Programs and Services Fee was developed as the principal means to implement the directives of the resolution.

Sections of the proposed policy addressing specific directives of the resolution are summarized below:

Section 6.A.: Requires the Chancellor to establish a Student Programming Allocation Board, which shall be the only body with authority to allocate Student Programs and Services Fee (SPSF) funds for student-organized programming.

Section 6.B.: Provides that the Student Programming Allocation Board shall be composed of students and non-student employees, with the majority being employees but at least 40 percent being students; provides that the Chancellor shall appoint the employee members, and the Chief Student Affairs Officer shall appoint the student members after soliciting and considering recommendations of the SGA.

Section 6.C.: Makes the Chief Student Affairs Officer responsible for establishing procedures for the Student Programming Allocation Board, including procedures for documenting the reasons for denying funding.

Sections 7.A. and 7.B.: Requires the Chancellor to establish an opt-in procedure before the beginning of the 2014 Fall Semester allowing every student the opportunity to expressly authorize the University to allocate a certain amount or percentage of the SPSF for student-organized programming. (Section 10 defines "student-organized programming" as programming organized by a campus student programming board recognized and advised by the campus administration, or by a registered student organization.)

[Note: Section 7.H. of the policy exempts campuses that do not allocate any part of the fee for student-organized programming. Under this provision, UT Health Science Center and UT Martin are currently exempt.]

Section 7.C.: Requires that the opt-in procedure include a written communication informing students that student-organized programming may be of a controversial nature or may be objectionable to students.

Section 7.D.: Requires the Chief Student Affairs Officer to make available to students a list of all student-organized programming funded by the SPSF during the preceding academic year.

In addition to implementing the directives of the Senate resolution, the proposed policy will update and supersede the last policy statement by the Board of Trustees concerning a student activity fee, which was a statement approved in 1971 on the University Programs and Services Fee.

**MOTION:**

**That the Policy on a Student Programs and Services Fee be adopted as presented in the meeting materials.**

**THE UNIVERSITY OF TENNESSEE**  
**BOARD OF TRUSTEES**  
**POLICY ON A STUDENT PROGRAMS AND SERVICES FEE**

*Proposed for Adoption by the Board of Trustees*

*June 19, 2014*

**SECTION 1. PRIOR POLICIES AND FEES SUPERSEDED.**

This policy shall supersede any and all Board of Trustees' policies or statements concerning student activity fees that fund Student-Organized Programming, as defined in Section 10 of this policy, including the University Programs and Services Fee authorized by the Board on August 18, 1971. The Student Programs and Services Fee (SPSF) authorized by this policy shall supersede any and all previously authorized student activity fees, including the University Programs and Services Fee.

**SECTION 2. AUTHORIZATION OF THE FEE.**

The campuses of The University of Tennessee are authorized to assess, use, and allocate funds derived from a student activity fee only in accordance with this policy. Campuses may exempt certain classes of students from paying all or part of the SPSF (e.g., part-time students; distance education students). The SPSF authorized by this policy shall be the only campus fee that funds Student-Organized Programming.

**SECTION 3. PURPOSE OF THE FEE.**

The purpose of the SPSF is to advance the University's educational mission by funding non-instructional services, activities, programs, and facilities that promote student satisfaction and retention or promote the intellectual, physical, emotional, social, cultural, or leadership development of students.

**SECTION 4. RESTRICTIONS ON THE USE OF SPSF FUNDS.**

- A. All expenditures of SPSF funds shall comply with University fiscal policies and procedures. The expenditure of SPSF funds shall be audited in the same manner as other University funds.
- B. Funds derived from the SPSF may be used only to fund the following:
  1. expenses relating to student services, activities, and programs organized or operated under the auspices of the Chief Student Affairs Officer (e.g., operating expenses and equipment; wages, salaries, and benefits);
  2. Student-Organized Programming;
  3. the student government association recognized by the campus administration;
  4. student media (e.g., student newspaper, student yearbook) recognized by the campus administration;
  5. intercollegiate and intramural athletics;

6. the costs of acquiring, constructing, installing, or enhancing any University property or facilities primarily used for non-instructional purposes, including the satisfaction of indebtedness; and
  7. the operating and maintenance costs of facilities primarily used for non-instructional purposes.
- C. Campuses may establish additional restrictions on the use of SPSF funds.

**SECTION 5. CONTROL OF THE FEE.**

- A. The **Board of Trustees** retains ultimate control of the SPSF by virtue of this policy and by setting the amount of the SPSF at each campus annually upon the recommendation of the Board's Finance and Administration Committee.
- B. The **President** shall:
1. exercise ultimate executive control over the administration and fiscal management of the SPSF;
  2. make recommendations to the Finance and Administration Committee at the Annual Meeting of the Board of Trustees concerning the amount of the SPSF at each campus, after considering the recommendations of the Chancellors; and
  3. submit an annual written report to the Finance and Administration Committee at the Annual Meeting of the Board of Trustees concerning the use of SPSF funds at each campus for the previous academic year, which shall include a report detailing the use of SPSF funds at each campus that discloses the activities, services, programs, and facilities were funded by the Student Programming Allocation Committee.
- C. The **Chancellor** shall:
1. exercise executive control over the administration and fiscal management of the SPSF at the campus, subject to the general supervision of the President;
  2. make an annual recommendation to the President concerning the amount of the SPSF prior to the Annual Meeting of the Board of Trustees;
  3. review and approve an annual budget for the use of SPSF funds submitted by the Chief Student Affairs Officer;
  4. provide the President with information to include in the President's annual report to the Board's Finance and Administration Committee concerning the use of SPSF funds; and
  5. perform any other duties described in this policy.
- D. The **Chief Student Affairs Officer** shall:
1. establish procedures for the general administration and fiscal management of SPSF funds;
  2. designate and supervise a fiscal officer for SPSF funds, who shall be responsible for the day-to-day administration and fiscal management of SPSF funds;

3. solicit and consider the recommendations of the recognized student government association regarding the amount of the SPSF and the budget for SPSF funds, after providing the recognized student government association with information concerning projections of SPSF funds reasonably sufficient to make informed recommendations;
4. make a recommendation to the Chancellor concerning the amount of the SPSF prior to the Annual Meeting of the Board of Trustees;
5. submit an annual budget for the use of SPSF funds to the Chancellor; and
6. perform any other duties described in this policy.

**SECTION 6. STUDENT PROGRAMMING ALLOCATION COMMITTEE.**

- A. The Chancellor shall establish a Student Programming Allocation Committee (SPAC), whose primary task will be to allocate SPSF funds for Student-Organized Programming. The SPAC shall also make recommendations to the Chief Student Affairs Officer regarding how to use SPSF funds that students, pursuant to the opt-in procedure described in Section 7, have directed for a use other than Student-Organized Programming. The Chancellor may assign other tasks to the SPAC that do not conflict with this policy. The Chancellor may not assign the task of allocating SPSF funds to Campus Student Programming Boards, Registered Student Organizations, or to any other person or group other than the SPAC.
- B. The Chancellor shall determine the number of members of the SPAC, which shall be composed of University students and non-student University employees. A majority of the members of the SPAC shall be non-student University employees, but at least 40 percent of the SPAC shall be composed of University students. The Chancellor shall appoint the employee members of the SPAC. The Chief Student Affairs Officer shall appoint the student members of the SPAC after soliciting and considering the recommendations of the recognized student government association.
- C. The Chief Student Affairs Officer shall establish SPAC procedures for: (1) meetings; (2) recordkeeping; (3) the process and timing for the submission of funding requests to the SPAC; and (4) communicating funding decisions, including procedures for documenting the reasons for a denial of funding.

**SECTION 7. OPTIONAL FUNDING OF STUDENT-ORGANIZED PROGRAMMING.**

- A. Unless a campus is exempt under the provisions of Section 7.H., the Chancellor shall establish an opt-in procedure by which every student is allowed the opportunity to expressly authorize the University to allocate a certain amount or percentage of the SPSF for Student-Organized Programming. If a student fails, for any reason, to expressly authorize the University to allocate a

certain amount or percentage of the SPSF for Student-Organized Programming, then that amount or percentage of the student's SPSF shall be allocated by the Chief Student Affairs Officer for a use that benefits students, within the limits described in Section 3 and 4, but the funds shall not be used for Student-Organized Programming.

- B. The opt-in procedure shall be implemented before the beginning of the Fall Semester of 2014.
- C. As part of the opt-in procedure, the campus shall inform students in writing (printed or electronic) that Student-Organized Programming may be of a controversial nature or may be objectionable to students.
- D. The Chief Student Affairs Officer shall make available to students a list of every program funded by the SPSF during the preceding academic year that was Student-Organized Programming. The campus may provide additional information to students as long as it does not conflict with this policy. For example, the campus may inform students that the total amount of the SPSF will not change, regardless of whether they authorize the University to allocate SPSF funds for Student-Organized Programming.
- E. The opt-in procedure, including the written communication to students described in Section 7.C, shall be presented to the President for approval prior to implementation. Any subsequent material changes to the opt-in procedure shall be presented to the President prior to implementation.
- F. The Chief Student Affairs Officer shall determine the amount or percentage of the SPSF that is subject to the opt-in procedure. The Chief Student Affairs Officer shall solicit and consider the recommendations of the SPAC regarding how to use SPSF funds that students have not expressly authorized for allocation to Student-Organized Programming.
- G. Only programs organized by Campus Student Programming Boards and/or Registered Student Organizations, as defined in Section 10 of this policy, are eligible to receive funding from the SPSF.
- H. The Chancellor shall not be required to develop the SPAC, the opt-in procedure, and other procedures described in Sections 6-9 of this policy if:
  - 1. all student programming funded by the SPSF is sponsored by the campus, and the Chief Student Affairs Officer or his/her staff designee is the final decision maker concerning student programming funded by the SPSF; and
  - 2. the campus engages students in the student programming process only to generate ideas and/or to assist in the organization or execution of student programming selected by the Chief Student Affairs Officer or his/her staff designee.

**SECTION 8. CRITERIA FOR ALLOCATING SPSF FUNDS FOR STUDENT-ORGANIZED PROGRAMMING.**

- A. The SPAC shall consider the following criteria exclusively in evaluating requests for Student-Organized Programming:
1. Whether, and to what degree, the program would advance the University's educational mission by promoting student satisfaction and retention and/or by promoting the intellectual, physical, emotional, social, cultural, or leadership development of students;
  2. Whether the request complied with the procedures established the Chief Student Affairs Officer for the submission of funding requests to the SPAC, including whether the request was timely submitted to the SPAC;
  3. Whether the program will be held on campus, and, therefore, will be easily accessible to students (priority shall be given to programs held on campus, unless ongoing construction to University facilities funded by the SPSF substantially impairs the ability of students to hold programs on campus);
  4. The clarity of the request;
  5. The amount of the request;
  6. The total amount of SPSF funds available for allocation by the SPAC;
  7. Whether, and to what degree, the request demonstrates that the Campus Student Programming Board or Registered Student organization has been diligent in determining the anticipated costs of the program;
  8. Whether, and to what degree, the funding proposal is fiscally responsible;
  9. If applicable, how effectively and efficiently the Campus Student Programming Board or Registered Student Organization used SPSF funds in the past;
  10. If applicable, whether the Campus Student Programming board or Registered Student Organization complied with University policies concerning SPSF funds in the past;
  11. If a Registered Student Organization, whether, and to what degree, the program is relevant to the Registered Student Organization's purpose, as described in the Registered Student Organization's constitution on-file with the University;
  12. Whether the proposed program is substantially similar to a program that was funded by the SPSF (or the former fee known as University Programs and Services Fee at the University of Tennessee, Knoxville) and organized by any Registered Student Organization within the past two (2) years;



13. Whether the proposed program duplicates, in whole or in part, separate services or programs offered by other Registered Student Organizations, but this criterion shall not prohibit Registered Student Organizations from collaborating and submitting a joint funding request to the SPAC;
  14. Whether the proposed program duplicates, in whole or in part, services or programs offered by an academic, administrative, or auxiliary department or division of the University.
- B. The viewpoint of a Registered Student Organization or of the speaker(s) included in a proposed program shall not be considered by the SPAC when making a funding decision.
  - C. Student-Organized Programming funded by the SPAC must be open to attendance by all students who opted in to directing that a portion of their SPSF funds be allocated for Student-Organized Programming or who are exempt from payment of the SPSF by campus policy or law.

#### **SECTION 9. APPEALS.**

A Campus Student Programming Board or Registered Student Organization may appeal the SPAC's decision to deny funding. An appeal shall be submitted in writing to the Chief Student Affairs Officer within five (5) business days of the date on which the SPAC informed the Campus Student Programming Board or Registered Student Organization of the SPAC's decision to deny funding. There shall be only two grounds for appealing a decision of the SPAC: (1) the SPAC denied funding based on the viewpoint of a Registered Student Organization or of the speaker(s) included in a proposed program; and/or (2) the SPAC failed to follow its procedures in making the funding decision. The Chief Student Affairs Officer shall issue a decision on the appeal within ten (10) business days of the receipt of the appeal. The decision of the Chief Student Affairs Officer is not appealable.

#### **SECTION 10. DEFINITIONS.**

The following definitions apply for the purposes of this policy:

- A. The term **"Campus Student Programming Board"** means a group of students recognized by the campus administration to organize campus-wide student programming and advised by a University staff member designated by the Chancellor or a Vice Chancellor.
- B. The term **"Registered Student Organization"** means a student organization registered with the University in accordance with University rules.
- C. The term **"Student-Organized Programming"** means programs organized by Campus Student Programming Boards and/or Registered Student Organizations.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UT Knoxville

ITEM: **Towing Fine Increase at UT Knoxville**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

UT Knoxville requests approval to increase the towing fine by \$18 from \$42 to \$60, with a \$5.00 per day storage fee. The increase would match the University's towing cost of \$60.

A wheel boot device is used for most parking violations. Towing is typically used only for criminal violations, violations presenting a safety hazard, or to remove unauthorized vehicles prior to football games and other events.

Because this parking regulation involves a fine, it must be promulgated under the rulemaking procedures of the Tennessee Uniform Administrative Procedures Act (TUAPA), including a roll call vote in the full Board meeting. Chapter 1720-04-5 of the Rules of The University of Tennessee appears in its entirety in the following pages, with the proposed revisions redlined on page 6.

**MOTION:**

**That amended Chapter 1720-04-5 of the Rules of The University of Tennessee be adopted to increase the towing fine at UT Knoxville from \$42 to \$60, with a \$5.00 per day storage fee.**

**RULES  
OF  
THE UNIVERSITY OF TENNESSEE**

**CHAPTER 1720-04-5  
TRAFFIC AND PARKING REGULATIONS**

**TABLE OF CONTENTS**

1720-04-5-.01	General	1720-04-5-.06	Enforcement
1720-04-5-.02	Registration of Vehicles	1720-04-5-.07	Penalties
1720-04-5-.03	Staff Space Allocation and Parking Assignment	1720-04-5-.08	Appeals
1720-04-5-.04	Vehicle Operation	1720-04-5-.09	Restrictions
1720-04-5-.05	Violations	1720-04-5-.10	Special Occasions and Emergencies
		1720-04-5-.11	Pedestrian Regulations

**1720-04-5-.01 GENERAL.**

- (1) These regulations are established by the Traffic and Parking Authority of The University of Tennessee as directed by resolution of The University of Tennessee Board of Trustees on June 20, 1968. They are applicable to all students, faculty, staff, and visitors on the Knoxville campus of The University of Tennessee. Students are required to obey these regulations as a condition of attendance at the University, and faculty and staff (hereinafter referred to as staff) members are required to obey them as a condition of employment.
- (2) The purpose of these regulations is to facilitate the safe and orderly conduct of University business and provide parking facilities in support of this function within the limits of available space.
- (3) The UT Police Department is responsible for implementation and enforcement of these regulations. Records are maintained by the Parking and Transit Services Department, 974-6031.
- (4) The University shall have no responsibility for loss or damage to any vehicle or its contents operated or parked on the Knoxville campus of The University of Tennessee.
- (5) Regulations are enforceable seven (7) days a week, twenty-four (24) hours a day, including holidays and breaks.

**Authority:** T.C.A §49-9-209(e).

**1720-04-5-.02 REGISTRATION OF VEHICLES.**

- (1) All motor vehicles operated by staff and students in connection with their employment or attendance at The University of Tennessee must be registered with the Parking and Transit Services Department. Registration alone does not confer any parking privileges at any time on campus. Registration improves the security of campus, identifies the operator as affiliated with the University and provides for more expedient notification in the event of any emergency. THERE WILL BE NO CHARGE FOR REGISTRATION.
- (2) Transferable registration hanging tags will be issued to staff and students registering their vehicles. To be valid, these tags must be clearly visible and hanging from the rear view mirror of the registrant's vehicle.

- (3) Registration tags are not parking permits and do not confer any parking privileges at any time on campus.
- (4) Parking at University Apartments requires a special registration tag that is to be obtained from the Resident Manager's Office of the apartment complex of residency. This tag will authorize parking at a particular housing unit only. Parking in University lots on the Agriculture and Main Campuses will require the appropriate staff or student parking permit, which may be obtained at the Parking and Transit Services Department, University Center, Room 24, or 2121 Stephenson Drive.
- (5) Vehicle registration must be renewed at the beginning of each Fall Semester and is valid until the beginning of the following Fall Semester so long as the registrant remains a student or a University employee.
- (6) Staff vehicle registrations must be accomplished within 72-hours of joining the University staff and within 72-hours of acquiring a vehicle. A temporary permit is required during this initial 72-hour period. Staff vehicle registration must be renewed each year at the beginning of the parking year (August 1 through July 31).
- (7) Student vehicle registration must be accomplished by the first day of class or within 72 hours of acquiring a vehicle. A temporary permit is required during this 72-hour period.
- (8) Lost or stolen registration tags (E tags, K tags) will be replaced without charge.
- (9) The person to whom a vehicle is registered is responsible for that vehicle and all violation citations issued thereto. If the person operating the vehicle is other than the registrant when a violation is committed, both he/she and the registrant may be cited.
- (10) Specific EXPIRATION DATES are indicated on each registration tag.
- (11) Ownership of vehicle registration is not transferable.

**Authority:** T.C.A. §49-9-209(e).

**1720-04-5-.03 STAFF SPACE ALLOCATION AND PARKING ASSIGNMENT.**

- (1) Parking spaces will be allocated to the departments through the appropriate dean or director.
- (2) Deans or directors will make individual assignments not to exceed the number of spaces allocated.
  - (a) Priority of assignment is up to each department; however, professional rank, seniority, job requirements or physical limitations should be prime considerations.
  - (b) Assignments will be made by the Parking and Transit Services Department in accordance with instructions from deans or directors.
  - (c) Assignments and permits extend from the time of issuance to the beginning of the following fall semester.
  - (d) Staff members will present to the Parking and Transit Services Department letters of authorization from deans or directors for area assignment.

**Authority:** T.C.A. §49-9-209(e).

**1720-04-5-.04 VEHICLE OPERATION.**

- (1) All persons operating a vehicle on University property or in the campus area, which includes city streets running through University property, must be properly licensed operators.
- (2) Pedestrians have the right of way at established pedestrian crossings, except where regulated by traffic control lights or police officers.
- (3) Under normal conditions the maximum speed limit on campus streets is 20 mph and 30 mph on the city streets or as posted. However, vehicles may not be operated at any speed which is excessive for the conditions which may exist as a result of weather, traffic congestion, pedestrians, etc.
- (4) Traffic control signs, devices and directions of police officers will be obeyed.
- (5) All persons operating vehicles are responsible for maintaining control of the vehicle, safe operation of the vehicle, and observance of traffic control signs, barriers, and devices.
- (6) Operating a motor vehicle in any area other than a street or roadway intended for motor vehicles is prohibited.
- (7) All accidents must be reported to the University Police immediately (974-3111).
- (8) Bicycles operated on campus or city streets will be controlled under the same regulations and conditions that apply to motor vehicles.

**Authority:** *Public Acts of Tennessee, 1839-1840, chapter 98, Section 5 and Public Acts of Tennessee, 1807, chapter 64.*

**1720-04-5-.05 VIOLATIONS.**

- (1) The following examples shall constitute violations of these regulations:
  - (a) Registration
    1. On University property with no visible permit or registration tag
    2. Altered or mutilated registration tag or parking permit
    3. Unauthorized possession of registration tag or parking permit
    4. Falsification of registration information
  - (b) Parking
    1. In a no parking zone
    2. In unauthorized area
    3. In loading zone (including loading dock)

4. Blocking Dempster Dumpster
  5. In fire lane
  6. Blocking or obstructing traffic, street, sidewalk driveway, fire hydrant, building entrance or exit or another vehicle.
  7. Parked wrong way on one-way street
  8. Parked overtime
  9. Disability parking violation, as defined by State law (e.g., an unauthorized use of a disabled parking space, ramp, plate, or placard; parking a vehicle so that a portion of the vehicle encroaches into a disabled parking space in a manner which restricts, or reasonably could restrict, a person confined to a wheelchair from exiting or entering a vehicle properly parked within the disabled parking space)
  10. Parked outside lines or appropriately marked space
- (c) Moving
1. Exceeding posted speed limit
  2. Excessive speed for existing conditions
  3. Failure to obey traffic control signal or sign
  4. Failure to obey a police officer
  5. Operating a vehicle without a valid operator's license
  6. Driving off of roadway or street
  7. Reckless driving and/or racing
  8. Failure to yield right-of-way at pedestrian crossing
  9. Leaving scene of accident
  10. Failure to signal turn or stop
  11. Wrong way on one-way street
  12. Following too closely
  13. Operating mechanically unsafe vehicle
  14. Driving while under the influence of alcohol or narcotics
  15. Operating vehicle causing loud or unnecessary noise, such as loud mufflers, horns, P.A. systems, etc.

**Authority:** T.C.A. §49-9-209(e).

**1720-04-5-.06 ENFORCEMENT.**

- (1) University violation citations must be answered in person or by mail at the Parking and Transit Services Department, University Center, Room 24, or 2121 Stephenson Drive, within fourteen (14) calendar days after issuance to avoid 50% penalty for late payment or forfeiture of right to appeal.
- (2) City citations must be answered as indicated on the citations.
- (3) Vehicles having a violation citation(s) which has not been satisfactorily disposed of by payment of assessed penalties/fines within the fourteen (14) calendar day period or by a successful appeal may be towed/booted whenever found parked on University property, provided the owner of such vehicle will first be notified in writing of
  - (a) The existence of delinquent violation citation(s);
  - (b) The owner's right to a hearing and where such hearing may be obtained; and
  - (c) The University's intent to tow/boot such vehicle when it is next found on University property, whether parked legally or illegally.
- (4) Vehicles parked in a fire lane, designated handicapped parking space, reserved parking space, or in such manner as to impede the flow of traffic or disrupt the orderly affairs of the University may be impounded/booted. Owners of vehicles impounded/booted for the above reasons have a right to a hearing that will be provided on request prior to the payment of penalties.
- (5) Hearing will be provided at the Parking and Transit Services Department located at University Center, Room 24.
- (6) Impounded vehicles may be claimed at University Center, Room 24, M-F, 8:30 a.m.-4:30 p.m. After this time, vehicles may be claimed at UT Police Department, 1101 Cumberland Avenue. Impounded vehicles will be released only upon proper identification.

**Authority:** T.C.A. §49-9-209(e).

**1720-04-5-.07 PENALTIES.**

- (1) Fines and Other Penalties for Violations - Staff, Students and Visitors
  - (a) Registration violation \$32.00 (except an altered or mutilated registration tag or parking permit violation, an unauthorized possession of registration tag or parking permit violation, and a falsification of registration information violation, the penalty for each of which is \$250.00).
  - (b) Parking violation \$24.00, except for the following violations:
    1. Fire lane violation \$42.00
    2. Disability parking violation. The fine for a disability parking violation is set by State law, Tennessee Code Annotated section 55-21-108. As of July 1, 2008, the fine was set at two hundred dollars (\$200). The fine imposed under these regulations will increase or decrease automatically when

increased or decreased by State law. The fine for a disability parking violation shall not be suspended or waived. In addition to the fine, not more than five (5) hours of community service work may be imposed for a disability parking violation. Any community service work requirements imposed shall be to assist the disabled community by monitoring disabled parking spaces, providing assistance to disability centers or to disabled veterans, or other such purposes.

- (c) Moving violation \$29.00
  - (d) Impounded/towed vehicle \$60.00 (plus \$5.00 per day storage fee)
  - (~~e~~) ~~Impounded/~~booted vehicle \$42.00 (plus \$3.00 per day storage fee)
- (2) If a citation is not paid or appealed within fourteen (14) calendar days after issuance, a penalty of 50% of the fee will be assessed. In case a citation is appealed, all amounts due must be paid within fourteen (14) calendar days of notification that the original appeal was not sustained.
  - (3) Disciplinary Action - Staff and Students.
    - (a) Any staff member or student who has failed to pay citations is subject to disciplinary action up to and including termination or dismissal from the University.
    - (b) Students with outstanding traffic citations will not be permitted to register at the beginning of the semester until indebtedness is cleared.
    - (c) A staff member with outstanding traffic citations will not be allowed to register a vehicle or purchase a parking permit.

**Authority:** T.C.A. §49-9-209(e).

**1720-04-5-.08 APPEALS.**

- (1) Student and Staff Appeals Boards are appointed by the Traffic and Parking Authority:
- (2) A written appeal, using the form supplied by the Parking and Transit Services Department, is the initial step in the appeals process. This must be done within fourteen (14) calendar days from the issuance of the citation or the right of appeal will be forfeited. Appeals must be made by the person cited or person to whom the vehicle is registered. Oral or written requests from other persons will not be accepted except to clarify that a person cited is not an active UT employee or student.
- (3) Failure to appear without prior notice at scheduled Staff or Student Appeals Board meetings will result in forfeiture of right to appeal to the Board.
- (4) A Staff Appeals Board meets as required at University Center, Room 24. A Student Appeals Board meets regularly during each semester at the same location. The Parking and Transit Services Department (974-6031) may be contacted for appeals information.
- (5) Information concerning higher appeals is available for those who wish to pursue the appeals process further.



- (6) A staff member or student who receives MORE THAN six (6) citations, paid or unpaid (but not including citations under appeal) in the same academic year may be required to forfeit his or her parking privilege for the remainder of that academic year. Such a violator will be scheduled to appear before an Appeals Board. The Board will make a recommendation to the UT-Traffic and Parking Authority about forfeiture of the parking privilege. A person who parks his or her car on campus after the parking permit has been forfeited may have the car impounded/booted until all citations are paid, including the impoundment/booting fee.

**Authority:** T.C.A. §49-9-209(e).

**1720-04-5-.09 RESTRICTIONS.**

- (1) University streets or grounds may not be used by any firm, corporation or person for advertising or commercial purposes.
- (2) Excessive noise by musical instruments, loudspeakers, faulty mufflers, or muffler cutouts is prohibited on streets within the Campus Area.
- (3) Student and staff vehicles are to be removed from designated parking lots by 7:00 a.m. on days when football games are scheduled at Neyland Stadium. Vehicles remaining after 7:00 a.m. will be removed and impounded.

**Authority:** T.C.A. §49-9-209(e).

**1720-04-5-.10 SPECIAL OCCASIONS AND EMERGENCIES.** On special occasions - for example: athletic events, concerts, graduation exercises, etc., and in emergencies - parking and traffic limitations may be imposed by UT Police or the Parking and Transit Services Department as required by the conditions which prevail.

**Authority:** T.C.A. §49-9-209(e).

**1720-04-5-.11 PEDESTRIAN REGULATIONS.**

- (1) Students and staff members must not endanger their safety or constitute an unreasonable impediment to lawful vehicular traffic by crossing streets at other than authorized lanes or by willfully walking or congregating in the streets.
- (2) All persons will avoid walking across lawns or against traffic signs.

**Authority:** T.C.A. §49-9-209(e).

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014  
COMMITTEE: Finance and Administration  
ITEM: Use of University Property  
RECOMMENDATION: Approval  
PRESENTED BY: Catherine S. Mizell, General Counsel and Secretary

At the Winter Meeting on February 27, 2014, the Board adopted Chapter 1720-01-2 of the Rules of The University of Tennessee, titled "Use of University Property" to supersede the current Chapter 1720-01-2, titled "Access to University Property." The Board's adoption was the first step in the formal rulemaking process under the Tennessee Uniform Administrative Procedures Act. The rule was subsequently presented to the State Attorney General for review.

The Attorney General has asked that certain revisions to the rule be presented to the Board for approval. The relatively minor revisions provide clarification of intent. In the following pages, a version of the rule showing the revisions appears first, followed by the final version presented for adoption at this meeting.

Upon adoption by the Board, the rule will be submitted to the Attorney General for approval and, if approved by the Attorney General, filed with the Secretary of State under both the emergency and ordinary rulemaking procedures of the Tennessee Uniform Administrative Procedures Act.

**MOTION:**

**That the Board of Trustees adopt the proposed Chapter 1720-01-2 of the Rules of The University of Tennessee, titled "Use of University Property," to supersede the current Chapter 1720-01-2, titled "Access to University Property."**



**RULES  
OF  
THE UNIVERSITY OF TENNESSEE**

**CHAPTER 1720-01-2  
USE OF UNIVERSITY PROPERTY**

**1720-01-2-.01 DEDICATION OF UNIVERSITY PROPERTY.** The University of Tennessee dedicates its property to the advancement of the University's principal missions of teaching, research, and service.

**1720-01-2-.02 AUTHORIZED USERS.** The following persons or groups may use University property, subject to other University rules, policies, or procedures adopted by a University campus, center, or institute, and federal, state, and local laws:

- (1) University students;
- (2) University employees;
- (3) Members of the Board of Trustees;
- (4) Government officials;
- (5) A person or entity invited by the University, including, but not limited to, a University contractor;
- (6) A person who has been invited by a student, a student organization, or an employee in his/her personal capacity, to join the student, student organization, or employee in the use of University property (e.g., friends and family), but not including the use of University property for free expression activities;
- (7) A person using University property for free expression activities pursuant to Chapter 1720-01-12 (Use of University Property by Non-Affiliated Persons for Free Expression Activities).
- ~~(7)~~(8) Volunteers of the University, as defined by University policy;
- ~~(8)~~(9) Prospective students visiting University property and persons accompanying prospective students;
- ~~(9)~~(10) Alumni visiting University property and persons accompanying alumni;
- ~~(10)~~(11) A person who has a right of access to University property under Tennessee Code Annotated § 8-50-1001 or any other statutory provisions permitting access to University property;
- ~~(11)~~(12) Any person or entity engaged in one of the following uses of University property:

- (a) The use of University property for the purpose of attending a University activity or event that is open to attendance by the members of the general public at a designated place and time (e.g., athletic contests, plays, lectures, concerts);
- (b) The use of University-owned streets, or University-owned sidewalks bordering University-owned streets, as thoroughfares while traveling from one location to another location;
- (c) The use of University property that is open to the general public (e.g., campus bookstore, library, museum);
- (d) The use of University property consistent with the terms of a lease agreement with the University; or
- (e) The use of University property for a purpose relating to obtaining medical treatment from the University.

**1720-01-2-.03 USE OF UNIVERSITY PROPERTY FOR FREE EXPRESSION ACTIVITIES.**

Chapter 1720-01-12 (Use of University Property ~~for Free Expression Activities~~ by Non-Affiliated Persons for Free Expression Activities) governs the use of University property for free expression activities by persons who are not affiliated with the University. To the extent of any conflict between this Chapter and Chapter 1720-01-12, Chapter 1720-01-12 shall control.

**RULES  
OF  
THE UNIVERSITY OF TENNESSEE**

**CHAPTER 1720-01-2  
USE OF UNIVERSITY PROPERTY**

**1720-01-2-.01 DEDICATION OF UNIVERSITY PROPERTY.** The University of Tennessee dedicates its property to the advancement of the University's principal missions of teaching, research, and service.

**1720-01-2-.02 AUTHORIZED USERS.** The following persons or groups may use University property, subject to other University rules, policies, or procedures adopted by a University campus, center, or institute, and federal, state, and local laws:

- (1) University students;
- (2) University employees;
- (3) Members of the Board of Trustees;
- (4) Government officials;
- (5) A person or entity invited by the University, including, but not limited to, a University contractor;
- (6) A person who has been invited by a student, a student organization, or an employee in his/her personal capacity, to join the student, student organization, or employee in the use of University property (e.g., friends and family), but not including the use of University property for free expression activities;
- (7) A person using University property for free expression activities pursuant to Chapter 1720-01-12 (Use of University Property by Non-Affiliated Persons for Free Expression Activities).
- (8) Volunteers of the University, as defined by University policy;
- (9) Prospective students visiting University property and persons accompanying prospective students;
- (10) Alumni visiting University property and persons accompanying alumni;
- (11) A person who has a right of access to University property under Tennessee Code Annotated § 8-50-1001 or any other statutory provisions permitting access to University property;
- (12) Any person or entity engaged in one of the following uses of University property:

- (a) The use of University property for the purpose of attending a University activity or event that is open to attendance by the members of the general public at a designated place and time (e.g., athletic contests, plays, lectures, concerts);
- (b) The use of University-owned streets, or University-owned sidewalks bordering University-owned streets, as thoroughfares while traveling from one location to another location;
- (c) The use of University property that is open to the general public (e.g., campus bookstore, library, museum);
- (d) The use of University property consistent with the terms of a lease agreement with the University; or
- (e) The use of University property for a purpose relating to obtaining medical treatment from the University.

**1720-01-2-.03 USE OF UNIVERSITY PROPERTY FOR FREE EXPRESSION ACTIVITIES.** Chapter 1720-01-12 (Use of University Property by Non-Affiliated Persons for Free Expression Activities) governs the use of University property for free expression activities by persons who are not affiliated with the University. To the extent of any conflict between this Chapter and Chapter 1720-01-12, Chapter 1720-01-12 shall control.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

ITEM: **Use of University Property by Non-Affiliated Persons for Free Expression Activities**

RECOMMENDATION: Approval

PRESENTED BY: Catherine S. Mizell, General Counsel and Secretary

At the Winter Meeting on February 27, 2014, the Board adopted Chapter 1720-01-12 of the Rules of The University of Tennessee, titled "Use of University Property by Non-Affiliated Persons for Free Expression Activities," to supersede the "Speaker Policy" adopted by the Board of Trustees on June 19, 1969. The Board's adoption was the first step in the formal rulemaking process under the Tennessee Uniform Administrative Procedures Act. The rule was subsequently presented to the State Attorney General for review.

The Attorney General has asked that certain revisions to the rule be presented to the Board for approval. Most of the revisions simply provide clarification of intent. The one substantive revision deletes reference to a campus/institute policy on use of University property by student organizations or employees as controlling in the event of a conflict between that policy and the rule. The intent of this provision was to emphasize that a non-affiliated person's use of University property for free expression activities is strictly derivative of the right of the inviting student organization or faculty member to use University property. The General Counsel has concluded that this provision is not critical to the rule and that deleting it will eliminate the risk of a non-affiliated person claiming to be unaware of restrictions in a campus/institute policy.

In the following pages, a version of the rule showing the revisions appears first, followed by the final version presented for adoption at this meeting. The substantive revision described above appears on page 3 in sections 1720-01-12-.04(2) and 1720-01-12-.04(3).

Upon adoption by the Board, the rule will be submitted to the Attorney General for approval and, if approved by the Attorney General, filed with the Secretary of State under both the emergency and ordinary rulemaking procedures the Tennessee Uniform Administrative Procedures Act.

**MOTION:**

**That the Board of Trustees adopt proposed Chapter 1720-01-12 of the Rules of The University of Tennessee, titled "Use of University Property by Non-Affiliated Persons for Free Expression Activities," to supersede the "Speaker Policy" adopted by the Board of Trustees on June 19, 1969.**



**RULES  
OF  
THE UNIVERSITY OF TENNESSEE**

**CHAPTER 1720-01-12  
USE OF UNIVERSITY PROPERTY BY NON-AFFILIATED PERSONS  
FOR FREE EXPRESSION ACTIVITIES**

**1720-01-12-.01 PURPOSE AND APPLICATION OF THIS CHAPTER.** The purpose of this Chapter is to provide a uniform basis on which the University of Tennessee will regulate the use of University property by non-affiliated persons for free expression activities through reasonable, viewpoint neutral regulations consistent with and in furtherance of the University’s principal missions of teaching, research, and service. To the extent of any conflict between this Chapter and Chapter 1720-01-2 (Use of University Property), Chapter 1720-01-8 (Assembly Policy), or Chapter 1720-01-7 (Solicitation on University Property), this Chapter shall control. This Chapter supersedes and replaces the “Speaker Policy” adopted by the University of Tennessee Board of Trustees on June 19, 1969.

**1720-1-12-.02 DEFINITIONS.**

- (1) The term “academic freedom” means the academic freedom of a University faculty member described in the University of Tennessee Board of Trustees’ Policies Governing Academic Freedom, Responsibility, and Tenure.
- (2) The term “designated public forum” means property that has not traditionally been regarded as open to free expression activities but which has been intentionally opened by the University for the purpose of free expression activities by members of the general public.
- (3) The term “free expression activities” means expression and/or assemblies protected by the First Amendment, including, but not limited to, picketing, distributing printed materials, public speaking, demonstrations, rallies, vigils, parades, and marches.
- (4) The term “limited public forum” means property that has not traditionally been regarded as open to free expression activities but which has been intentionally opened by the University for the purpose of free expression activities by certain groups or opened by the University for the purpose of free expression activities that are dedicated solely to the discussion of certain subjects.
- (5) The term “non-affiliated person” means any person or entity who is not a University unit, student, student organization, employee, or volunteer.
- (6) The term “nonpublic forum” means property that is not a forum for free expression activities.
- (7) The term “printed materials” means publications, handbills, posters, leaflets, petitions, fliers and all other types of written matter.

- (8) The term “public speaking” means:
  - (a) orally and audibly expressing;
  - (b) a message, idea, opinion, concept, principle, or belief;
  - (c) to one or more other persons;
  - (d) in public; and
  - (e) in a manner other than through a private conversation.
- (9) The term “student organization” means a student organization registered with the University in accordance with University rules.
- (10) The term “traditional public forum” means property that by tradition or by government fiat is open to assembly and debate by members of the general public, such as city streets, sidewalks, and parks.
- (11) The term “University” means the campuses, centers, and institutes of the University of Tennessee, and all their constituent parts, and the University of Tennessee system administration.
- (12) The term “University unit” means any academic, administrative, or auxiliary department or division of the University or any other official entity of the University, functioning through University employees acting within the scope of their University employment.
- (13) The term “University property” means all land, grounds, structures, and any other physical property owned, controlled, or operated by the University.

**1720-1-12-.03 GENERAL RULES.**

- (1) The University’s property is dedicated to the advancement of the University’s principal missions of teaching, research, and service. The University’s property is not a traditional public forum or a designated public forum and thus is not open for use by non-affiliated persons, either by tradition or by University policy, for free expression activities, except as otherwise expressly provided in this Chapter. Rather, with the exception of the streets and sidewalks described in Section .06, University property consists of a variety of limited public forums and nonpublic forums.
- (2) Free expression activities permitted by this Chapter are subject to the time, place, and manner restrictions in Section .08.

**1720-1-12-.04 NON-AFFILIATED PERSONS INVITED TO PARTICIPATE IN THE FREE EXPRESSION ACTIVITIES OF STUDENT ORGANIZATIONS AND FACULTY MEMBERS USING LIMITED PUBLIC FORUMS.**

- (1) The University has opened many of its outdoor spaces and indoor facilities as limited public forums for use by students and by faculty members exercising academic freedom. In accordance with well-established First Amendment law, the University does not regulate these free expression activities on the basis of viewpoint.
- (2) A student organization using a University limited public forum may invite a non-affiliated person to participate in the student organization's free expression activities, subject to the terms of the invitation and subject to the time, place, and manner restrictions in Section .08 ~~applicable campus/institute policy on the use of University property by student organizations, which shall control in the event of a conflict between that policy and this Chapter.~~ The student organization that invites the non-affiliated person shall be responsible for ensuring that the non-affiliated person is knowledgeable about the restrictions in this Chapter ~~and the applicable campus/institute policy on the use of University property by student organizations.~~
- (3) A faculty member using a University limited public forum in the exercise of his or her academic freedom may invite a non-affiliated person to participate in the faculty member's free expression activities, subject to the terms of the invitation and subject to the time, place, and manner restrictions in Section .08 ~~applicable campus/institute policy on the use of University property by employees, which shall control in the event of a conflict between that policy and this Chapter.~~ The faculty member who invites the non-affiliated person shall be responsible for ensuring that the non-affiliated person is knowledgeable about the restrictions in this Chapter ~~and the applicable campus/institute policy on the use of University property by employees.~~
- (4) A non-affiliated person invited pursuant to this Section .04 is not a guest of the University. Rather, the non-affiliated person is a guest of the student organization or faculty member who is using the University's limited public forum.
- (5) A student organization or faculty member deciding whom to invite or not invite as a participant in free expression activities in a University limited public forum pursuant to this Section .04 does not exercise the governmental authority of the University or the State of Tennessee (i.e., the student organization or faculty member is not acting as a governmental official deciding who is allowed to use a limited public forum). Rather, the student organization or faculty member is the user of the forum, with First Amendment rights to decide whom to include in the free expression activities. An invitation extended to a non-affiliated person by a student organization or faculty member under this Section .04 shall not be construed to imply approval or endorsement by the University of the views expressed by the non-affiliated person.
- (6) The participation of a non-affiliated person in free expression activities under this Section .04 is by invitation initiated by a student organization or faculty member. Nothing in this Chapter shall be construed to grant a non-affiliated person a right to solicit such an invitation from a student organization or faculty member or require a student organization or faculty member to respond to such a solicitation from a non-affiliated person.

**1720-1-12-.05 NON-AFFILIATED PERSONS INVITED TO PARTICIPATE IN FREE EXPRESSION ACTIVITIES IN CONNECTION WITH AN OFFICIAL ACTIVITY OF A UNIVERSITY UNIT.**

- (1) A University unit may invite a non-affiliated person to participate in free expression activities in connection with an official activity of the University unit (e.g., lecture, presentation, debate, panel, symposium) if the head of the University unit determines that the non-affiliated person's participation would advance one or more of the University's principal missions of teaching, research, and service. In making such a determination, the head of the University unit is deciding how to use University property to advance the University's mission. That decision is government speech, which is not subject to the restrictions of the First Amendment's Free Speech Clause.
- (2) The participation of a non-affiliated person in free expression activities under this Section .05 is by invitation initiated by a University unit. Nothing in this Chapter shall be construed to grant non-affiliated persons a right to solicit such an invitation or to require a University unit to respond to such a solicitation from a non-affiliated person.

**1720-1-12-.06 UNIVERSITY STREETS AND SIDEWALKS.**

- (1) Subject to the time, place, and manner restrictions in Section .08, ~~the~~ the following University property may be used by a non-affiliated person for free expression activities:
  - (a) A street owned or controlled by the University;
  - (b) A sidewalk owned or controlled by the University that runs parallel to a street owned or controlled by the University; or
  - (c) A sidewalk owned or controlled by the University that runs parallel to a street owned or controlled by a municipality, county, or the State of Tennessee.
- (2) Section .06(1) does not include: University access or service roads (i.e., roads with the primary function of providing for the movement of vehicular traffic between a street and a University facility or parking lot); parking lots; ramps; alleys; mass transit loading zones or waiting areas; driveways; or any property with the primary function of providing for the movement of pedestrian traffic, such as sidewalks not described in Section .06(1), pedestrian malls, pedestrian bridges, greenways, and trails.
- (3) This Chapter does not apply to streets, sidewalks, or other traditional or designated public forums owned by a municipality, county, or the State of Tennessee, the uses of which are subject to compliance with federal, state, or local law, rule, regulation, or ordinance.
- ~~(4)~~ For purposes of this Section .06, the term "State of Tennessee" does not include the University.
- ~~(4)(5)~~ Each campus shall publish on its website a map that indicates the streets and sidewalks described in Section .06(1).

**1720-1-12-.07 OTHER FREE EXPRESSION ACTIVITIES.**

- (1) Subject to the time, place, and manner restrictions in Section .08, a non-affiliated person may communicate a message on “The Rock” at the University of Tennessee, Knoxville.
- (2) Subject to the time, place, and manner restrictions in Section .08, nothing in this Chapter shall be construed to prohibit a non-affiliated person who is engaged in a use of University property permitted under Chapter 1720-01-2 (Use of University Property) from engaging in free expression activities such as a private conversation, gesturing, operating a vehicle displaying written expression, standing, or wearing expressive clothing, accessories, buttons, or stickers.
- (3) Certain University activities and events are open to attendance by non-affiliated persons at a designated place and time, such as athletic contests, plays, lectures, and concerts. Subject to the time, place, and manner restrictions in Section .08, nothing in this Chapter shall be construed to prohibit a non-affiliated person from attending and participating in such an activity or event and participating in free expression activities germane to the activity or event (e.g., cheering at a football game, singing at a concert).
- (4) The University may designate certain University property as available for lease to non-affiliated persons for free expression activities under standard terms and conditions and subject to the time, place, and manner restrictions in Section .08. In the event of any inconsistency between this Chapter and the terms of a lease agreement between the University and a non-affiliated person, the terms of the lease agreement shall control over this Chapter.

**1720-1-12-.08 TIME, PLACE, AND MANNER RESTRICTIONS.**

- (1) The University will regulate the time, place, and manner of free expression activities in order to prevent substantial disruption of the advancement of the University’s teaching, research, or service missions; prevent substantial disruption of normal or scheduled uses of University property by persons affiliated with the University; facilitate traffic on University property; preserve residential tranquility for students; preserve an atmosphere conducive to learning; preserve University property and prevent the use of University property contrary to law or University policies; and protect the health, safety, and welfare of persons affiliated with the University and/or persons using University property pursuant to Chapter 1720-01-02 (Use of University Property).
- (2) A non-affiliated person using University property shall not:
  - (a) block or substantially impede vehicular, bicycle, pedestrian, or other traffic, as the right of way of streets, sidewalks, and pedestrian malls must be maintained;
  - (b) block or substantially impede entrances or exits to University property;
  - (c) substantially disrupt or interfere with University operations, events, or activities;
  - (d) substantially disrupt or interfere with the ability of a student to sleep or study in a University residence hall between the hours of 10:00 p.m. and 6:00 a.m. during an academic term, or the ability of a student to study in a University library;
  - (e) violate a federal, state, or local law, rule, regulation, or ordinance;

- (f) engage in speech that is obscene; is defamatory; consists of fighting words; communicates an objectively serious expression of intent to commit an act of unlawful violence to a particular individual or group; or is directed to inciting or producing imminent lawless action and is likely to incite or produce such action;
  - (g) engage in camping in violation of Tennessee law, the Equal Access to Public Property Act of 2012, unless the area on which camping occurs has been specifically designated by the University as available for camping;
  - (h) unreasonably threaten the health or safety of another person; or
  - (i) damage or deface University property, including, but not limited to, grass, shrubs, trees, or other landscaping.
- (3) A non-affiliated person permitted to distribute printed materials under this Chapter shall refrain from littering and may be held responsible for costs incurred as a result of littering.
- (4) A non-affiliated person shall not place any signs, banners, posters, or other displays on or against, attach them to, or write on any structure or natural feature of University property, including, but not limited to, doors, buildings, windows, walls, the surface of walkways or roads, fountains, utility poles, lampposts, waste receptacles, trees, street signs, ash urns, benches, bus stops, University-owned signage, emergency phones, railings, newsstands, and utility boxes. Nor may any chalk, paint, or permanent markings be placed on or against, attached to, or written on such structures or natural features. Signs, banners, posters, and other displays causing ground penetration are prohibited. This Section .08(4) shall not apply to “The Rock” at the University of Tennessee, Knoxville.
- (5) A non-affiliated person shall not ~~place-distribute~~ printed materials on motor vehicles parked on University property.

**RULES  
OF  
THE UNIVERSITY OF TENNESSEE**

**CHAPTER 1720-01-12  
USE OF UNIVERSITY PROPERTY BY NON-AFFILIATED PERSONS  
FOR FREE EXPRESSION ACTIVITIES**

**1720-01-12-.01 PURPOSE AND APPLICATION OF THIS CHAPTER.** The purpose of this Chapter is to provide a uniform basis on which the University of Tennessee will regulate the use of University property by non-affiliated persons for free expression activities through reasonable, viewpoint neutral regulations consistent with and in furtherance of the University’s principal missions of teaching, research, and service. To the extent of any conflict between this Chapter and Chapter 1720-01-2 (Use of University Property), Chapter 1720-01-8 (Assembly Policy), or Chapter 1720-01-7 (Solicitation on University Property), this Chapter shall control. This Chapter supersedes and replaces the “Speaker Policy” adopted by the University of Tennessee Board of Trustees on June 19, 1969.

**1720-01-12-.02 DEFINITIONS.**

- (1) The term “academic freedom” means the academic freedom of a University faculty member described in the University of Tennessee Board of Trustees’ Policies Governing Academic Freedom, Responsibility, and Tenure.
- (2) The term “designated public forum” means property that has not traditionally been regarded as open to free expression activities but which has been intentionally opened by the University for the purpose of free expression activities by members of the general public.
- (3) The term “free expression activities” means expression and/or assemblies protected by the First Amendment, including, but not limited to, picketing, distributing printed materials, public speaking, demonstrations, rallies, vigils, parades, and marches.
- (4) The term “limited public forum” means property that has not traditionally been regarded as open to free expression activities but which has been intentionally opened by the University for the purpose of free expression activities by certain groups or opened by the University for the purpose of free expression activities that are dedicated solely to the discussion of certain subjects.
- (5) The term “non-affiliated person” means any person or entity who is not a University unit, student, student organization, employee, or volunteer.
- (6) The term “nonpublic forum” means property that is not a forum for free expression activities.
- (7) The term “printed materials” means publications, handbills, posters, leaflets, petitions, fliers and all other types of written matter.

- (8) The term “public speaking” means:
  - (a) orally and audibly expressing;
  - (b) a message, idea, opinion, concept, principle, or belief;
  - (c) to one or more other persons;
  - (d) in public; and
  - (e) in a manner other than through a private conversation.
- (9) The term “student organization” means a student organization registered with the University in accordance with University rules.
- (10) The term “traditional public forum” means property that by tradition or by government fiat is open to assembly and debate by members of the general public, such as city streets, sidewalks, and parks.
- (11) The term “University” means the campuses, centers, and institutes of the University of Tennessee, and all their constituent parts, and the University of Tennessee system administration.
- (12) The term “University unit” means any academic, administrative, or auxiliary department or division of the University or any other official entity of the University, functioning through University employees acting within the scope of their University employment.
- (13) The term “University property” means all land, grounds, structures, and any other physical property owned, controlled, or operated by the University.

**1720-01-12-.03 GENERAL RULES.**

- (1) The University’s property is dedicated to the advancement of the University’s principal missions of teaching, research, and service. The University’s property is not a traditional public forum or a designated public forum and thus is not open for use by non-affiliated persons, either by tradition or by University policy, for free expression activities, except as otherwise expressly provided in this Chapter. Rather, with the exception of the streets and sidewalks described in Section .06, University property consists of a variety of limited public forums and nonpublic forums.
- (2) Free expression activities permitted by this Chapter are subject to the time, place, and manner restrictions in Section .08.



**1720-01-12-.04 NON-AFFILIATED PERSONS INVITED TO PARTICIPATE IN THE FREE EXPRESSION ACTIVITIES OF STUDENT ORGANIZATIONS AND FACULTY MEMBERS USING LIMITED PUBLIC FORUMS.**

- (1) The University has opened many of its outdoor spaces and indoor facilities as limited public forums for use by students and by faculty members exercising academic freedom. In accordance with well-established First Amendment law, the University does not regulate these free expression activities on the basis of viewpoint.
- (2) A student organization using a University limited public forum may invite a non-affiliated person to participate in the student organization's free expression activities, subject to the terms of the invitation and subject to the time, place, and manner restrictions in Section .08. The student organization that invites the non-affiliated person shall be responsible for ensuring that the non-affiliated person is knowledgeable about the restrictions in this Chapter.
- (3) A faculty member using a University limited public forum in the exercise of his or her academic freedom may invite a non-affiliated person to participate in the faculty member's free expression activities, subject to the terms of the invitation and subject to the time, place, and manner restrictions in Section .08. The faculty member who invites the non-affiliated person shall be responsible for ensuring that the non-affiliated person is knowledgeable about the restrictions in this Chapter.
- (4) A non-affiliated person invited pursuant to this Section .04 is not a guest of the University. Rather, the non-affiliated person is a guest of the student organization or faculty member who is using the University's limited public forum.
- (5) A student organization or faculty member deciding whom to invite or not invite as a participant in free expression activities in a University limited public forum pursuant to this Section .04 does not exercise the governmental authority of the University or the State of Tennessee (i.e., the student organization or faculty member is not acting as a governmental official deciding who is allowed to use a limited public forum). Rather, the student organization or faculty member is the user of the forum, with First Amendment rights to decide whom to include in the free expression activities. An invitation extended to a non-affiliated person by a student organization or faculty member under this Section .04 shall not be construed to imply approval or endorsement by the University of the views expressed by the non-affiliated person.
- (6) The participation of a non-affiliated person in free expression activities under this Section .04 is by invitation initiated by a student organization or faculty member. Nothing in this Chapter shall be construed to grant a non-affiliated person a right to solicit such an invitation from a student organization or faculty member or require a student organization or faculty member to respond to such a solicitation from a non-affiliated person.

**1720-01-12-.05 NON-AFFILIATED PERSONS INVITED TO PARTICIPATE IN FREE EXPRESSION ACTIVITIES IN CONNECTION WITH AN OFFICIAL ACTIVITY OF A UNIVERSITY UNIT.**

- (1) A University unit may invite a non-affiliated person to participate in free expression activities in connection with an official activity of the University unit (e.g., lecture, presentation, debate, panel, symposium) if the head of the University unit determines that the non-affiliated person's participation would advance one or more of the University's principal missions of teaching, research, and service. In making such a determination, the head of the University unit is deciding how to use University property to advance the University's mission. That decision is government speech, which is not subject to the restrictions of the First Amendment's Free Speech Clause.
- (2) The participation of a non-affiliated person in free expression activities under this Section .05 is by invitation initiated by a University unit. Nothing in this Chapter shall be construed to grant non-affiliated persons a right to solicit such an invitation or to require a University unit to respond to such a solicitation from a non-affiliated person.

**1720-01-12-.06 UNIVERSITY STREETS AND SIDEWALKS.**

- (1) Subject to the time, place, and manner restrictions in Section .08, the following University property may be used by a non-affiliated person for free expression activities:
  - (a) A street owned or controlled by the University;
  - (b) A sidewalk owned or controlled by the University that runs parallel to a street owned or controlled by the University; or
  - (c) A sidewalk owned or controlled by the University that runs parallel to a street owned or controlled by a municipality, county, or the State of Tennessee.
- (2) Section .06(1) does not include: University access or service roads (i.e., roads with the primary function of providing for the movement of vehicular traffic between a street and a University facility or parking lot); parking lots; ramps; alleys; mass transit loading zones or waiting areas; driveways; or any property with the primary function of providing for the movement of pedestrian traffic, such as sidewalks not described in Section .06(1), pedestrian malls, pedestrian bridges, greenways, and trails.
- (3) This Chapter does not apply to streets, sidewalks, or other traditional or designated public forums owned by a municipality, county, or the State of Tennessee, the uses of which are subject to compliance with federal, state, or local law, rule, regulation, or ordinance.
- (4) For purposes of this Section .06, the term "State of Tennessee" does not include the University.
- (5) Each campus shall publish on its website a map that indicates the streets and sidewalks described in Section .06(1).

**1720-01-12-.07 OTHER FREE EXPRESSION ACTIVITIES.**

- (1) Subject to the time, place, and manner restrictions in Section .08, a non-affiliated person may communicate a message on “The Rock” at the University of Tennessee, Knoxville.
- (2) Subject to the time, place, and manner restrictions in Section .08, nothing in this Chapter shall be construed to prohibit a non-affiliated person who is engaged in a use of University property permitted under Chapter 1720-01-2 (Use of University Property) from engaging in free expression activities such as a private conversation, gesturing, operating a vehicle displaying written expression, standing, or wearing expressive clothing, accessories, buttons, or stickers.
- (3) Certain University activities and events are open to attendance by non-affiliated persons at a designated place and time, such as athletic contests, plays, lectures, and concerts. Subject to the time, place, and manner restrictions in Section .08, nothing in this Chapter shall be construed to prohibit a non-affiliated person from attending and participating in such an activity or event and participating in free expression activities germane to the activity or event (e.g., cheering at a football game, singing at a concert).
- (4) The University may designate certain University property as available for lease to non-affiliated persons for free expression activities under standard terms and conditions and subject to the time, place, and manner restrictions in Section .08. In the event of any inconsistency between this Chapter and the terms of a lease agreement between the University and a non-affiliated person, the terms of the lease agreement shall control over this Chapter.

**1720-01-12-.08 TIME, PLACE, AND MANNER RESTRICTIONS.**

- (1) The University will regulate the time, place, and manner of free expression activities in order to prevent substantial disruption of the advancement of the University’s teaching, research, or service missions; prevent substantial disruption of normal or scheduled uses of University property by persons affiliated with the University; facilitate traffic on University property; preserve residential tranquility for students; preserve an atmosphere conducive to learning; preserve University property and prevent the use of University property contrary to law or University policies; and protect the health, safety, and welfare of persons affiliated with the University and/or persons using University property pursuant to Chapter 1720-01-02 (Use of University Property).
- (2) A non-affiliated person using University property shall not:
  - (a) block or substantially impede vehicular, bicycle, pedestrian, or other traffic, as the right of way of streets, sidewalks, and pedestrian malls must be maintained;
  - (b) block or substantially impede entrances or exits to University property;
  - (c) substantially disrupt or interfere with University operations, events, or activities;
  - (d) substantially disrupt or interfere with the ability of a student to sleep or study in a University residence hall between the hours of 10:00 p.m. and 6:00 a.m. during an academic term, or the ability of a student to study in a University library;

- (e) violate a federal, state, or local law, rule, regulation, or ordinance;
  - (f) engage in speech that is obscene; is defamatory; consists of fighting words; communicates an objectively serious expression of intent to commit an act of unlawful violence to a particular individual or group; or is directed to inciting or producing imminent lawless action and is likely to incite or produce such action;
  - (g) engage in camping in violation of Tennessee law, the Equal Access to Public Property Act of 2012, unless the area on which camping occurs has been specifically designated by the University as available for camping;
  - (h) unreasonably threaten the health or safety of another person; or
  - (i) damage or deface University property, including, but not limited to, grass, shrubs, trees, or other landscaping.
- (3) A non-affiliated person permitted to distribute printed materials under this Chapter shall refrain from littering and may be held responsible for costs incurred as a result of littering.
- (4) A non-affiliated person shall not place any signs, banners, posters, or other displays on or against, attach them to, or write on any structure or natural feature of University property, including, but not limited to, doors, buildings, windows, walls, the surface of walkways or roads, fountains, utility poles, lampposts, waste receptacles, trees, street signs, ash urns, benches, bus stops, University-owned signage, emergency phones, railings, newsstands, and utility boxes. Nor may any chalk, paint, or permanent markings be placed on or against, attached to, or written on such structures or natural features. Signs, banners, posters, and other displays causing ground penetration are prohibited. This Section .08(4) shall not apply to “The Rock” at the University of Tennessee, Knoxville.
- (5) A non-affiliated person shall not distribute printed materials on motor vehicles parked on University property.



STATE OF TENNESSEE  
**COMPTROLLER OF THE TREASURY**  
DEPARTMENT OF AUDIT  
DIVISION OF STATE AUDIT

PHONE (615) 401-7897  
FAX (615) 532-2765

SUITE 1500 JAMES K. POLK STATE OFFICE BUILDING  
NASHVILLE, TENNESSEE 37243-1402

## **Independent Accountant's Report on Applying Agreed-Upon Procedures**

Dr. Joseph A. DiPietro, President  
University of Tennessee

We have audited the financial statements of the University of Tennessee as of and for the year ended June 30, 2013, and have issued our report thereon dated December 9, 2013. We have also performed the procedures enumerated below, which were agreed to by university management, solely to assist the university in evaluating whether the accompanying statements of revenues and expenses of the University of Tennessee are in compliance with the National Collegiate Athletic Association (NCAA) Bylaw 3.2.4.16 for the year ended June 30, 2013. The university's management is responsible for the statements of revenues and expenses and the statements' compliance with those requirements. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of the management of the university. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

14

### **Agreed-Upon Procedures Related to the Statements of Revenues and Expenses**

Our procedures and findings are as follows:

- Through discussions with university personnel, we identified aspects of the university's internal control unique to the intercollegiate athletics departments at all campuses and tested specific elements of the control environment and accounting system that are unique to intercollegiate athletics and had not been addressed in connection with the audit of the university's financial statements.
- We reviewed the university's procedures for gathering information on the nature and extent of affiliated and outside organizational activity (e.g., booster groups, alumni organizations, independent affiliated foundations) for or on behalf of the university's intercollegiate athletics programs and tested those procedures.
- We obtained the statements of revenues and expenses of the athletic programs at all campuses and related notes for the year ended June 30, 2013, as prepared by management. We recalculated the addition of the amounts on the statements, traced

the amounts on the statements to management's worksheets, and compared the amounts on management's worksheets with the athletic accounts in the university's general ledger. We noted no material differences between the amounts in the athletic accounts in the general ledger and the amounts on the statements.

- We performed analytical procedures comparing the revenues and expenses for the year ended June 30, 2013, with those for the year ended June 30, 2012. The significant variances were adequately explained, and no unusual fluctuations were noted.
- We obtained supporting schedules from university personnel for each operating revenue category amount reported in the statements. We compared the amounts on the statements with the schedules and recalculated the totals on each schedule. We noted no material differences between the amounts on the statements and the amounts on the schedules.
- From the supporting schedules, we selected a sample of operating revenue transactions and examined the supporting documentation. Based on the testwork performed, the revenue transactions were adequately supported and properly classified.
- We reviewed the home football and basketball ticket reports prepared by an independent CPA firm for the Knoxville campus. Related revenues appear properly reported on the Knoxville statement. At Chattanooga and Martin, we compared tickets sold during the period, complimentary tickets provided during the period, and unsold tickets to the related revenue reported in the statements and the related attendance figures. No significant variances were noted.
- We obtained and documented an understanding of the university's methodology for allocating student fees to intercollegiate athletics programs.
- At Chattanooga and Martin, we compared student fees reported in the statement to student enrollment during the period. No material variances were noted. (At Knoxville, the student fees allocated to athletics are based on a discretionary determination by university management.)
- At Chattanooga and Martin, we reviewed all contractual agreements pertaining to revenues derived from guaranteed contests during the period and compared each selection to the general ledger. We noted no differences between amounts derived from the contractual agreements and the amounts in the ledger. (At Knoxville, no away game guarantees were reported.)
- We obtained and reviewed supporting documentation for any contribution of moneys, goods, or services received directly by an intercollegiate athletics program from any affiliated or outside organization, agency, or group of individuals (two or more) that

constituted 10% or more of all contributions received for intercollegiate athletics during the period. There were none in this fiscal year.

- We compared the direct institutional support reported by the university with state appropriations, institutional authorizations, and/or other corroborative supporting documentation. The amounts reported were adequately supported.
- At Knoxville, no indirect facilities and administrative support (categories 8 and 32 on the statement) was reported by the university, as university management determined that most other Southeastern Conference member institutions do not report in this category and they wanted their statement to be comparable. Chattanooga and Martin decided to report in the same manner. The NCAA agreed-upon procedures handbook states that the university should “include [the] value of facilities and services provided by the institution not charged to athletics.” “This support may include an allocation for institutional administrative cost, facilities and maintenance, grounds and field maintenance, security, risk management, utilities, depreciation, and debt service.”
- We tested distributions from the NCAA and athletic conferences. We noted no differences between the amounts reported on the statements and the amounts on the ledger.
- We obtained and inspected agreements related to the university’s participation in revenues from broadcast, television, radio, and internet rights during the period to gain an understanding of the relevant terms and conditions. We also compared the related revenues with the amounts in the general ledger. We noted no differences between the amounts based on the terms of the agreements and the amounts in the ledger.
- We obtained and inspected agreements related to the university’s participation in revenues from royalties, advertisements, and sponsorships during the period to gain an understanding of the relevant terms and conditions. We also compared the related revenues with the amounts in the general ledger. We noted no differences between the amounts based on the terms of the agreements and the amounts in the ledger.
- We inspected sports camp contracts between the university and persons conducting university sports camps or clinics during the period to obtain an understanding of the university’s methodology for recording revenues from sports camps.
- We obtained schedules of camp participants, selected a sample of individual camp participant cash receipts from the schedules, and compared each selection with the amount recorded in the general ledger. We noted no differences between the cash receipts and the amounts recorded in the ledger.
- We obtained and inspected endowment agreements to gain an understanding of the relevant terms and conditions. We compared the classification and use of endowment

and investment income as reported in the statements with the uses of income defined within the related endowment agreement. The classification and use of the income was in accordance with the terms of the related agreement.

- We obtained supporting schedules from university personnel for each operating expense category amount reported in the statements. We compared the amounts on the statements with the schedules and recalculated the totals on each schedule. We noted no material differences between the amounts on the statements and the amounts on the schedules.
- From the supporting schedules, we selected a sample of operating expense transactions and examined the supporting documentation. Based on the testwork performed, the expense transactions were adequately supported and properly classified.
- We selected a sample of students from the listing of institutional aid recipients during the period, obtained the individual student-account detail for each selection, and compared total aid allocated from the related aid award letter to the student's account. We noted no differences between the amounts allocated from the related aid award letters and the amounts in the students' accounts.
- We obtained and inspected home-game settlement reports received by the university during the period and compared the related expenses with the general ledger. No differences were noted between the amounts in the settlement reports and the amounts in the ledger.
- We obtained and inspected contractual agreements pertaining to expenses recorded by the university from guaranteed contests during the period and compared the related expenses with the general ledger. No material differences were noted between the amounts in the contractual agreements and the amounts in the ledger. However, at Knoxville, it was noted that \$1,400,000 of payments to the University of Cincinnati to buy out the contract of the current football coach was reported as guarantees (category 18) when the payments should have been reported as other operating expenses (category 35). The statement was corrected.
- We obtained and inspected a listing of coaches employed by the university during the period. From the listing, we selected a sample of coaches' contracts that included football and men's and women's basketball, and we compared the financial terms and conditions of each contract to the related coaching salaries, benefits, and bonuses reported by the university. We also obtained and inspected the related W-2s and/or 1099s for each selection and compared the amounts with the expenses reported. We noted no differences between the contracts and the amounts reported or between the W-2s and/or 1099s and the amounts reported.
- We selected a sample of support staff/administrative personnel employed by the university during the period. We obtained and inspected the related W-2s and/or



1099s for each selection and compared the amounts with the expenses reported. We noted no differences between the W-2s and/or 1099s and the amounts reported.

- We tested all employees who received severance payments from the university during the period and compared each severance payment with the related termination letter or employment contract. We noted no differences between the severance payments and the termination letters or employment contracts.
- We obtained and documented an understanding of the university's recruiting expense policies. The policies were in agreement with existing institutional- and NCAA-related policies.
- We obtained and documented an understanding of the university's team travel policies. The policies were in agreement with existing institutional- and NCAA-related policies.
- We obtained the schedule of total intercollegiate athletics capitalized assets summarized by type and compared the information with the amounts in the general ledger. There were no significant differences between the amounts on the schedule and the amounts in the general ledger. This schedule, along with a description of the institution's policies and procedures for acquiring, approving, depreciating, and disposing of intercollegiate athletics-related assets, were included in the notes to the statements.
- We obtained repayment schedules for all outstanding intercollegiate athletics debt maintained by the university during the period and recalculated the annual maturities (consisting of principal and interest) provided in the schedules. We also compared the total annual maturities to supporting documentation. The total annual maturities were adequately supported.

We were not engaged to and did not conduct an examination, the objective of which would be the expression of an opinion on the compliance of the accompanying statements of revenues and expenses of the University of Tennessee with the NCAA compliance requirements noted above. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to management.

This report is intended solely for the information and use of the university's management and board of trustees and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a matter of public record.

*Deborah V. Loveless*

Deborah V. Loveless, CPA  
Director  
January 17, 2014

**Board of Trustees Annual Meeting - Report of the Audit Committee (including the 2014 Financial Review of UTC, UTK, and UTM Athletics Departments)**

UNIVERSITY OF TENNESSEE AT KNOXVILLE  
DEPARTMENT OF INTERCOLLEGIATE ATHLETICS  
STATEMENT OF REVENUES AND EXPENSES  
FOR THE YEAR ENDED JUNE 30, 2013

	FOOTBALL	MEN'S BASKETBALL	WOMEN'S BASKETBALL	OTHER SPORTS	NON- PROGRAM SPECIFIC	TOTAL
<b>Revenues</b>						
<b>Operating revenues:</b>						
1 Ticket sales	\$ 27,306,228.83	\$ 4,986,387.92	\$ 2,134,278.26	\$ 354,294.43	\$ 6,859.29	\$ 34,788,048.73
2 Student fees	-	-	-	-	1,000,000.00	1,000,000.00
3 Guarantees	-	-	-	-	-	-
4 Contributions	9,104,989.46	696,597.67	708,232.66	4,299,911.25	9,448,864.45	24,258,595.49
5 Compensation and benefits provided by a third-party	-	-	-	-	-	-
6 Direct state or other government support	-	-	-	-	-	-
7 Direct institutional support	-	-	-	10,559,055.49	875,000.30	11,434,055.79
8 Indirect facilities and administrative support	-	-	-	-	-	-
9 NCAA/conference distributions including all tournament revenues	13,348,266.00	5,063,534.00	-	-	2,580,605.40	20,992,405.40
10 Broadcast television, radio, and internet rights	2,200,000.00	1,750,000.00	1,250,000.00	-	837,501.54	6,037,501.54
11 Program sales, concessions, novelty sales, and parking	3,154,049.97	594,821.35	488,911.73	102,230.81	412,905.41	4,752,919.27
12 Royalties, licensing, advertisements, and sponsorships	-	-	-	-	4,370,771.28	4,370,771.28
13 Sports camp revenues	108,894.61	159,154.15	126,552.29	775,904.34	381,801.66	1,552,307.05
14 Endowment and investment income	8,705.45	4,747.18	77,620.80	269,814.25	1,410,082.11	1,770,969.79
15 Other	285,294.59	54,714.03	42,989.60	223,735.73	15,467.42	622,201.37
<b>16 Subtotal operating revenue</b>	<u>55,516,428.91</u>	<u>13,309,956.30</u>	<u>4,828,585.34</u>	<u>16,584,946.30</u>	<u>21,339,858.86</u>	<u>111,579,775.71</u>
<b>Expenses</b>						
<b>Operating expenses:</b>						
17 Athletics student aid	3,629,889.50	684,430.05	488,381.24	6,184,153.83	1,125,895.79	12,112,750.41
18 Guarantees	2,125,000.00	245,000.00	90,000.00	7,000.00	-	2,467,000.00
19 Coaching salaries, benefits, and bonuses paid by the university and related entities	7,664,192.21	2,105,808.15	1,534,317.59	5,575,058.32	-	16,879,376.27
20 Coaching other compensation and benefits paid by a third-party	-	-	-	-	-	-
21 Support staff/administrative salaries, benefits, and bonuses paid by the university and related entities	1,822,326.02	378,300.98	821,947.74	666,887.18	15,587,777.37	19,277,239.29
22 Support staff/administrative other compensation and benefits paid by a third-party	-	-	-	-	-	-
23 Severance payments	7,969,849.00	-	-	-	-	7,969,849.00
24 Recruiting	1,292,544.16	308,188.84	191,681.83	594,783.30	-	2,387,198.13
25 Team travel	870,649.56	512,534.07	478,456.22	2,480,112.30	393.07	4,342,145.22
26 Equipment, uniforms, and supplies	581,189.77	88,486.71	48,670.62	487,245.19	1,184,811.09	2,390,403.38
27 Game expenses	441,856.79	189,404.21	225,838.52	279,458.21	1,127,568.58	2,264,126.31
28 Fund raising, marketing, and promotion	-	-	-	-	9,100,823.86	9,100,823.86
29 Sports camp expenses	140,260.03	57,421.79	42,025.14	198,975.57	299,384.75	738,067.28
30 Direct facilities, maintenance, and rental	852,199.96	37,928.77	42,765.77	617,355.74	20,291,056.60	21,841,306.84
31 Spirit groups	155,977.78	22,951.80	13,275.56	-	422,547.43	614,752.57
32 Indirect facilities and administrative support	-	-	-	-	-	-
33 Medical expenses and medical insurance	2,683.90	-	-	7,397.50	857,657.23	867,738.63
34 Memberships and dues	2,130.00	-	1,225.00	12,707.48	19,665.14	35,727.62
35 Other operating expenses	2,411,192.38	432,717.59	42,035.90	654,476.49	3,440,260.71	6,980,683.07
<b>36 Total operating expenses</b>	<u>29,961,941.06</u>	<u>5,063,172.96</u>	<u>4,020,621.13</u>	<u>17,765,611.11</u>	<u>53,457,841.62</u>	<u>110,269,187.88</u>
37 Transfers to institution	-	-	-	-	1,285,000.00	1,285,000.00
<b>38 Total expenses</b>	<u>29,961,941.06</u>	<u>5,063,172.96</u>	<u>4,020,621.13</u>	<u>17,765,611.11</u>	<u>54,742,841.62</u>	<u>111,554,187.88</u>
<b>Excess (deficiency) of revenues over (under) expenses and transfers</b>	<u>\$ 25,554,487.85</u>	<u>\$ 8,246,783.34</u>	<u>\$ 807,964.21</u>	<u>\$ (1,180,664.81)</u>	<u>\$ (33,402,982.76)</u>	<u>\$ 25,587.83</u>

The accompanying notes are an integral part of this statement.

**Board of Trustees Annual Meeting - Report of the Audit Committee (including the 2014 Financial Review of UTC, UTK, and UTM Athletics Departments)**

**UNIVERSITY OF TENNESSEE AT CHATTANOOGA  
DEPARTMENT OF INTERCOLLEGIATE ATHLETICS  
STATEMENT OF REVENUES AND EXPENSES  
FOR THE YEAR ENDED JUNE, 30, 2013**

	<b>FOOTBALL</b>	<b>MEN'S BASKETBALL</b>	<b>WOMEN'S BASKETBALL</b>	<b>OTHER SPORTS</b>	<b>NON- PROGRAM SPECIFIC</b>	<b>TOTAL</b>
<b>Revenues</b>						
<b>Operating revenues:</b>						
1 Ticket sales	\$ 343,126.21	\$ 152,801.83	\$ 108,915.15	\$ 65,019.45	\$ -	\$ 669,862.64
2 Student fees	1,160,955.26	500,117.20	368,882.75	1,709,867.94	1,440,756.11	5,180,579.26
3 Guarantees	435,000.00	170,000.00	-	-	-	605,000.00
4 Contributions	82,671.31	70,738.91	95,809.30	131,379.24	788,541.75	1,169,140.51
5 Compensation and benefits provided by a third- party	-	-	-	-	-	-
6 Direct state or other government support	-	-	-	-	-	-
7 Direct institutional support	1,315,546.35	566,712.07	418,002.63	1,937,551.42	1,632,605.06	5,870,417.53
8 Indirect facilities and administrative support	-	-	-	-	-	-
9 NCAA/conference distributions including all tournament revenues	12,500.00	104,467.00	65,073.75	39,240.00	240,620.00	461,900.75
10 Broadcast television, radio, and internet rights	-	-	-	-	-	-
11 Program sales, concessions, novelty sales, and parking	-	18,821.66	4,705.42	-	-	23,527.08
12 Royalties, licensing, advertisements, and sponsorships	-	10,569.66	-	-	430,220.00	440,789.66
13 Sports camp revenues	15,027.92	691.60	41,643.82	365.22	-	57,728.56
14 Endowment and investment income	32,643.88	5,571.00	32,325.00	110,793.00	63,404.04	244,736.92
15 Other	13,188.34	5,206.64	1,458.92	19,279.36	16,445.27	55,578.53
<b>16 Subtotal operating revenue</b>	<u>3,410,659.27</u>	<u>1,605,697.57</u>	<u>1,136,816.74</u>	<u>4,013,495.63</u>	<u>4,612,592.23</u>	<u>14,779,261.44</u>
<b>Expenses</b>						
<b>Operating expenses:</b>						
17 Athletics student aid	1,618,781.22	392,382.37	364,348.02	1,906,977.00	277,581.85	4,560,070.46
18 Guarantees	-	60,524.00	750.00	-	-	61,274.00
19 Coaching salaries, benefits, and bonuses paid by the university and related entities	859,592.50	485,372.73	494,845.65	1,052,925.30	-	2,892,736.18
20 Coaching other compensation and benefits paid by a third-party	-	-	-	-	-	-
21 Support staff/administrative salaries, benefits, and bonuses paid by the university and related entities	86,299.00	71,902.38	-	65,748.27	2,218,634.99	2,442,584.64
22 Support staff/administrative other compensation and benefits paid by a third-party	-	-	-	-	-	-
23 Severance payments	-	145,694.00	-	-	-	145,694.00
24 Recruiting	62,768.38	43,261.05	40,075.10	87,572.68	16,076.00	249,753.21
25 Team travel	142,931.16	128,990.10	99,500.91	438,784.20	-	810,206.37
26 Equipment, uniforms, and supplies	199,270.37	53,789.75	40,096.36	262,804.63	-	555,961.11
27 Game expenses	57,079.64	198,164.27	55,288.33	90,486.08	-	401,018.32
28 Fund raising, marketing, and promotion	30,429.79	1,280.00	624.00	6,365.00	875,627.59	914,326.38
29 Sports camp expenses	4,974.61	1,648.15	10,652.02	434.15	-	17,708.93
30 Direct facilities, maintenance, and rental	230,221.16	382.14	-	19,073.00	116,204.67	365,880.97
31 Spirit groups	-	-	-	-	278,623.81	278,623.81
32 Indirect facilities and administrative support	-	-	-	-	-	-
33 Medical expenses and medical insurance	2,123.50	-	617.50	199.20	183,834.67	186,774.87
34 Memberships and dues	1,250.00	1,825.00	1,645.00	4,816.50	30,016.00	39,552.50
35 Other operating expenses	114,937.94	20,481.63	28,373.85	77,309.62	615,992.65	857,095.69
<b>36 Total operating expenses</b>	<u>3,410,659.27</u>	<u>1,605,697.57</u>	<u>1,136,816.74</u>	<u>4,013,495.63</u>	<u>4,612,592.23</u>	<u>14,779,261.44</u>
37 Transfers to institution	-	-	-	-	-	-
<b>38 Total expenses</b>	<u>3,410,659.27</u>	<u>1,605,697.57</u>	<u>1,136,816.74</u>	<u>4,013,495.63</u>	<u>4,612,592.23</u>	<u>14,779,261.44</u>
<b>Excess (deficiency) of revenues over (under) expenses</b>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

The accompanying notes are an integral part of this statement.

**Board of Trustees Annual Meeting - Report of the Audit Committee (including the 2014 Financial Review of UTC, UTK, and UTM Athletics Departments)**

**UNIVERSITY OF TENNESSEE AT MARTIN  
DEPARTMENT OF INTERCOLLEGIATE ATHLETICS  
STATEMENT OF REVENUES AND EXPENSES  
FOR THE YEAR ENDED JUNE 30, 2013**

	<b>FOOTBALL</b>	<b>MEN'S BASKETBALL</b>	<b>WOMEN'S BASKETBALL</b>	<b>OTHER SPORTS</b>	<b>NON- PROGRAM SPECIFIC</b>	<b>TOTAL</b>
<b>Revenues</b>						
<b>Operating revenues:</b>						
1 Ticket sales	\$ 39,808.00	\$ 16,225.50	\$ 15,700.50	\$ 1,028.00	\$ -	\$ 72,762.00
2 Student fees	-	-	-	-	1,981,413.17	1,981,413.17
3 Guarantees	565,000.00	300,000.00	29,000.00	11,000.00	-	905,000.00
4 Contributions	224,112.82	46,060.73	62,774.48	209,493.39	33,895.79	576,337.21
5 Compensation and benefits provided by a third-party	-	-	-	-	-	-
6 Direct state or other government support	-	-	-	-	-	-
7 Direct institutional support	1,562,717.88	361,127.07	663,630.27	2,280,634.08	-	4,868,109.30
8 Indirect facilities and administrative support	-	-	-	-	-	-
9 NCAA/conference distributions including all tournament revenues	101,051.59	179,694.00	78,491.27	118,064.42	493.00	477,794.28
10 Broadcast television, radio, and internet rights	7,200.00	7,200.00	7,200.00	-	163,186.59	184,786.59
11 Program sales, concessions, novelty sales, and parking	-	-	-	-	21,612.41	21,612.41
12 Royalties, licensing, advertisements, and sponsorships	-	-	-	-	-	-
13 Sports camp revenues	-	-	-	-	-	-
14 Endowment and investment income	-	-	-	-	-	-
15 Other	-	-	-	-	-	-
<b>16 Subtotal operating revenue</b>	<u>2,499,890.29</u>	<u>910,307.30</u>	<u>856,796.52</u>	<u>2,620,219.89</u>	<u>2,200,600.96</u>	<u>9,087,814.96</u>
<b>Expenses</b>						
<b>Operating expenses:</b>						
17 Athletics student aid	1,515,652.15	371,279.97	324,405.25	1,575,119.71	84,044.00	3,870,501.08
18 Guarantees	-	12,475.23	1,084.25	5,979.30	-	19,538.78
19 Coaching salaries, benefits, and bonuses paid by the university and related entities	609,322.67	277,546.06	263,523.51	588,714.38	-	1,739,106.62
20 Coaching other compensation and benefits paid by a third-party	-	-	-	-	-	-
21 Support staff/administrative salaries, benefits, and bonuses paid by the university and related entities	54,889.23	2,378.57	14,551.53	15,211.62	1,295,640.24	1,382,671.19
22 Support staff/administrative other compensation and benefits paid by a third-party	-	-	-	-	-	-
23 Severance payments	-	-	-	-	-	-
24 Recruiting	71,471.00	32,782.05	23,039.19	55,268.94	-	182,561.18
25 Team travel	140,609.22	87,196.89	168,493.33	301,965.94	-	698,265.38
26 Equipment, uniforms, and supplies	119,625.28	41,959.16	6,928.44	94,625.23	-	263,138.11
27 Game expenses	28,654.80	41,195.00	29,865.25	52,450.79	-	152,165.84
28 Fund raising, marketing, and promotion	-	-	-	-	-	-
29 Sports camp expenses	-	-	-	-	-	-
30 Direct facilities, maintenance, and rental	12,439.76	195.14	-	38,183.20	-	50,818.10
31 Spirit groups	611.67	487.94	1,657.21	-	7,503.00	10,259.82
32 Indirect facilities and administrative support	-	-	-	-	-	-
33 Medical expenses and medical insurance	9,041.93	9,904.26	1,354.78	19,005.50	180,458.32	219,764.79
34 Memberships and dues	120.00	480.00	160.00	3,663.00	-	4,423.00
35 Other operating expenses	78,713.23	65,070.83	81,722.12	76,188.40	192,906.49	494,601.07
<b>36 Total operating expenses</b>	<u>2,641,150.94</u>	<u>942,951.10</u>	<u>916,784.86</u>	<u>2,826,376.01</u>	<u>1,760,552.05</u>	<u>9,087,814.96</u>
37 Transfers to institution	-	-	-	-	-	-
<b>38 Total expenses</b>	<u>2,641,150.94</u>	<u>942,951.10</u>	<u>916,784.86</u>	<u>2,826,376.01</u>	<u>1,760,552.05</u>	<u>9,087,814.96</u>
<b>Excess (deficiency) of revenues over (under) expenses</b>	<u>\$ (141,260.65)</u>	<u>\$ (32,643.80)</u>	<u>\$ (59,988.34)</u>	<u>\$ (206,156.12)</u>	<u>\$ 440,048.91</u>	<u>\$ -</u>

The accompanying notes are an integral part of this statement.

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES  
FOR THE YEAR ENDED JUNE 30, 2013**

---

**NOTE 1. CAPITAL ASSETS**

The intercollegiate athletic departments at Knoxville, Chattanooga, and Martin are required to follow all University of Tennessee policies and procedures for acquiring, approving, and disposing of capital assets as set forth by the Board of Trustees. A university purchase order must be issued for purchases of \$5,000 or more. These purchases shall be based upon the principle of competitive bidding. Requisitioning and approving of purchases will begin in the respective athletic department and will then be forwarded to the purchasing department for bidding and the issuance of a purchase order.

Capital assets, which include property, plant, equipment, software, and library holdings, are reported in the statement of net position at historical cost or at fair value at date of donation, less accumulated depreciation/amortization. The costs of normal maintenance and repairs that do not add to the value of the asset or materially extend the assets' useful lives are not capitalized.

A capitalization threshold of \$100,000 is used for buildings, land improvements, and infrastructure. Equipment and software are capitalized when the unit acquisition cost is \$5,000 or greater and the estimated useful life is one year or more. The capitalization threshold for additions and improvements to infrastructure and land improvements is also \$100,000. The capitalization threshold for additions and improvements to buildings is \$100,000 provided that amount exceeds 20% of the book value of the building.

These assets, with the exception of land, are depreciated/amortized using the straight-line method over the estimated useful lives of the assets, which range from 5 to 40 years.

Capital asset activity for the year ended June 30, 2013, was as follows:

**Knoxville**

	<b>Beginning Balance</b>		<b>Additions</b>		<b>Transfers</b>		<b>Reductions</b>		<b>Ending Balance</b>
Land									
Soccer fields – 2121 & 2245 Stephenson Dr.	\$1,000,000.00	\$	-	\$	-	\$	-		\$1,000,000.00
2323 Stephenson Dr. Shields-Watkins Field	305,300.00 75,540.47		-		-		-		305,300.00 75,540.47
Buildings									
Allan Jones Aquatic Center	27,048,884.77		-		-		-		27,048,884.77
East Stadium Hall (Neyland Stadium)	14,284,511.97		-		-		-		14,284,511.97
Goodfriend Tennis Center	2,825,678.61		-		2,927,499.98		-		5,753,178.59

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2013**

Lindsey Nelson Stadium	11,339,107.52	-	-	-	11,339,107.52
Neyland-Thompson Sports Center	23,790,289.02	-	-	-	23,790,289.02
Pratt Basketball Practice Facility	16,291,762.50	-	-	-	16,291,762.50
Regal Soccer Stadium	6,568,185.43	-	-	-	6,568,185.43
Sherrri Lee Softball Stadium	8,575,529.30	-	-	-	8,575,529.30
Skybox Addition (Neyland Stadium)	18,699,826.65	-	-	-	18,699,826.65
South Stadium Hall (Neyland Stadium)	159,725,894.38	-	-	-	159,725,894.38
Stokely Athletics Center	6,405,890.71	-	-	-	6,405,890.71
Student Aquatic Center	3,362,630.90	-	-	-	3,362,630.90
Thompson-Boling Assembly Center and Arena	69,241,140.71	-	258,604.80	-	69,499,745.51
Land Improvements and Infrastructure					
Neyland Stadium Turf Replacement	1,839,060.85	-	-	-	1,839,060.85
Women's Softball/ Soccer Fields	1,681,665.02	-	-	-	1,681,665.02
Intramural Fields Improvement	1,486,438.93	-	-	-	1,486,438.93
RV Parking Lot Improvements	648,950.86	-	-	-	648,950.86
Haslam Field Artificial Turf	502,560.87	-	-	-	502,560.87
LaPorte Stadium	1,455,014.84	2,597.44	119,707.07	-	1,577,319.35
Equipment & Software					
Athletic Dept. Administration	61,619.99	-	-	-	61,619.99
Athletic Department Marketing	23,639.00	-	-	-	23,639.00
Athletic Director	12,538.22	-	-	-	12,538.22
Athletic Plant Maintenance	5,980,409.29	117,000.00	-	-	6,097,409.29
Baseball	66,592.50	-	-	-	66,592.50
Basketball	39,667.50	-	-	-	39,667.50
Concessions	143,782.58	-	-	-	143,782.58
Football	722,435.73	257,658.00	-	(56,364.88)	923,728.85
Golf	295,869.76	-	-	-	295,869.76
Other Television Shows	1,290,645.13	15,495.00	-	-	1,306,140.13
Publicity Director	-	26,396.00	-	-	26,396.00
Swimming	42,019.90	-	-	-	42,019.90
UPSF- Int. Athletics for Women	877,656.44	41,650.00	-	-	919,306.44
Welfare of Athletes	248,584.79	69,450.75	-	-	318,035.54

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2013**

Construction in Progress					
Golf Team Facility	4,135,800.26	-	-	-	4,135,800.26
Lawson Athletic Center Addition	31,732,131.91	7,952,431.46	-	-	39,684,563.37
Neyland Stadium Improvements	505,967.10	208,980.00	-	-	714,947.10
Neyland-Thompson Sports Center Addition	255,096.14	22,080.00	-	-	277,176.14
Tennis Stadium Improvements	2,927,499.98	-	(2,927,499.98)	-	-
Thompson-Boling Arena Improvements	258,604.80	-	(258,604.80)	-	-
Volleyball and Indoor Track Facilities	444,289.20	128,750.75	-	-	573,039.95
Athletic Facilities Site Improvements	119,707.07	-	(119,707.07)	-	-
<b>Total</b>	<b>427,338,421.60</b>	<b>8,842,489.40</b>	<b>-</b>	<b>(56,364.88)</b>	<b>436,124,546.12</b>
Less accumulated depreciation/amortization:					
Buildings					
Allan Jones Aquatic Center	(2,910,642.87)	(676,773.14)	-	-	(3,587,416.01)
East Stadium Hall	(12,948,638.28)	(163,930.81)	-	-	(13,112,569.09)
Goodfriend Tennis Center	(1,166,546.51)	(143,829.47)	-	-	(1,310,375.98)
Lindsey Nelson Stadium	(1,915,647.70)	(286,284.02)	-	-	(2,201,931.72)
Neyland-Thompson Sports Center	(8,495,367.87)	(594,757.22)	-	-	(9,090,125.09)
Pratt Basketball Practice Facility	(1,722,563.65)	(407,294.06)	-	-	(2,129,857.71)
Regal Soccer Stadium	(820,036.89)	(164,204.64)	-	-	(984,241.53)
Sherri Lee Softball Stadium	(661,030.37)	(214,388.23)	-	-	(875,418.60)
Skybox Addition	(4,967,738.59)	(491,895.69)	-	-	(5,459,634.28)
South Stadium Hall	(26,390,448.71)	(3,946,729.74)	-	-	(30,337,178.45)
Stokely Athletics Center	(5,627,765.65)	(39,916.50)	-	-	(5,667,682.15)
Student Aquatic Center	(2,666,240.98)	(38,397.82)	-	-	(2,704,638.80)
Thompson-Boling Assembly Center and Arena	(29,765,182.61)	(1,743,820.07)	-	-	(31,509,002.68)
Land Improvements and Infrastructure					
Neyland Stadium Turf Replacement	(1,570,864.47)	(91,953.04)	-	-	(1,662,817.51)
Women's Softball/Soccer Fields	(1,184,172.44)	(84,083.25)	-	-	(1,268,255.69)
Intramural Fields Improvement	(972,378.81)	(74,321.95)	-	-	(1,046,700.76)
RV Parking Lot Improvements	(164,941.67)	(32,447.54)	-	-	(197,389.21)
Haslam Field Artificial Turf	(125,640.20)	(25,128.04)	-	-	(150,768.24)
LaPorte Stadium	(151,564.04)	(78,865.96)	-	-	(230,430.00)



**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2013**

Equipment & Software Athletic Department					
Administration	(53,757.69)	(4,310.06)	-	-	(58,067.75)
Athletic Department					
Marketing	(23,590.67)	(48.33)	-	-	(23,639.00)
Athletic Director	(261.21)	(626.91)	-	-	(888.12)
Athletic Plant					
Maintenance	(3,276,595.05)	(489,382.00)	-	-	(3,765,977.05)
Baseball	(18,959.68)	(6,659.25)	-	-	(25,618.93)
Basketball	(31,034.38)	(1,296.75)	-	-	(32,331.13)
Concessions	(93,304.72)	(11,648.73)	-	-	(104,953.45)
Football	(475,762.34)	(74,533.68)	-	56,364.88	(493,931.14)
Golf	(91,362.98)	(29,586.97)	-	-	(120,949.95)
Other Television Shows	(631,235.85)	(126,874.41)	-	-	(758,110.26)
Publicity Director	-	(1,473.12)	-	-	(1,473.12)
Swimming	(19,609.30)	(4,201.99)	-	-	(23,811.29)
UPSF – Int. Athletics for					
Women	(558,386.76)	(68,502.52)	-	-	(626,889.28)
Welfare of Athletes	(129,266.69)	(25,883.74)	-	-	(155,150.43)
<b>Total</b>	<b>(109,630,539.63)</b>	<b>(10,144,049.65)</b>	<b>-</b>	<b>56,364.88</b>	<b>(119,718,224.40)</b>
Capital assets, net	\$317,707,881.97	\$ (1,301,560.25)	\$ -	\$ -	\$316,406,321.72

**Chattanooga**

Land					
Scrappy Moore Athletic Field	\$1,001,837.00	\$ -	\$ -	\$ -	\$1,001,837.00
Buildings					
McKenzie Arena (UTC Sports Arena)	16,483,644.49	341,227.10	-	-	16,824,871.59
Lawson Center (Athletic Training Facility)	3,166,877.88	-	-	-	3,166,877.88
Land Improvements and Infrastructure					
Chattanooga Stadium	2,900,000.00	-	-	-	2,900,000.00
Scrappy Moore Field	573,825.44	-	-	-	573,825.44
Equipment & Software					
Men - Football	97,934.00	8,179.62	-	-	106,113.62
Athletics Administration & General	874,522.71	-	-	-	874,522.71
Men - Golf	22,000.00	-	-	-	22,000.00
Women - Softball	5,695.00	-	-	-	5,695.00
Women - Golf	80,000.00	-	-	-	80,000.00
Sports Information	23,547.00	18,913.21	-	-	42,460.21
<b>Total</b>	<b>25,229,883.52</b>	<b>368,319.93</b>	<b>-</b>	<b>-</b>	<b>25,598,203.45</b>

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2013**

Less accumulated depreciation/amortization:						
Buildings						
McKenzie Arena (UTC Sports Arena)	(12,247,928.99)	(415,621.03)	-	-	-	(12,663,550.02)
Lawson Center (Athletic Training Facility)	(244,113.51)	(79,171.95)	-	-	-	(323,285.46)
Land Improvements and Infrastructure						
Chattanooga Stadium	(2,042,083.33)	(145,000.00)	-	-	-	(2,187,083.33)
Scrappy Moore Field	(31,082.21)	(28,691.27)	-	-	-	(59,773.48)
Equipment & Software						
Men – Football Athletics	(77,434.00)	(17,899.59)	-	-	-	(95,333.59)
Administration & General	(275,562.30)	(86,925.00)	-	-	-	(362,487.30)
Men - Golf	(5,500.00)	(4,400.00)	-	-	-	(9,900.00)
Women - Softball	(3,749.21)	(569.50)	-	-	-	(4,318.71)
Women - Golf	(37,333.33)	(16,000.00)	-	-	-	(53,333.33)
Sports Information	(23,547.00)	(3,405.37)	-	-	-	(26,952.37)
<b>Total</b>	<b>(14,988,333.88)</b>	<b>(797,683.71)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(15,786,017.59)</b>
Capital assets, net	\$10,241,549.64	\$(429,363.78)	\$ -	\$ -	-	\$ 9,812,185.86

**Martin**

Land Improvements						
Football Field Improvements	\$ 827,898.72	\$ -	\$ -	\$ -	-	\$ 827,898.72
Buildings						
Fieldhouse	2,554,432.82	-	-	-	-	2,554,432.82
Elam Center	15,524,402.63	-	-	-	-	15,524,402.63
Student Life Center	1,961,162.17	-	-	-	-	1,961,162.17
Stadium	485,076.56	-	-	-	-	485,076.56
Athletic Training Facility	2,031,805.45	-	-	-	-	2,031,805.45
Baseball-Softball Field House	1,701,832.50	-	-	-	-	1,701,832.50
Equipment & Software						
Athletics						
Administration	168,411.46	28,832.38	-	-	-	197,243.84
Sports Programs	216,982.32	-	-	-	-	216,982.32
Construction in Progress						
Elam Center Renovation	308,702.41	355,387.94	-	-	-	664,090.35

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2013**

Football Pressbox Improvements	-	69,751.60	-	-	69,751.60
Golf Team Building	-	18,700.04	-	-	18,700.04
<b>Total</b>	<b>25,780,707.04</b>	<b>472,671.96</b>	<b>-</b>	<b>-</b>	<b>26,253,379.00</b>
Less accumulated depreciation/amortization:					
Land Improvements					
Football Field Improvements					
	(86,239.46)	(82,789.88)	-	-	(169,029.34)
Buildings					
Fieldhouse	(919,221.80)	(46,529.98)	-	-	(965,751.78)
Elam Center	(7,892,042.80)	(375,034.45)	-	-	(8,267,077.25)
Student Life Center	(470,954.77)	(46,935.33)	-	-	(517,890.10)
Stadium	(384,981.17)	(7,990.69)	-	-	(392,971.86)
Athletic Training Facility	(406,361.12)	(50,795.14)	-	-	(457,156.26)
Baseball-Softball Field House	(10,636.46)	(42,545.81)	-	-	(53,182.27)
Equipment & Software					
Athletics Administration	(122,530.48)	(9,145.47)	-	-	(131,675.95)
Sports Programs	(184,900.42)	(6,600.39)	-	-	(191,500.81)
<b>Total</b>	<b>(10,477,868.48)</b>	<b>(668,367.14)</b>	<b>-</b>	<b>-</b>	<b>(11,146,235.62)</b>
<b>Capital assets, net</b>	<b>\$15,302,838.56</b>	<b>\$(195,695.18)</b>	<b>\$</b>	<b>-</b>	<b>\$15,107,143.38</b>

**NOTE 2. LONG-TERM LIABILITIES****Knoxville**

Long-term liability activity for the year ended June 30, 2013, was as follows:

	<u>Beginning Balance</u>	<u>Additions</u>	<u>Reductions</u>	<u>Ending Balance</u>
Long-term liabilities:				
Bonds	\$152,712,236.34	\$21,958,585.83	\$(34,145,156.46)	\$140,525,665.71
Unamortized bond premium	7,377,058.91	-	(409,836.60)	6,967,222.31
Commercial paper	41,150,535.37	10,455,539.43	(43,697.02)	51,562,377.78
<b>Total TSSBA indebtedness</b>	<b>\$201,239,830.62</b>	<b>\$32,414,125.26</b>	<b>\$(34,598,690.08)</b>	<b>\$199,055,265.80</b>

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2013**

---

The debt service requirements to maturity for all bonds payable at June 30, 2013, are as follows:

Year ending June 30	<u>Principal</u>	<u>Interest</u>
2014	\$ 9,558,999.92	\$ 6,151,416.29
2015	9,365,629.77	5,708,876.84
2016	9,699,263.02	5,308,283.81
2017	9,931,405.80	4,950,399.06
2018	10,299,870.30	4,589,425.57
2019-2023	37,153,587.73	17,167,100.14
2024-2028	31,695,085.79	10,280,815.37
2029-2033	12,511,045.78	4,302,243.14
2034-2038	9,281,637.60	1,540,633.88
2039-2040	1,029,140.00	129,480.00
	<u>\$140,525,665.71</u>	<u>\$60,128,674.10</u>

**Chattanooga**

Long-term liability activity for the year ended June 30, 2013, was as follows:

	<u>Beginning Balance</u>	<u>Additions</u>	<u>Reductions</u>	<u>Ending Balance</u>
Long-term liabilities:				
Bonds	\$1,864,893.00	\$1,843,581.00	\$(1,735,968.00)	\$1,972,506.00
Total TSSBA indebtedness	<u>\$1,864,893.00</u>	<u>\$1,843,581.00</u>	<u>\$(1,735,968.00)</u>	<u>\$1,972,506.00</u>

The debt service requirements to maturity for all bonds payable at June 30, 2013, are as follows:

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2013**

Year ending June 30	<u>Principal</u>	<u>Interest</u>
2014	\$111,982.00	\$ 53,204.80
2015	115,978.00	49,352.57
2016	116,615.00	44,918.99
2017	118,397.00	43,382.07
2018	120,111.00	41,584.61
2019-2023	642,864.00	167,944.42
2024-2028	746,559.00	70,356.65
	<u>\$1,972,506.00</u>	<u>\$470,744.11</u>

**Martin**

Long-term liability activity for the year ended June 30, 2013, was as follows:

	<u>Beginning Balance</u>	<u>Additions</u>	<u>Reductions</u>	<u>Ending Balance</u>
Long-term liabilities:				
Bonds	\$292,124.34	\$1,496,547.00	\$(221,173.69)	\$1,567,497.65
Total TSSBA indebtedness	<u>\$292,124.34</u>	<u>\$1,496,547.00</u>	<u>\$(221,173.69)</u>	<u>\$1,567,497.65</u>

The debt service requirements to maturity for all bonds payable at June 30, 2013, are as follows:

Year ending June 30	<u>Principal</u>	<u>Interest</u>
2014	\$ 196,499.45	\$ 77,693.00
2015	58,423.91	72,538.63
2016	53,804.00	63,919.02
2017	56,529.00	61,194.74
2018	59,391.00	58,332.54
2019-2023	340,291.00	248,324.55
2024-2028	425,016.00	163,600.58
2029-2032	377,543.29	48,788.88
	<u>\$1,567,497.65</u>	<u>\$794,391.94</u>

**THE UNIVERSITY OF TENNESSEE  
INTERCOLLEGIATE ATHLETIC DEPARTMENTS  
NOTES TO THE STATEMENTS OF REVENUES AND EXPENSES (CONT.)  
FOR THE YEAR ENDED JUNE 30, 2013**

---

**NOTE 3. CONTRIBUTIONS**

No donors gave more than 10% of the reported contributions at any campus.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

MINUTES OF THE WINTER MEETING  
February 27, 2014  
Martin, Tennessee

The Winter Meeting of The University of Tennessee Board of Trustees was held at 12:45 p.m. CST, on Thursday, February 27, in the University Center Ballroom on the campus of The University of Tennessee at Martin.

**I. CALL TO ORDER AND INVOCATION**

Trustee J. Brian Ferguson, Vice Chair of the Board, called the meeting to order. Reverend Lee Clements, a campus minister and Pastor at Trinity Presbyterian, offered the invocation.

**II. ROLL CALL**

Secretary Catherine S. Mizell called the roll, and the following members were present:

J. Brian Ferguson, Vice Chair  
Charles C. Anderson, Jr.  
George E. Cates  
Joseph A. DiPietro  
Spruell Driver, Jr.  
John N. Foy  
D. Crawford Gallimore  
Vicky B. Gregg  
Monice Moore Hagler  
Julius T. Johnson  
Raja J. Jubran  
Brad A. Lampley  
Bonnie E. Lynch  
James L. Murphy, III  
Sharon Miller Pryse  
Richard G. Rhoda  
Karl A. Schledwitz  
Don C. Stansberry, Jr.  
Victoria S. Steinberg  
Betty Ann Tanner  
Charles E. Wharton  
Tommy G. Whittaker  
Thaddeus A. Wilson

The Secretary announced the presence of a quorum. Governor Haslam, Commissioner Huffman, and Mr. Shah were unable to attend the meeting. Members of the administrative staff and media representatives were present. The meeting was also webcast for the convenience of the University community, the general public, and the media.

### III. INTRODUCTIONS

Vice Chair Ferguson welcomed Emeriti Trustees and thanked them for attending events on the previous day and for their continued support of the University.

### IV. PRESIDENT'S REPORT

The President's Report (Exhibit 1) focused on Strategic Plan performance factors, including Six-Year Freshman Graduation Rate, Freshman Retention Rate, Total Degrees Awarded, Research and Sponsored Projects Expenditures, Research Expenditures, Numbers of Research and Sponsored Program Proposals, Participants in all Outreach and Engagement Activities, Patient Billings or Clients Served, Alumni Who Donate, Fundraising Progress, and Unrestricted E&G Expense per Student and per Degrees Awarded.

The President told the Trustees about UT Knoxville's seventh and most recent Rhodes Scholar, Lindsay Lee. Ms. Lee is a Haslam Scholar with interests in math and Spanish. She plans to study statistics at the University of Oxford and apply that knowledge to public health. Lindsay is president of Knoxville's Campus Disability Advocates and is active in the SGA.

The President highlighted a research initiative in child development at UT Health Science Center. Dr. Anne Zachry, Assistant Professor of Occupational Therapy, advocates the use of old-fashioned toys that allow babies to develop muscles during play. Her interaction with children led her to discover that children who spent more time in car seats, carriers, and bouncers were developmentally behind. Her book, *Retro Baby*, has been published by the American Academy of Pediatrics.

President DiPietro also highlighted the outreach service provided by the National Forensic Academy (NFA) through training law enforcement officers around the country and the world. The Director of the Tennessee Bureau of Investigation sent two investigators to the NFA, saw the benefits, and wanted all investigators to attend. Because training slots are limited at NFA, the Director secured additional funding to send more agents to a special session. Thirty-five TBI agents have completed the training to date.

To demonstrate the significant impact of private donors on the University's educational programs, the President reported that the Honors College at UTC was recently expanded



by a one million dollar commitment from a donor. The fundraising is ongoing and scholarships are being used to recruit students to the program.

The President expressed confidence in the commitment of the Advocacy Council and President's Council to advocate on behalf of the University when called to do so. He reported on another very successful UT Day on the Hill.

President DiPietro recognized UT Martin faculty member Dr. Clinton Smith, Assistant Professor of Special Education. In November, Dr. Smith received the Master Teacher of Honor award from Kappa Delta Pi International Honor Society in Education. Dr. Smith serves on the Tennessee Education Association board of directors and is Secretary of the National Education Association Caucus for Educators of Exceptional Children. He was selected as head track and field coach of Team Tennessee for the Special Olympics USA national games 2014 and has participated in the Over the Edge fundraiser, repelling from a 24-story building to raise money for Special Olympics of Greater Memphis. He also volunteers as a basketball coach for Martin Middle School. Dr. Clinton Smith certainly embodies the University mission to educate, discover, and connect with his community.

The President closed by expressing his satisfaction with the direction in which the University is moving. He is very proud of the team's commitment to advance all components of the system, with a distinct attitude around collaboration and cooperation.

#### **V. INTRODUCTION OF THE NEW ATHLETICS DIRECTOR FOR UT MARTIN**

Chancellor Tom Rakes introduced the new UT Martin Athletics Director Julio Freire. Mr. Freire expressed his excitement about Skyhawk Athletics. He said the four key areas of focus going forward are: (1) athletics will always be aligned with UT Martin's objectives and strategic initiatives, as well as with those of the UT System; (2) programs will be focused on the student-athletes' experience to reach their full potential academically, athletically, and socially; (3) plan for comprehensive excellence in all endeavors; and (4) community engagement is most important in positioning athletes to compete.

#### **VI. CHANCELLOR RAKES' UPDATE ON UT MARTIN GAP ANALYSIS**

Chancellor Rakes reminded the Trustees that the results of a gap analysis by the Huron Group were reported at the 2013 Winter Meeting. He introduced Dr. Robert Nanney, past Faculty Senate President and Professor and Chair in the Department of Communications, to present UT Martin's Strategic Initiative Update (Exhibit 2).

## VII. ACTION ITEM FROM THE HEALTH AFFAIRS COMMITTEE

### A. Designation of UT-Regional One Physicians as a Faculty Practice Plan for the College of Medicine in Memphis and Authorization for Execution of Associated Agreements

Chancellor Steve Schwab introduced this item by reiterating that the clinical enterprise will generate the revenue to fund the Health Science Center's strategic plan. He presented the proposal to develop a faculty practice plan with The MED (Exhibit 3), which was reviewed in detail at the Health Affairs Committee meeting on January 17, 2014 and recommended by the Committee for approval by the Board.

Trustee Anderson moved that the Board of Trustees authorize the administration to execute a Master Affiliation Agreement between the University and The Regional Medical Center (Shelby County Healthcare Corporation) and an Affiliation Agreement between the University and UT Regional One Physicians, under which UT Regional One Physicians will serve as a faculty practice plan for multi-specialty care, such authorization being subject to prior review and approval of the agreements by the Chair of the Health Affairs Committee on the recommendation of the University's President, Chief Financial Officer, and General Counsel and further subject to the prior review and approval of all required or appropriate state government officials. The motion was seconded and carried unanimously.

## VIII. ACTION ITEMS FROM THE FINANCE AND ADMINISTRATION COMMITTEE

Finance and Administration Committee Chair Charles Anderson reported that the Committee had considered two new rules regarding use of University property and unanimously recommended adoption of both rules.

### A. TUAPA Rule on Use of University Property

Trustee Anderson moved that the Board of Trustees adopt the proposed Chapter 1720-1-2 of the Rules of The University of Tennessee, titled "Use of University Property," (Exhibit 4) to supersede the current Chapter 1720-1-2, titled "Access to University Property." The motion was seconded, the Secretary called the roll, and the motion carried unanimously.

### B. TUAPA Rule on Use of University Property by Non-Affiliated Persons for Free Expression Activities

Trustee Anderson moved that the Board of Trustees adopt the proposed Chapter 1720-1-12 of the Rules of The University of Tennessee, titled "Use of University Property by Non-Affiliated Persons for Free Expression Activities," (Exhibit 5) to

Page 4, Fall Meeting  
Board of Trustees  
February 27, 2014

supersede the “Speaker Policy” adopted by the Board of Trustees on June 19, 1969. The motion was seconded, the Secretary called the roll, and the motion carried unanimously.

## **IX. REPORT OF THE AUDIT COMMITTEE**

The Audit Committee held a meeting on December 18, 2013, and Committee Chair Gallimore highlighted the issues addressed at that meeting and ongoing work of the Internal Audit Department:

### **External IT Audit**

Over the last year, the Audit Committee has been involved in an external assessment of the information technology security posture of the University. At the Audit Committee’s December meeting, BerryDunn consultants presented the results of the assessment along with related recommendations to improve the security posture of the University. The Audit Committee will provide oversight and suggested that UT management prioritize the recommendations for implementation. Dr. DiPietro committed to coordinating efforts system-wide by working with the Chancellors, Dr. David Millhorn, and Mr. James Perry to determine next steps. Ms. Sandy Jansen will incorporate follow-up procedures to track implementation and report back to the Audit Committee. The final report was issued in February and management is developing implementation plans.

### **CEO Audits**

In the fall, the internal audit team completed the required audits of the chief executive officers. The auditors found that expenditures were reasonable and appropriate. Trustee Gallimore commended the President for his commitment to setting the tone of good financial stewardship at the top.

### **Institutional Compliance**

Dr. Taylor Eighmy, chair of the UT Knoxville campus compliance committee, gave an update indicating enhanced compliance efforts. Institutional compliance efforts are also underway at the Health Science Center and the Institute of Agriculture. The Institutional Compliance Office is preparing to facilitate the upcoming compliance risk assessment at the Martin campus.

### **Audit and Consulting Services and Institutional Compliance Strategic Plan**

Audit and Consulting Services recently developed a strategic plan to align with UT System’s strategic plan and to better serve the University. The goals include meeting stakeholders’ needs and adding value to the University, fostering the professional development of team members, expanding IT audit coverage, research audit coverage, and the use of data analytics to focus audits on high-risk areas, and ensuring effectiveness and efficiency. The Institutional Compliance goals are to

Page 5, Fall Meeting  
Board of Trustees  
February 27, 2014

enhance campus compliance committee efficiency and effectiveness and to promote an ethical culture.

## X. CONSENT AGENDA

The Vice Chair directed the Board's attention to the Consent Agenda and called for any requests to remove items. Hearing none, the Vice Chair called for a motion to approve the Consent Agenda, consisting of the following items:

- A. Minutes of the Last Meeting
- B. UT Knoxville Gas Line Easement for Steam Plant (Exhibit 6)
- C. UTHSC Acquisition of 885 Madison Avenue (Exhibit 7)
- D. UTHSC Acquisition of 239 Dr. M.L. King Avenue (Exhibit 8)
- E. UTHSC Acquisition of 964 Union Avenue (Exhibit 9)
- F. FY 2014 Revised Operating Budget (Exhibit 10)
- G. Repurposing of UT Martin Student Publication Fee (Exhibit 11)
- H. Honorary Doctorate of Letters and Science, UT Knoxville (Exhibit 12)
- I. Revisions to the UT Knoxville Faculty Handbook and manual for Faculty Evaluation (Exhibit 13)
- J. Renaming the UTHSC College of Allied Health as College of Health Professions (Exhibit 14)
- K. UT Health Science Center Strategic Plan, 2014-2018 (Exhibit 15)
- L. Revised Compensation for the Executive Vice President/Vice President for Research and Economic Development (Exhibit 16)
- M. Revisions to the Policy on Comprehensive Presidential Performance Reviews (Exhibit 17)
- N. Naming of the Corporate Governance Center, College of Business Administration, UT Knoxville (Exhibit 18)

A motion to approve the Consent Agenda was duly made and seconded, and the motion carried unanimously.

**XI. ANNOUNCEMENTS**

The Vice Chair noted upcoming meetings of the Board and various committees. He asked Dr. DiPietro to tell the Trustees about this year's *Tennessee Blue Book*. The President explained that the cover of the book is orange in honor of Head Coach Emeritus Pat Summitt.

Vice Chair Ferguson thanked Chancellor Rakes and his team for hosting the meeting; Robert and Jenny Kirkland for hosting the reception at Discovery Park of America; Betty Ann and John Tanner and Crawford and Nancy Gallimore for hosting dinner; and the Board and campus staff for coordinating logistics.

**XII. ADJOURNMENT**

With no further business to come before the Board, the meeting was adjourned.

Respectfully Submitted,

---

Catherine S. Mizell, Secretary

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Executive and Compensation

CAMPUS/INSTITUTE: The University of Tennessee

ITEM: **Resolution Appointing a Managerial Group for U.S. Government Contracts**

RECOMMENDATION: Approval

PRESENTED BY: Catherine S. Mizell, General Counsel and Secretary

The Department of Energy, Department of Defense, and other federal agencies with which the University has contracts impacting national security require the Board to appoint a Managerial Group and delegate to that group responsibility for negotiation, execution, and administration of U.S. Government contracts. Only members of the Managerial Group will receive security clearance to access to classified information related to these contracts.

The Board initially appointed a Managerial Group by resolution adopted on December 11, 2001. A new resolution is required annually to reflect changes in personnel. The resolution for 2014 follows.

**MOTION:**

**That the resolution appointing a managerial group for U.S. Government contracts be approved.**

RESOLUTION OF THE BOARD OF TRUSTEES  
APPOINTING THE MANAGERIAL GROUP FOR CONTRACTS BETWEEN  
THE UNIVERSITY OF TENNESSEE AND THE  
UNITED STATES GOVERNMENT  
PURSUANT TO  
THE NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF  
TENNESSEE THAT:

1. Those persons occupying the following positions at The University of Tennessee shall be known as the Managerial Group, having the authority and responsibility for the negotiation, execution, and administration of U.S. Government contracts as described in the National Industrial Security Program Operating Manual:

President

Treasurer and Chief Financial Officer

Chancellor, The University of Tennessee, Knoxville

Vice Chancellor for Research and Engagement, The University of Tennessee,  
Knoxville

Facility Security Officer

General Counsel and Secretary

Executive Director, The University of Tennessee Space Institute

2. The Chief Executive and the members of the Managerial Group are cleared, or will be processed for clearance, to the level of The University of Tennessee's facility clearance. If uncleared, pending issuance of the requested access authorization, such individual shall be excluded from all access and shall not participate in any decision or other matter pertaining to the protection of classified information and/or special nuclear material.
3. The above-named Managerial Group is hereby delegated all of the Board's duties and responsibilities pertaining to the protection of classified information and/or special nuclear material released to The University of Tennessee.
4. In the future, when any individual is appointed to the Managerial Group as an additional member or replacement member, such individual shall immediately be processed for an access authorization at the same level as The University of Tennessee's facility clearance. Pending issuance of this requested access authorization, such individual shall be excluded from all access and shall not participate in any decision or other matter pertaining to the protection of classified information and/or special nuclear material.

5. The following named officers and members of the Board of Trustees of The University of Tennessee shall not require, shall not have, and can be effectively excluded from access to all classified information and/or special nuclear material released to The University of Tennessee and do not occupy positions that would enable them to affect adversely the policies or practices of The University of Tennessee’s performance of classified contracts for the U.S. Government:

Officers

<u>Name</u>	<u>Title</u>
Steve R. Angle	Chancellor, The University of Tennessee at Chattanooga
Larry R. Arrington	Chancellor, The University of Tennessee Institute of Agriculture
David E. Millhorn	Executive Vice President/Vice President for Research and Economic Development
Lofton K. Stuart	Interim Vice President for Development and Alumni Affairs
Thomas A. Rakes	Chancellor, The University of Tennessee at Martin
Steve J. Schwab	Chancellor, The University of Tennessee Health Science Center

Members of the Board of Trustees

<u>Name</u>	<u>Title</u>
William E. Haslam	Trustee/Governor
Kevin S. Huffman	Trustee/Commissioner of Education
Julius Johnson	Trustee/Commissioner of Agriculture
Richard G. Rhoda	Trustee/Executive Director, Tennessee Higher Education Commission
Charles C. Anderson, Jr.	Trustee
George E. Cates	Trustee
Spruell Driver, Jr.	Trustee
R.J. Duncan	Trustee
J. Brian Ferguson	Trustee
John N. Foy	Trustee
D. Crawford Gallimore	Trustee
David A. Golden	Trustee
Vicky B. Gregg	Trustee
Monice Moore Hagler	Trustee
Raja J. Jubran	Trustee
Brad A. Lampley	Trustee
Bonnie E. Lynch	Trustee



James L. Murphy III	Trustee
Sharon J. Miller Pryse	Trustee
Karl A. Schledwitz	Trustee
Don C. Stansberry, Jr.	Trustee
Betty Ann Tanner	Trustee
Charles E. Wharton	Trustee
Tommy G. Whittaker	Trustee
Thaddeus A. Wilson	Trustee

CERTIFICATION

The above Resolution of the Board of Trustees Appointing the Managerial Group for Contracts between The University of Tennessee and the United States Government Pursuant to the National Industrial Security Program Operating Manual was duly adopted by the Board of Trustees on the 19th day of June, 2014.

\_\_\_\_\_  
Catherine S. Mizell, Secretary

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

ITEM: **Ratification of 2013-14 Quasi-Endowments**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

A Board of Trustees Resolution dated September 22, 1995 authorizes the University, with approval by the President and Treasurer, to establish quasi-endowments without waiting for official governing board action, provided the Board of Trustees receives an annual report on the names and amounts of such quasi-endowments established during the previous fiscal year.

A schedule of the quasi-endowments established from July 2013 to June 2014 totaling \$1,604,536.47 is attached for review and ratification.

**MOTION:**

**That quasi-endowments established during FY 2014 be ratified.**



THE UNIVERSITY of TENNESSEE

KNOXVILLE, CHATTANOOGA, MARTIN, TULLAHOMA, MEMPHIS

OFFICE OF THE TREASURER AND CHIEF FINANCIAL OFFICER

MEMORANDUM

**TO:** Members of the Board of Trustees

**FROM:** Joseph A. DiPietro  
Charles M. Peccolo

**DATE:** May 30, 2014

**SUBJECT:** Quasi-Endowment Funds

A resolution approved on September 22, 1995, authorized the University President and Treasurer to approve the establishment of quasi-endowment funds and to report to the Board during its June meeting the names and amounts of such funds approved. The following quasi-endowment funds have been established from July 2013 to June 2014 in accordance with this resolution:

	ENDOWMENT AMOUNT	PROGRAM SUPPORTED
<b>The University of Tennessee, Knoxville</b>		
Army ROTC General Scholarship Award Quasi- Endowment	\$15,000.00	Scholarships in Military Science and Leadership
Jack Ross Shelton Library Quasi-Endowment	48,999.00	Book Acquisitions for John C. Hodges Library
Professor Curtis Shelton Student Enrichment Quasi-Endowment	48,999.00	Department Purposes for Agricultural and Biological Engineering
Elaine A. Evans Middle East Studies Scholarship Quasi-Endowment	50,000.00	Scholarships in College of Arts and Sciences
Betty Patton Barbour Lady Vol Scholarship Quasi-Endowment	25,000.00	To Provide Scholarships for Women Athletes at UT Knoxville
<b>The University of Tennessee Health Science Center, Memphis</b>		
Julian C. Fleming M.D. Scholarship Quasi-Endowment	822,897.94	Need-based Scholarships in College of Medicine
<b>The University of Tennessee, Graduate School of Medicine</b>		
Kelly L. Krahwinkel Chair in Oral and Maxillofacial Surgery Quasi-Endowment	560,613.67	Chair and/or Related Expenses in Department of Oral and Maxillofacial Surgery
<b>The University of Tennessee, Agriculture Experiment Station</b>		
Anita Graham Memorial Quasi-Endowment for Feline Health	33,026.86	Support Studies on Feline Issues in the College of Veterinary Medicine
<b>Total Quasi-Endowment Funds Established</b>	<b>\$1,604,536.47</b>	

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTC

ITEM: **FY 2015 Distribution of UC Foundation Unrestricted Endowment Funds**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The proposed distribution of funds from the University of Chattanooga Foundation (UCF) unrestricted endowment in support of programs at The University of Tennessee at Chattanooga for FY 2015 is reflected on the following schedule. Final approval by the UCF Board of Trustees is pending, and any changes will be presented to this Board, or the Executive and Compensation Committee, for approval after final adoption of the planned distribution. Total UCF support of The University of Tennessee at Chattanooga for FY 2015 is projected to be \$1,559,000.

**MOTION:**

**That the proposed FY 2015 Distribution of UC Foundation Unrestricted Endowment Funds be approved as presented in the meeting materials with the understanding that any subsequent changes to the proposed distribution will be presented to the Board of Trustees or the Executive and Compensation Committee for approval.**

## FY15 Proposed UC Foundation Grants

Category	2015	
	Recurring	NonRecurring
<b>Strategic Initiatives</b>		
Honors College		\$ 50,000
CCTA Implementation		\$ 125,000
Cirriculum Redesign		\$ 60,000
Start up for Centers & Institutes		\$ 125,000
New Faculty Start-up		\$ 100,000
Visiting Scholar (English)		\$ 35,000
<b>Faculty Development</b>		
Faculty Development + Teaching Innovation	\$ 30,000	
Faculty Appreciation Day	\$ 20,000	
<b>Executive Partnerships</b>		
Chancellor's Fund	\$ 10,000	
Provost's Fund	\$ 10,000	
<b>Student Scholarships</b>		
Leadership Awards/CCTA Safety	\$ 50,000	
Chancellor/Provost Scholarships	\$ 425,000	
Music Performance Awards	\$ 100,000	
Athletic Scholarships	\$ 50,000	
Enhancing Graduate Assistantships	\$ 200,000	
International Studies Scholarships (match)	\$ 20,000	
Brock Scholars Grants	\$ 40,000	
<b>Restricted (Quasi Endowment)</b>		
UC Foundation Professorships	\$ 46,500	
John Stagmaier Professorship	\$ 17,500	
Dr. Leroy Martin Distinguished Prof.	\$ 20,000	
Dorothy Patten Fine Arts Series	\$ 25,000	
	<u>\$ 1,064,000</u>	<u>\$ 495,000</u>
<b>GRAND TOTALS</b>		<b>\$1,559,000</b>

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTHSC

ITEM: **UTHSC Regional Tuition Rate Program for the College of Pharmacy in Memphis**

RECOMMENDATION: Approval

PRESENTED BY: Anthony A. Ferrara, Vice Chancellor for Finance and Operations

The following proposal requests a discounted out-of-state tuition rate for students enrolled in the College of Pharmacy in Memphis who reside in Mississippi or Arkansas within a 50-mile radius of Memphis. Eligible students would receive a 75% discount on out-of-state tuition, effective Fall 2014 (FY 2015). The proposal is for a three-year trial period to review the impact on enrollment.

The discounted out-of-state tuition is proposed to address increased competition for the best students resulting from the opening of five new Pharmacy schools in Tennessee since 2006—Union University, Lipscomb University, Belmont University, South College, and East Tennessee State University (four private and one public). The tuition at Union, Lipscomb, and Belmont is lower than out-of-state tuition at UT. Current enrollment trends place the average incoming class at the College of Pharmacy in Memphis at approximately 160 students, short of its goal of 175. The discounted out-of-state tuition is expected to increase both applications and enrollment of these regional students, with break-even or positive revenue implications.

**MOTION:**

**That the Health Science Center be authorized to implement a three-year program for the College of Pharmacy in Memphis to provide a 75% discount of the out-of-state tuition charge for students residing in Mississippi or Arkansas within a 50-mile radius of Memphis, effective Fall 2014.**



# Regional Tuition Proposal for the College of Pharmacy

Presented to the  
Finance & Administration Committee  
June 18, 2014

# Background: Enrollment Challenges

- Since 2006, several new schools of pharmacy were created in Tennessee:
  - Union University- Jackson
  - Lipscomb University - Nashville
  - Belmont - Nashville
  - East Tennessee State University - Johnson City
  - South College - Knoxville
- As these new schools ramped up, competition for the best students has become more difficult.
  - Current enrollment trends place the average incoming class at approximately 160 students, short of their revised goal of 175.



# Enrollment Trends

3

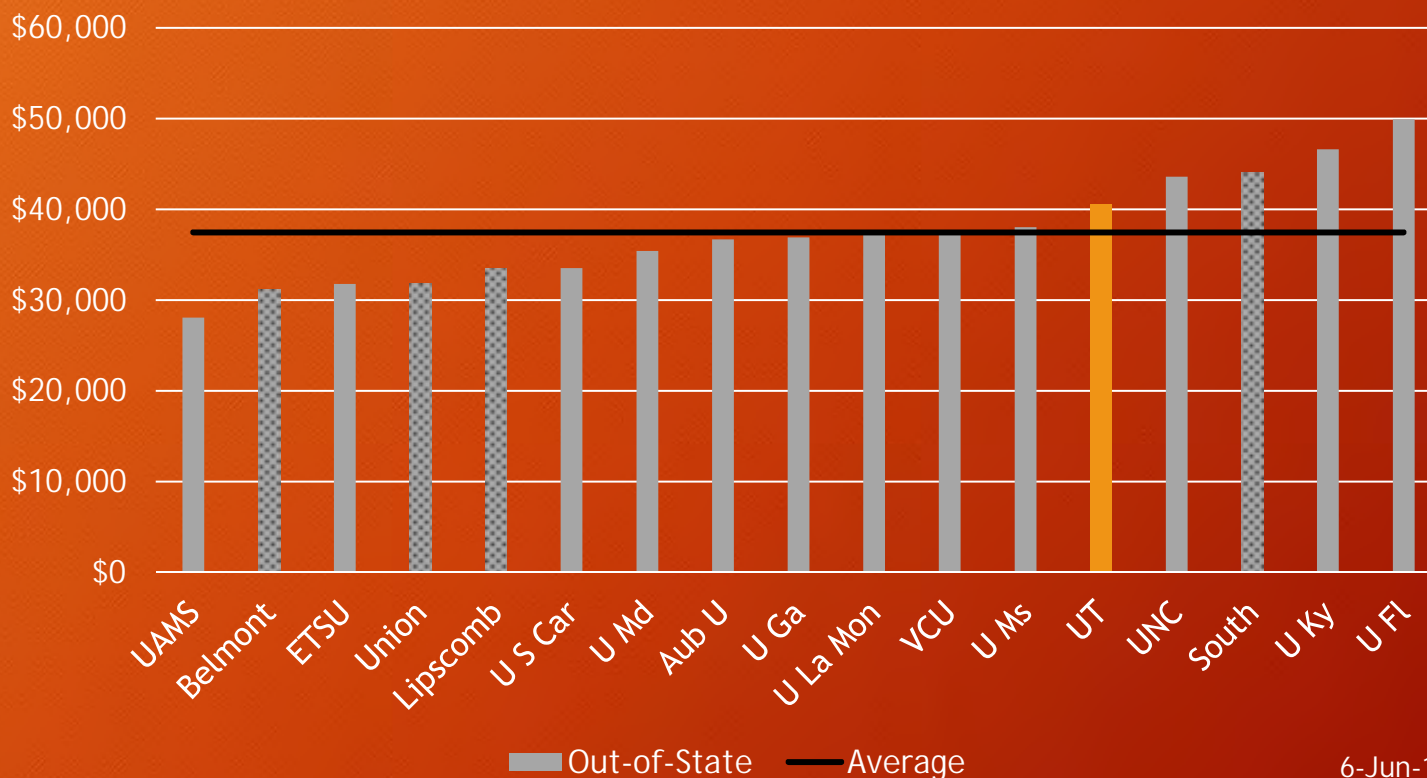


6-Jun-14

# Background: Student Costs

4

- For out-of-state students, UT tuition is at or above the new private schools.



6-Jun-14

# Proposal

5

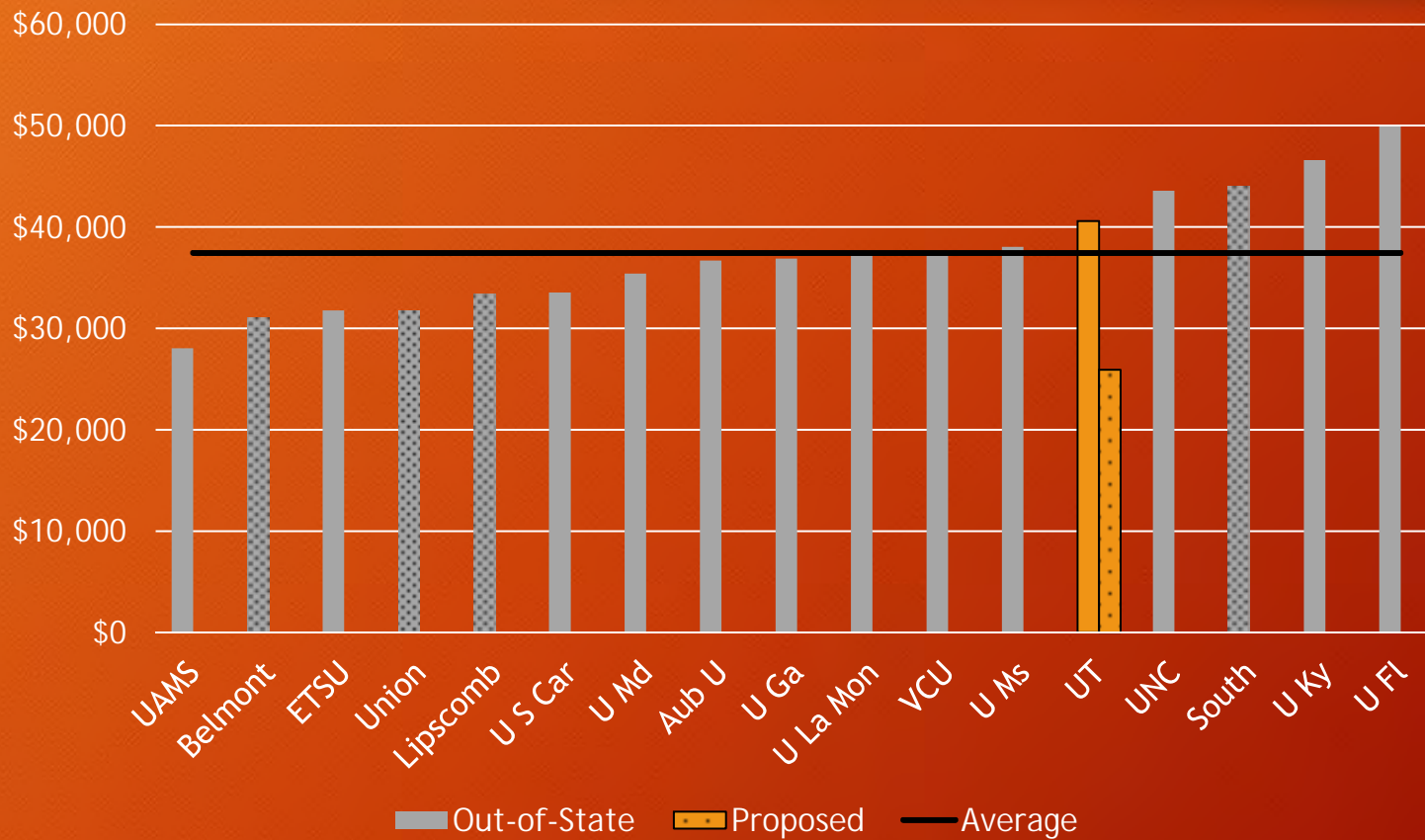
- The HSC proposes to create a Regional Tuition program for College of Pharmacy students residing within a 50 mile radius of Memphis.
  - The program would provide a 75% discount on the Out-of-state tuition charge.

	Proposed 2015 Rates	Regional Tuition Program
In-State Fee	\$21,020	\$21,020
Out-of-State Tuition	19,580	4,895
TOTAL for OOS students	\$40,600	\$25,915

6-Jun-14

6

# Out-of-State Tuition Rates



6-Jun-14

# Enrollment Trends for Regional Students

7

Academic Year	Applied	Accepted	Enrolled
2013	14	4	3
2014	9	5	2
2015	7	3	--
2016	13	--	--

- We believe this program will increase both applications AND enrollment.
- With an increase of 2 students per class under this Regional Tuition program, net tuition revenue will be greater than with current enrollment trends.

6-Jun-14

# Request

8

- The Health Science Center requests approval to create a Regional Tuition Program for the College of Pharmacy providing a 75% discount on the Out-of-State tuition for students residing within 50 miles of Memphis.
- The Program would be effective for the Fall 2014 (FY 2015) academic year.
- The program would run for three years with an evaluation of results for the FY 2019 budget.

6-Jun-14

9

Questions ? ?

6-Jun-14

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTC

ITEM: **Extension of the UTC Regional Tuition Rate Program for Undergraduates, FY 2014-15**

RECOMMENDATION: Approval

PRESENTED BY: Steve R. Angle, Chancellor, UTC

At the June 2007 meeting, the Board of Trustees authorized UT Chattanooga to establish a one-year pilot program to evaluate a differential tuition rate for undergraduate students of junior and senior standing who meet current admissions standards and reside in seven counties contiguous to Tennessee (six Georgia and one Alabama). Participants receive a 75 percent reduction in out-of-state tuition. The pilot program was implemented beginning Fall 2007. Extension of the pilot program was conditioned on further consideration by the Board. Each year since 2007, the Board of Trustees has approved a one-year extension of this program.

Analysis of enrollment and revenue for the 2013-2014 year of the program is presented on the following pages. The administration requests authorization to extend the program for 2014-15.

**MOTION:**

**That the UTC regional tuition rate program for undergraduate students residing in seven contiguous counties in Georgia and Alabama be extended for FY 2014-15.**





# **The University of Tennessee at Chattanooga Regional Tuition Rate Program for Undergraduates**

**A Presentation to the Board of Trustees of  
The University of Tennessee**

**June 18, 2014**



# Program Overview

- Approved by Board of Trustees in June 2007; extended annually
- Eligibility based on completion of 60 hours
- Based on existing capacity in upper-level undergraduate courses; no new resources necessary
- Eligibility limited to seven counties in north Georgia and Alabama
- Participants pay in-state tuition plus 25% of out-of-state tuition

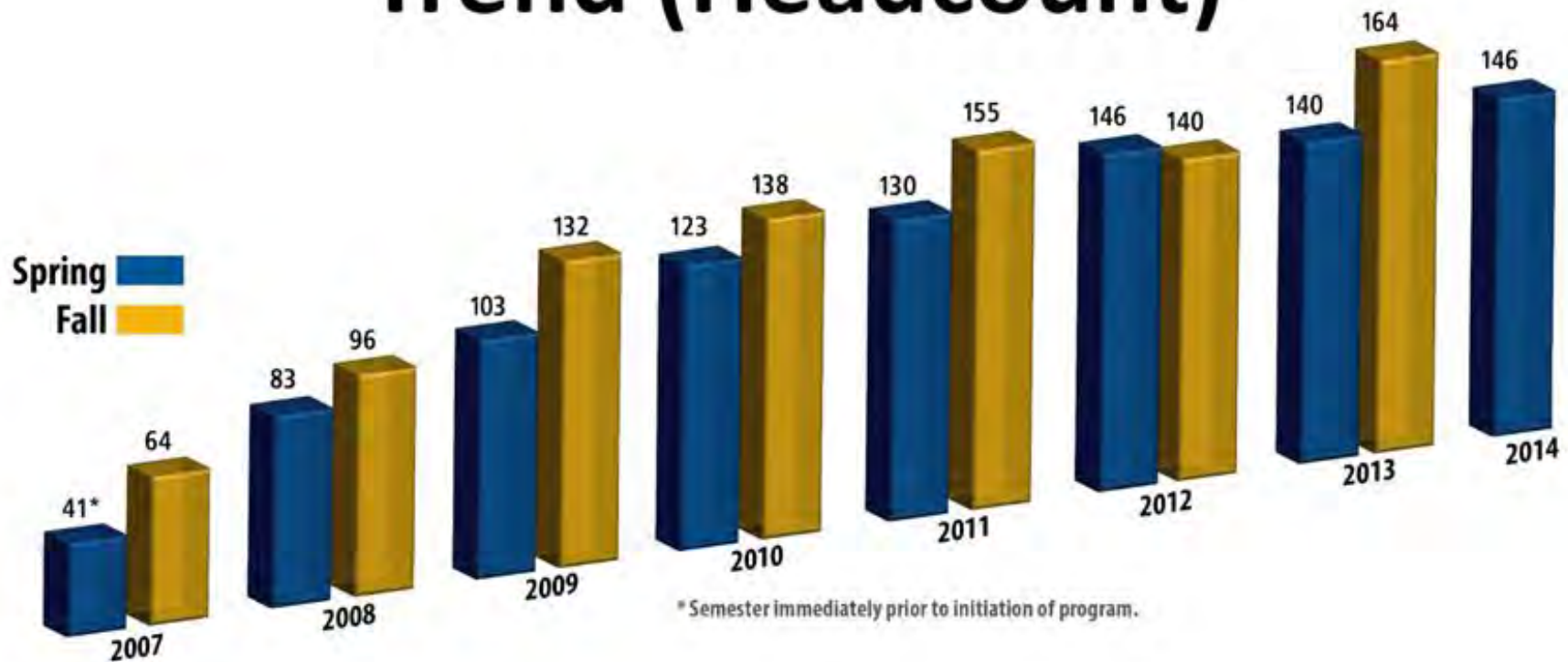


# Regional Tuition Demographic Area





# Undergraduate Enrollment Trend (Headcount)



- Consistently earning revenue since fall 2008.
- Since inception, undergraduate program has earned \$1.8 million.



# Undergraduate Enrollment and Revenue Analysis 2013-14

FTE Student Enrollment	Actual Revenue	Target Revenue* (\$10,635 x 50 FTE)	Gain (Loss)
146.17 (F13)	\$721,020	\$531,750	\$189,270
122.83 (S14)	\$605,890	\$531,750	\$74,140
		<b>Total</b>	<b>\$263,410</b>

*\*Based on breakeven threshold from Spring 2007 at 50 FTE students.*

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTC

ITEM: Extension of UTC Regional Tuition Rate Program for Graduate Students, FY 2014-15

RECOMMENDATION: Approval

PRESENTED BY: Steve R. Angle, Chancellor, UTC

At the October 2009 meeting, the Board of Trustees authorized UT Chattanooga to establish a one-year pilot program to evaluate a differential tuition rate for graduate students who meet current admissions standards and reside in seven counties contiguous to Tennessee (six Georgia and one Alabama). Participants receive a 75 percent reduction in out-of-state tuition. Extension of the pilot program was conditioned on further consideration by the Board. Each year since 2010, the Board of Trustees has approved a one-year extension of this program.

Analysis of enrollment and revenue for the 2013-14 year of the program is presented on the following pages. The administration requests authorization to extend the program for FY 2014-15.

**MOTION:**

**That the UTC regional tuition rate program for graduate students residing in seven contiguous counties in Georgia and Alabama be extended for FY 2014-2015.**



# **The University of Tennessee at Chattanooga Regional Tuition Rate Program for Graduate Students**

**A presentation to the Board of Trustees of  
The University of Tennessee**

**June 18, 2014**



# Program Overview

- Approved in October 2009; extended annually
- Based on existing capacity; no new resources necessary
- Eligibility limited to seven counties in north Georgia and Alabama
- Participants pay in-state tuition plus 25% of out-of-state tuition



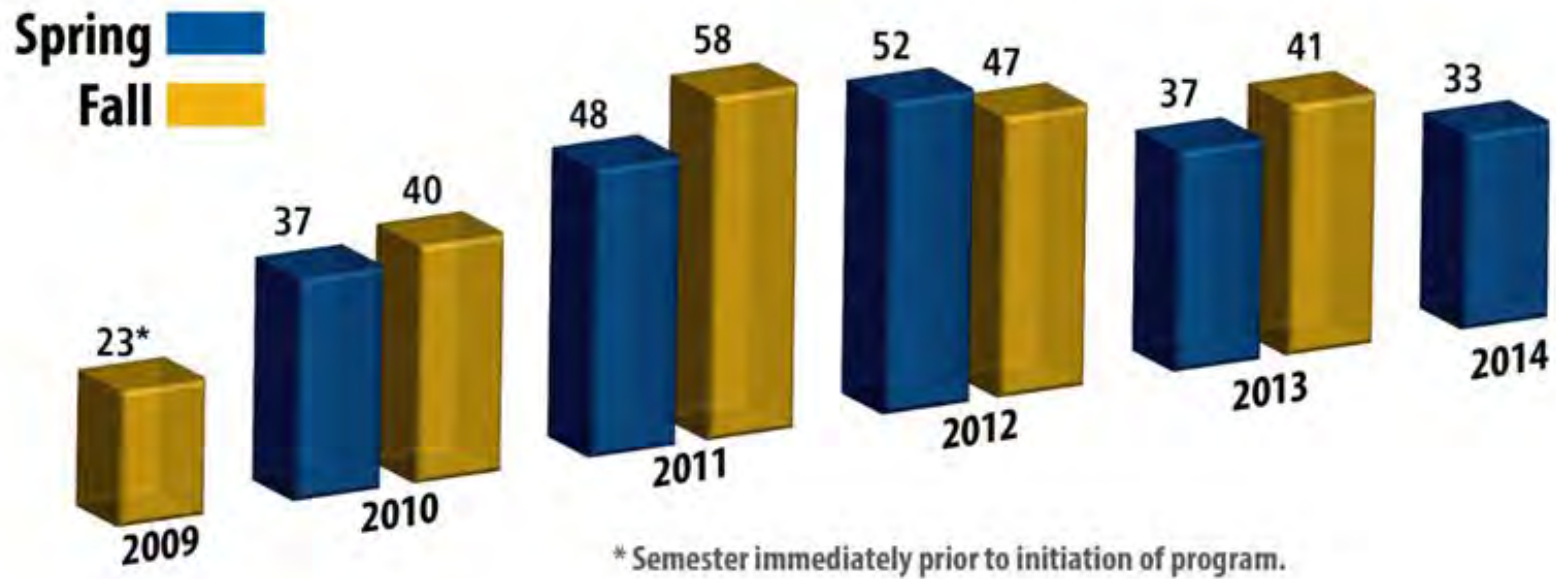


# Regional Tuition Demographic Area





# Graduate Enrollment Trend (Headcount)





# Graduate Enrollment and Revenue Analysis 2013-14

FTE Student Enrollment	Actual Revenue	Target Revenue* (\$11,239 x 22.8 FTE)	Gain (Loss)
36.7 (F13)	\$203,199	\$256,249	(\$53,050)
28.33 (S14)	\$156,856	\$256,249	(\$99,393)
		<b>Total</b>	<b>(\$152,444)</b>

*\*Based on breakeven threshold from Fall 2009 of 22.8 FTE students.*

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UTHSC, UTK, and UTSA

ITEM: **Disclosure of Additional Revenue/Institutionally Funded Capital Projects for FY 2014-15**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The University administration has expanded the scope of two previously approved projects as well as identified additional revenue/institutionally funded projects for FY 2014-15. These projects, totaling \$373,460,000 are as follows:

1. UTK - Boathouse Improvements - \$3,000,000
2. UTK - Hodges Library Improvements - \$1,600,000
3. UTK - Building Access Improvements - \$2,300,000
4. UTK - New Student Housing - \$91,000,000 (approved by the Board in October 2013 at \$65,400,000)
5. UTK - West Campus Redevelopment - \$234,200,000 (approved by the Board in October 2013 at \$127,000,000)
6. UTHSC - Demolition of Madison Avenue Buildings - \$4,360,000
7. UT System - Administration Building - \$23,000,000
8. UT System - Parking Garage - \$14,000,000

UT Knoxville has developed a comprehensive housing master plan with planned implementation over the next decade in multiple phases. The two housing projects listed above (New Student Housing and West Campus Redevelopment) are in that housing master plan. Due to design and location efficiencies, it is in the University's best interest to re-scope these housing projects at this time, resulting in the increased project costs reflected in the above list.

The other projects listed above are in addition to the list of FY 2014-15 revenue/institutionally funded projects approved by the Board in October 2013 (see the

following schedule). The Board's action in October included authorization, with the President's approval, to enter into design and construction contracts for additional revenue/institutionally funded projects identified during the fiscal year, within available funds. Accordingly, with the President's approval, the University sought approval by the Tennessee Higher Education Commission, the State Department for Finance and Administration and the General Assembly for these additional projects. These projects were contained in the amended FY 2014-15 appropriations bill passed by the General Assembly.

**MOTION:**

**That the Board of Trustees ratify the expanded list of Revenue/Institutionally Funded Capital Projects for FY 2014-15 with authorization to enter into contracts for design and construction of these projects, subject to future Board review and approval of the UT System Administration Building and Parking Garage projects if and when construction of those projects is determined to be feasible.**

**THE UNIVERSITY OF TENNESSEE**  
**PROPOSED REVENUE/INSTITUTIONALLY FUNDED PROJECTS**  
**FY 2014-2015**

	Projects	FY 14-15
	<b>UTC</b>	
1	Lighting Upgrades	\$ 2,700,000
2	Disability Resource Center	800,000
3	Football Training Facility - Phase I (Planning)	1,000,000
4	Retrofit State Buildings	4,000,000
5	Academic Classroom Bldg. Renov. (Lupton/Fine Arts) (Planning)	2,000,000
	<b>TOTAL</b>	<b>\$ 10,500,000</b>
	<b>UTHSC</b>	
1	Pharmacy Building - Completion of Basement	<b>\$ 3,000,000</b>
	<b>UTIA</b>	
1	Biosystems Engineering Learning & Innovation Hub	<b>\$ 350,000</b>
	<b>UTK</b>	
1	Arena Renovations and Repairs	\$ 15,000,000
2	Parking Garages/Parking Lots Repairs (2014-2015)	1,000,000
3	Dining Services Improvements (2014-2015)	750,000
4	Environmental Initiatives (2014-2015)	1,500,000
5	Campus Beautification Projects (2014-2015)	4,000,000
6	Classroom Upgrades (2014-2015)	5,000,000
7	Replacement Residence Halls	127,000,000
8	New Student Housing	65,400,000
9	Engineering Services Facility (Planning)	3,000,000
10	University Avenue Buildings Improvements	2,100,000
	<b>TOTAL</b>	<b>\$ 224,750,000</b>
	<b>GRAND TOTAL</b>	<b>\$ 238,600,000</b>

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

CAMPUS/UNIT: UT Knoxville

ITEM: Alley Transfers from the City of Knoxville

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The University of Tennessee, Knoxville proposes to request the City of Knoxville to transfer to the University the following (see attached campus map):

Unnamed alleys in City Block 10405 (west of 11<sup>th</sup> Street), City Block 10581 (west of 20<sup>th</sup> Street) and City Block 10582 (west of 20<sup>th</sup> Street)

The proposed alley transfers will assist the campus in planning for the future in regard to expansion and implementation of the overall UT Knoxville Master Plan. Two of the alley transfers are also needed to meet conditional requirements from the City of Knoxville for the transfer of 20th Street to UT Knoxville.

Upon approval by the Board, the University will seek all necessary city and state government approvals.

**MOTION:**

**That the administration be authorized to request the City of Knoxville to transfer to the University the unnamed alleys located in City Blocks 10405, 10581, and 10582.**





THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

ITEM: **Annual Report of Sales of Real Property Acquired by Gift**

RECOMMENDATION: Approval

PRESENTED BY: Charles M. Peccolo, Treasurer and Chief Financial Officer

The Bylaws require an annual report to the Board of all sales of real property acquired by gift. The report for 2013-14 follows.

**MOTION:**

**That the 2013-14 Annual Report of Sales of Real Property Acquired by Gift be approved.**

**Board of Trustees Annual Meeting - Annual Report of Sale of Gift Property**

<b>Annual Sales Report - Gifts of Real Property</b>			
<b>Sales Activity FY 2013-2014</b>			
<b>DONOR</b>	<b>PROPERTY ADDRESS</b>	<b>AMOUNT</b>	<b>USE OF PROCEEDS</b>
Clarence L. Brown	1000 La Playa Drive, Hayward, CA	\$2,200,000 (Gross Sale Price \$4,400,000 UT owned 1/2 interest)	University of Tennessee Knoxville
Neala Wakefield	205 Ogle Drive, Unit 323 Pigeon Forge, TN	\$110,000	UTK College of Business, UTK College of Education, Health and Human Services (CEHHS), and UTIA
Helen Louise Neely Humphreys	8727 Thor Road, Memphis, TN	\$52,667 (Gross Sale Price \$158,000 UT owned 1/3 interest)	University of Tennessee Health Science Center
Dr. Sam Mount	3611 Southwood Drive, Knoxville, TN	\$4,643 (Gross Sale Price \$65,000 UT owned 1/14 interest)	University of Tennessee Health Science Center Sam D. Mount, Jr. Research Endowment
Estate of Dorothy Gerwin	4292 Poplar, Memphis, TN	\$202,000	University of Tennessee Health Science Center - * 25% Cancer Research Projects as determined by the Dean of UTHSC. * 25% to be used by Department of Neurology for Parkinson Disease research projects. * 25% to be used by the Department of Physiology for unspecified research projects. * 25% to be used by the Department of Ophthalmology for unspecified research projects.
Jean Huston Springer	Green Corner Rd, Hartford, Cocke County, TN	\$67,500	University of Tennessee Institute of Agriculture Maxwell E. & Jean Springer Endowment Fund
John B. and Miriam E. Downey	1706 & 1708 4th Avenue North, Nashville, TN	\$221,000	University of Tennessee Chattanooga
Vannah Carr Greever	Hwy 25E - Claiborne County, TN	\$17,000	University of Tennessee Institute of Agriculture - * Vannah Greever Chair in Agricultural Business - 50% * James Greever Agricultural Development & Enrichment Fund - 50%
	<b>TOTAL</b>	<b>\$2,874,810</b>	



THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 18, 2014

COMMITTEE: Finance and Administration

ITEM: **President Emeritus Agreement with Dr. Joseph E. Johnson**

RECOMMENDATION: Approval

PRESENTED BY: Joseph A. DiPietro, President

Public Chapter 840 of the Tennessee Public Acts of 2000 authorizes higher education institutions to enter into one-year agreements with former presidents for services. The agreement may be renewed from year to year if the governing board is satisfied with the performance of services.

A proposed agreement with Dr. Joseph E. Johnson for the coming year appears on the following pages. The salary rate for Dr. Johnson remains the same as the past fiscal year, but the operating budget is proposed to increase from \$31,950 to \$36,950. All other terms and conditions are unchanged. Following the proposed agreement is Dr. Johnson's report of services during the past fiscal year.

**MOTION:**

**That the President Emeritus Agreement with Dr. Joseph E. Johnson for FY 2015 be approved.**

**AGREEMENT FOR PRESIDENT EMERITUS SERVICES**

THIS AGREEMENT, entered into this 19th day of June 2014, by and between THE UNIVERSITY OF TENNESSEE, a public educational corporation of the State of Tennessee (University), by and through its Board of Trustees (Board) and DR. JOSEPH E. JOHNSON (Dr. Johnson).

**WITNESSETH:**

In consideration of the covenants and agreements herein contained, the University and Dr. Johnson agree as follows:

A. Dr. Johnson will serve as President Emeritus of The University of Tennessee with the following duties as specifically assigned from time to time by the President or with his approval:

1. Serving as the President's designee on various committees;
2. Representing the University at presidential inaugurations for other universities and similar academic ceremonies;
3. Assisting the Office of Development and Alumni Affairs in various fund-raising and alumni activities, including attendance at meetings of the Development Council and the National Alumni Association;
4. Representing the University on various boards and commissions promoting community and state development.
5. Teaching courses in leadership, public administration, or higher education.
6. Serving as the President's representative at any official function or meeting, at the discretion of the President.

Dr. Johnson will be responsible to the President for all services performed under this Agreement.

B. For the performance of his duties as President Emeritus, Dr. Johnson will receive the following compensation:

1. Annual salary of \$65,790.00, payable in twelve equal monthly installments;
2. A non-accountable expense allowance of \$6,000.00; and
3. Eight season tickets for football, 4 for women's basketball, and 4 for men's basketball (at Knoxville).

C. The University will reimburse Dr. Johnson for travel and entertainment expenses incurred in performing his duties as President Emeritus, in accordance with University regulations. Under University travel regulations, Dr. Johnson may retain an honorarium only if the University does not pay related travel expenses. If the University pays related travel expenses, Dr. Johnson must remit the honorarium in full to the University.

D. The University will provide Dr. Johnson with an office, a secretary, and an operating budget of \$36,950.00.

E. Dr. Johnson's service as President Emeritus is a part-time appointment for 120 days (or 960 hours) during the term of this Agreement. In accordance with applicable provisions of the state retirement code, Dr. Johnson's service as President Emeritus shall not exceed 120 days (or 960 hours) during the term of the Agreement, and he shall not accrue any additional retirement credit as a result of his service as President Emeritus.

F. This Agreement shall be effective as of July 1, 2014, and shall continue at the will and pleasure of the Board for a maximum term of one year (through June 30, 2015). In

its discretion, the Board may enter into subsequent agreements for Dr. Johnson's service as President Emeritus, provided that the term of each subsequent agreement shall not exceed one year.

- G. The following general terms and conditions shall apply to this Agreement:
1. This Agreement contains the complete agreement between the parties concerning Dr. Johnson's appointment as President Emeritus. Neither party has made any representation with respect to the subject matter of this Agreement not specifically included in this Agreement, nor has either party relied on any such representation in entering into this Agreement.
  2. This Agreement may only be modified by a writing signed by both parties.
  3. The invalidity of any portion of this Agreement shall not and shall not be deemed to affect the validity of any other provision. In the event any provision of this Agreement is held to be invalid, the parties agree that the remaining provisions shall be deemed to be in full force and effect as if they had been executed by both parties subsequent to the expungement of the invalid provision.
  4. This Agreement shall be interpreted in accordance with Tennessee law.
  5. The failure of either party to this Agreement to insist upon the performance of any of the terms and conditions of this Agreement, or the waiver of any breach of any of the terms and conditions of this Agreement, shall not be construed as subsequently waiving any such terms and conditions, but the same shall continue and remain in full force and effect as if no forbearance or waiver had occurred.

[Signatures Follow]

IN WITNESS WHEREOF, the parties hereto have executed this Agreement by affixing their individual signature or the signature of an authorized official.

**THE UNIVERSITY OF TENNESSEE**

**JOSEPH E. JOHNSON**

\_\_\_\_\_  
Vice Chair of the Board of Trustees

\_\_\_\_\_  
Joseph E. Johnson



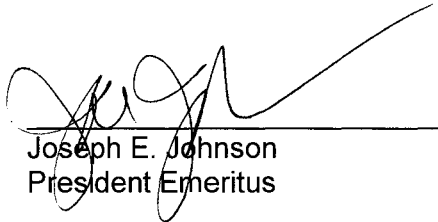
In accord with the formal agreement between the University of Tennessee and me, I spent time during 2013 – 2014 on the following activities related to the University of Tennessee:

1. I am a member of the Board of Directors of the University of Tennessee Medical Center (University Health System) as a representative of the University of Tennessee. I also chair the Board of Visitors for the Knoxville-based UT Graduate School of Medicine.
2. I am active with the alumni boards of the College of Pharmacy, College of Dentistry, and College of Medicine and with the Golden Grad events for these colleges. Such involvement allows me to assist with fundraising, alumni relations, and donor and donor prospect interactions.
3. I respond regularly to requests for information and advice from UT alumni friends and donors relating to a variety of topics and refer these folks to proper UT officials and offices or secure advice to pass along to people seeking such advice.
4. I participate in meetings of the UT Development Council and UT Alumni Board of Governors and its Executive Committee and its annual Leadership Conference. I also take part in the Alumni Association's Summer College and the Knoxville campus Golden Grad weekend.
5. I am a member of the volunteer advisory boards of the UT College of Architecture and Design, the College of Business Administration, and the College of Arts and Sciences. I assist these colleges in private fundraising calls and interact with volunteer leaders and donors. I serve on the Educators Hall of Honor Committee for the UT College of Education, Health, and Human Sciences, the Lady Vol Hall of Fame Selection Committee, and the Baker Center Board of Directors.
6. During the UT Knoxville football season, Pat and I host UT volunteer leaders, donors, and prospective donors in the sky box assigned to us on the east side of Neyland Stadium. I also interact with other UT donors and friends in the other 71 east side sky boxes.
7. I assist UT professors of higher ed leadership in some of the graduate courses they teach. During 2013 – 2014, I joined Dean Bob Rider and Dr. Frank Cuevas in teaching master's and doctoral courses in higher ed leadership and higher ed funding. I am on the doctoral committee for Doug Hawks.
8. Each year I go to UT Martin to participate in the meetings of the UT Martin Development Committee and of the UT Martin Alumni Council. Pat and I also attend the UT Martin homecoming weekend. I assist UT Martin

development staff members with some gift calls on selected individuals. And, I spoke to the McWherter Academy Innovator students this spring.

9. I attend the 4-H Congress in Nashville and participate in activities of the UT Ag Institute Development Committee. I made a visit with Buddy Mitchell to present a major gift request to a Loudon County prospect.
10. When requested, I provide information on a variety of questions, topics, concerns, and opportunities involving the University of Tennessee. I am regularly asked questions about issues affecting UT about which I have historical knowledge. At their request, I meet on occasion with UT officials.
11. I serve on the UT Alumni Association's Legislative Advocacy Council.
12. When requested, I make or assist with fundraising calls for the University of Tennessee. I maintain contacts with current and future UT donors including Gail Latimer, Charles Wharton, Kelly and Beverly Milam, Jerry Kennedy, Mr. Neal Allen, Dr. and Mrs. Bob Higgs, Dr. Bill Powell, Dr. David Harris, Mr. David Bailey, Guilford Glazer, Dr. Phil Wenk, Dr. Lew Dougherty, Fay Bailey, Waymon Hickman, Doug McCarty, Home Federal Bank leaders, and Delta Dental Tennessee leaders among others.
13. I regularly take UT students, children of UT donors, friends, and volunteers to lunch or dinner. And, Pat and I host alumni leaders and donors when they are in Knoxville for UT events and activities.
14. As President Emeritus, I am asked to speak to a variety of groups on occasion.
15. I serve on the boards of directors for the Great Smoky Mountain Council of the Boy Scouts of America, the Knox County Imagination Library, the Helen Ross McNabb Center Foundation, the East Tennessee Community Design Center, the Hearing and Speech Foundation, and the Pat Summitt Foundation Advisory Board. I am a long term member of the Knoxville Chamber of Commerce Finance Committee.
16. I teach three days a year at the University of Kentucky College Business Management Institute that offers education programs for college and business management leaders from the eastern United States. I teach courses in public relations and development.
17. I serve on occasion as a member of a Southern Association of Colleges and Schools accreditation teams.

18. I am involved with private fundraising for the UT Graduate School of Medicine in Knoxville and the UT Medical Center.
19. I serve as Chairman of the Tennessee Renewable Energy and Economic Development Council (TREEDC) that works with Tennessee cities on projects related to alternative fuels, energy conservation, green programs, and economic development. TREEDC is related to the UT Institute for Public Service.
20. I work hard to stay out of the hair of University of Tennessee officials but am available to assist them if and when they request assistance.



---

Joseph E. Johnson  
President Emeritus

JEJ/bkw

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 19, 2014

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: All

ITEM: University of Tennessee Diversity Statement

RECOMMENDATION: Approval

PRESENTED BY: President Joseph A. DiPietro

The Diversity Advisory Council (DAC) has developed a University of Tennessee Diversity Statement affirming the educational value of a diverse and fully inclusive campus community. The DAC has recommended the statement to President DiPietro. The statement, which appears on the following page, has the support of the Chancellors, and President DiPietro recommends it to the Board of Trustees for approval.

**MOTION:**

**That the University of Tennessee Diversity Statement be approved.**

## The University of Tennessee Diversity Statement

One of the principal missions of The University of Tennessee is to provide quality educational opportunities for the people of this state. One measure of the quality of an educational experience is the extent to which it enables the recipient to compete and be productive in society and contribute to the quality of life. The Board of Trustees recognizes that diversity in the educational environment, including an outstanding and diverse student body, faculty, and staff, and an environment conducive to learning, adds value to the educational experience and the degree earned. Interacting with people from diverse backgrounds and perspectives augments the curricular experience and affords every student the opportunity to gain the knowledge and skills necessary to be a productive and contributing citizen of this state and nation, capable of competing in a global society. Thus, diversity is fundamental to a sound twenty-first century education.

The Board affirms the educational value of a diverse and fully inclusive campus community, one that is enriched by persons of different backgrounds, points of view, cultures, socioeconomic status, and other diverse characteristics. The Board expects the University to engage in a variety of initiatives to advance diversity in all aspects of University life.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 19, 2014

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: UT Knoxville

ITEM: **UT Knoxville Faculty Handbook Revisions**

RECOMMENDATION: Approval

PRESENTED BY: India Lane, Assistant Vice President for Academic Affairs and Student Success

The UT Knoxville Faculty Handbook (applicable also to the faculty of UTIA and UTSI) includes the framework for shared governance of the University, a collaborative mission of the Board of Trustees, faculty, administrators and other stakeholders.

Faculty engage in many departmental, campus and university level activities that contribute to productive shared governance. The proposed revisions to the UT Knoxville Faculty Handbook further endorse the spirit of shared governance by supporting open and honest dialogue among all parties during these types of activities. As stated in the revised text, faculty members can expect to raise and address relevant issues without fear of institutional retribution, provided the discourse falls within the context and norms of shared governance. The proposed revisions concerning shared governance also align UT Knoxville with their peers at Top 25 universities, where Faculty Handbooks have been modified similarly in order to help recruit and retain top faculty. Finally, the proposed revisions make minor clarifying changes to existing language concerning a faculty member's speech as a citizen on matters of public concern.

The proposed revisions presented on the following pages have been approved by the Faculty Senate and Chancellors Arrington and Cheek. The proposed revisions have been further reviewed and are recommended for approval by the General Counsel and Secretary, the Vice President for Academic Affairs and Student Success, and the President.

**MOTION:**

**That the UT Knoxville Faculty Handbook revisions regarding shared governance be approved.**

## UTK Faculty Handbook Revision<sup>1</sup>

New language = underlined

Deleted language = ~~strikethrough~~

### Chapter One. Governance and Organization

#### 1.5 Shared Governance

The responsibilities of the faculty in the governance of the university are important and varied. They are discharged in two basic ways: (1) through the work of the Faculty Senate (regarding the general policies of the campus as a whole), and (2) through the work of faculty and faculty committees within departments, colleges, and the university as a whole. Faculty members should be active participants in deliberations and decisions on all policy and procedure committees.

Faculty members have the right to contribute to campus and university discourse that is at the heart of the shared governance of the campus and the university. When contributing to campus and university discourse, at any level within the university or the community at large, faculty members have the freedom to raise and to address, without fear of institutional discipline or restraint or other adverse employment action, any issue related to professional duties; the functioning of academic units, the campus, or the university; and department, college, campus, or university actions, positions, or policies.

~~At the same time,~~ The perspectives of administrators, students, and professional and support staff are also essential to shared governance. It is the responsibility of the faculty to work collaboratively with these and other university constituencies.

The university practices shared governance. It acts on principles derived from in-depth conversation among faculty representatives and academic administrators that are in accordance with the following principles:

1. communication—regular and timely sharing of information among faculty, staff, students, administration, and trustees
2. faculty responsibility—primary role in determining curriculum, educational policy, standards for evaluating teaching and scholarship, selection of new faculty, and promotion and tenure
3. faculty representation in university decision-making that directly or indirectly affects faculty ability to function effectively
4. timely consultation between faculty and administrators on academic matters
5. peer nomination of faculty to serve on university committees

The process of shared governance depends upon

1. transparency—of information and responses of others, so that constituents are able to fully understand policy and related issues

---

<sup>1</sup> The UTK Faculty Handbook applies to UTK, UTIA, UTSI faculty. Revisions were proposed by the Faculty Affairs Committee of the Faculty Senate in coordination with campus administration; adopted by the Faculty Senate on March 4, 2013 and amended by the Faculty Senate on May 6, 2013.

2. accessibility—to information and the responses of others, so that constituents are able to consider various perspectives
3. adequate time—to reflect on information and the responses of others as well as share one's own response, so that constituents can fully participate
4. opportunity—to communicate collaboratively, so that constituents can reach decisions that serve the common good
5. consistency—in the process of shared governance, so that an atmosphere of openness and trust prevails

Dissemination of information is only one part of the process. Responses from constituents need to be shared as appropriate, where a record of these responses is available to everyone who chooses to review this information. The open sharing of constituent responses requires that gathered information be put in a useful form accessible to the community. In many cases, face-to-face dialogue provides the best opportunity to communicate collaboratively. While the senate and other university committees provide a major source of faculty representation in shared governance, faculty should have the opportunity to share their input prior to the establishment of policy related to academic matters and the welfare of the university community. All faculty members are expected to accept the responsibility of shared governance and act as good university citizens through service on committees, task forces, and the senate.

## **Chapter Two. Faculty Rights and Responsibilities**

### **2.13 Freedom as a Citizen**

When faculty members communicate as citizens on matters of public concern, they operate independently of the university. In this situation, faculty members have rights common to all citizens, including the rights to organize associations, join associations, participate in public meetings, run for and serve in government offices subject to applicable state and federal laws and university personnel policies, demonstrate, picket, and voice their opinions. ~~To exercise~~ When exercising their rights as citizens, faculty members must also respect the university by not claiming ~~institutional support or involvement~~ to represent the positions or views of the university and by not using institutional resources.



THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 19, 2014

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: All

ITEM: **Revision of Academic Affairs and Student Success Committee Charter**

RECOMMENDATION: Approval

PRESENTED BY: Katherine N. High, Vice President for Academic Affairs and Student Success

A revision of the Charter for the Academic Affairs and Student Success Committee is proposed to address an issue raised by the Southern Association of Colleges and Schools (SACS) during an accreditation review. The review team questioned the following language in the charter:

Student groups meet with the committee on a regular basis to discuss issues related to academic policies and student life.

Vice President Katherine N. High responded with a proposal to revise this provision to clarify its intent and conform to actual practice. The proposed revision reads as follows:

Presidents of the undergraduate and graduate student government associations at UT Chattanooga, UT Health Science Center, UT Knoxville, and UT Martin serve as non-voting members of the committee and participate in committee meetings to provide a student perspective on matters related to academic policies and student life.

This proposal was approved by the Trusteeship Committee on May 13, 2014, subject to subsequent approval by this Committee.

**MOTION:**

**That the proposed revision of the charter for the Academic Affairs and Student Success Committee be approved.**

---

**THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES**

**ACADEMIC AFFAIRS AND STUDENT SUCCESS COMMITTEE  
CHARTER**

---

**PURPOSE**

The Academic Affairs and Student Success Committee oversees a broad range of matters related to the university's educational mission of teaching, research, and service. The committee's oversight includes consideration of the needs of the faculty and administration in creating and sustaining the university's academic environment and consideration of the interest of students in the central curricular and co-curricular life of the university. The committee attends to faculty members' concerns about matters such as faculty evaluation and compensation, library and other learning resources, and currency of academic structures. The committee attends to students' concerns about matters such as tuition and fees; admissions, retention, and graduation requirements; campus facilities; faculty teaching and advisement; student life; student conduct and housing rules; and staff support and services.

**POWERS AND RESPONSIBILITIES**

The committee's general responsibility shall be to recommend to the Board needed action or policies relating to the university's educational mission of teaching, research, and service, including matters such as (1) what and how students learn; (2) how students are admitted; (3) how faculty are selected, developed, and assessed; (4) how the academic structure is organized; and (5) what student services are needed to support each campus as a learning community. The Vice President for Academic Affairs and Student Success shall regularly solicit information on these matters from administrators, faculty members, students, and external higher education policy resources and work with the committee chair to bring system-wide issues and concerns to the attention of the committee.

Specifically, the committee shall approve and recommend to the Board:

1. Mission statements for the University of Tennessee System and for each campus and institute;
2. Strategic and long-range academic plans;
3. Proposals concerning the development of new academic programs and the significant revision of existing programs relating to instruction, research, and service;

4. Establishment of new academic organizations, such as major campuses, institutes, colleges or schools, and academic departments;
5. Evaluation of existing academic programs and their administrative structures;
6. Termination of programs and structures that no longer are needed;
7. Admission, progression, retention, and graduation standards;
8. The university's policy on *Academic Freedom, Responsibility, and Tenure*, campus implementing procedures, and any other faculty personnel policy requiring Board approval;
9. Proposals and any necessary policies concerning information technology, including its effects on the intellectual property rights/responsibilities of employees and students;
10. Proposals and any necessary policies concerning system-wide use of academic services including libraries and computer labs;
11. University rules concerning student conduct, rights, and responsibilities required to be promulgated under the Tennessee Uniform Administrative Procedures Act;
12. Proposals and any necessary policies related to the non-academic aspects of student life, including student services and student conduct; and
13. Proposals and any necessary policies related to campus enrollment and facilities capacity.

The committee composition (described below) serves as a communication link among student groups, the administration on all UT campuses, and committee members. ~~Student groups meet with the committee on a regular basis to discuss issues related to academic policies and student life. Presidents of the undergraduate and graduate student government associations at UT Chattanooga, UT Health Science Center, UT Knoxville, and UT Martin serve as non-voting members of the committee and participate in committee meetings to provide a student perspective on matters related to academic policies and student life.~~

The committee is apprised of and discusses issues related to student success at the University, including campus-based financial aid; statewide Hope scholarships and Tennessee Student Assistance Corporation programs; system-wide application processes; academic advising; diversity; and programs to increase retention, progression, and graduation.

## COMPOSITION OF THE COMMITTEE

The voting membership of the committee shall be no less than three members of the Board of Trustees appointed by the Board upon the recommendation of the Vice Chair of the Board, with the advice and consent of the Governance Trusteeship Committee. The Vice Chair of the Board, Voting Student Trustee, Voting Faculty Trustee, Commissioner of Agriculture, and Commissioner of Education shall be *ex officio*, voting members of the committee. The President of the university, Non-voting Student Trustee, Non-Voting Faculty Trustee, Executive Director of the Tennessee Higher Education Commission, Faculty Senate Presidents, and Student Government Presidents (including Graduate Student Government Presidents) shall be *ex officio*, non-voting members of the committee. Committee appointments shall be made at the annual meeting for a two-year term, but *ex officio* appointments shall not exceed the term of office.

The chair of the committee shall be designated by the Board for a two-year term, upon the recommendation of the Vice Chair, with the advice and consent of the Governance Trusteeship Committee. No Trustee may serve more than two consecutive two-year terms as chair of the committee.

The President shall designate one or more members of his or her senior administrative staff to provide staff support to the committee.

## MEETINGS

### Required Number and Call

The committee shall meet at least three times annually, in conjunction with regular meetings of the Board of Trustees or at any other time upon the call of the committee chair. A meeting of the committee may also be called at any time by the Chair or Vice Chair of the Board, by the President of the university, or by the Secretary upon the written request of two members of the committee.

### Notice

Notice of committee meetings shall be given to all committee members. Notice may be given by regular mail, electronic mail, or facsimile transmission. At least five (5) days' notice shall be given when feasible, but less notice may be given when there is a need for urgent action by the committee. If written notice is not feasible, by reason of urgency or other exigent circumstance, notice may be given by telephone. All meetings of the committee shall be open to the public unless otherwise provided by the Tennessee Open Meetings Act or a judicially-recognized exception to the Act.

### Agenda

An agenda shall accompany the notice of every meeting of the committee when feasible, but when not feasible, the notice shall state the purpose or purposes for which the meeting is called.

Development of the committee agenda shall be the responsibility of the chair, in consultation with the President and committee staff. The agenda shall list in outline form each matter to be considered at the meeting. The committee may use a consent agenda in the manner provided by the Bylaws.

Matters not set forth on the agenda or in the notice may be considered only upon an affirmative vote of a majority of the entire committee.

### **Quorum**

A quorum shall be a majority (more than one-half) of the voting members of the committee. The Vice Chair shall be counted for quorum purposes, but the President and other *ex officio* non-voting members of the committee shall not be counted for quorum purposes. In the absence of a quorum, those attending may adjourn the meeting until a quorum is present.

### **Minutes**

Committee staff shall prepare minutes of all committee meetings, review the minutes with the committee chair, and provide the minutes to all committee members before the next committee meeting.

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 19, 2014

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: All

ITEM: **Tenure Recommendations**

RECOMMENDATION: Approval

PRESENTED BY: Joseph A. DiPietro, President

Board policy defines tenure as a principle that entitles a faculty member to continuation of his or her annual appointment until relinquishment or forfeiture of tenure, or until termination of tenure for adequate cause. The policy holds that a healthy tradition of academic freedom and tenure is essential to the proper functioning of a University. Faculty members eligible for tenure must serve a probationary period and must demonstrate continuing value to the institution.

Tenure is only granted by positive action by the Board of Trustees and is granted in a particular unit, department, school, or college of a campus. The following flowchart demonstrates the careful process that leads to the request for Board action to grant tenure. Following the flowchart is the list of faculty members recommended for tenure.

**MOTION:**

**That the Board of Trustees grant tenure to the faculty members recommended by the President.**

## **THE UNIVERSITY OF TENNESSEE SYSTEM TENURE PROCESS**

### **DEPARTMENT**

---

- Tenured faculty in Department review each candidate's credentials (teaching, research, service) and make recommendation to Department Head; may solicit opinions of out-of-state faculty peers.
- Head evaluates potential candidates for tenure in light of above, personal knowledge of individuals, and the Department's needs.
- Transmits his/her (positive and negative) recommendations to Dean.

### **DEAN**

---

- Evaluates Department Head's recommendations in light of personal knowledge of individuals and needs of the College or School, considers departmental faculty opinion, may use college-wide faculty advisory committee, may solicit opinions of out-of-state faculty peers.
- Approves, disapproves, or asks for departmental reconsideration.
- Transmits his/her (positive and negative) recommendations to Chief Academic Officer.

### **CHIEF ACADEMIC OFFICER**

---

- Evaluates college/school recommendations in light of general knowledge of individuals and needs of the campus.
- Investigates any controversial recommendations in-depth.
- Discusses all recommendations with Chancellor, who is responsible for final campus recommendations.
- Approves, disapproves, or asks for Dean's reconsideration.
- Transmits his/her (positive and negative) recommendations to Chancellor/President.

### **CHANCELLOR**

---

- Evaluates Chief Academic Officer's recommendations and discusses them with Chief Academic Officer.
- Approves, disapproves, or asks for Chief Academic Officer's reconsideration.
- Transmits his/her positive recommendations to Vice President for Academic Affairs.

### **VICE PRESIDENT FOR ACADEMIC AFFAIRS**

---

- Evaluates campus recommendations to ensure that University policies regarding qualifications, evaluations, and review have been followed.
- Confers with Chief Academic Officers and/or Chancellors to resolve questions and to ensure that Chancellors fully support all recommendations for tenure.
- Discusses all recommendations for transmittal to the Board of Trustees.

### **PRESIDENT**

---

- Discusses recommendations with Vice President for Academic Affairs, with special reference to any that merit special consideration.
- Authorizes Vice President for Academic Affairs to transmit President's recommendations to the Board of Trustees.
- Discusses the set of recommendations and its policy implications with the Board of Trustees.

### **BOARD OF TRUSTEES**

---

- Has final authority to grant tenure to faculty members recommended by the President.

**THE UNIVERSITY OF TENNESSEE  
RECOMMENDATIONS FOR TENURE  
JUNE 19, 2014**

**THE UNIVERSITY OF TENNESSEE AT CHATTANOOGA**

Associate Professor

Bonnal, Michael Economics

Assistant Professor

Barioli, Francesco Mathematics  
 Boyd, Jennifer Biological & Environmental Sciences  
 Brock-Hon, Amy Physics, Geology, & Astronomy  
 Khmelko, Irina Political Science, Public Administration & Non-Profit  
 Management  
 Mauldin, Marcus Political Science, Public Administration & Non-Profit  
 Management  
 Hayes, Loren Biological & Environmental Sciences

**THE UNIVERSITY OF TENNESSEE INSTITUTE OF AGRICULTURE**

Professor

Anderson, David Department/School/College/Unit  
 Large Animal Clinical Sciences

Assistant Professor

Flatland, Bente Biomedical & Diagnostic Sciences  
 Horvath, Brandon Plant Sciences  
 McIntosh, Bridgett Animal Science  
 Souza, Marcy Biomedical & Diagnostic Sciences  
 Whitlock, Brian Large Animal Clinical Sciences  
 Yin, Xinhua (Frank) Plant Sciences

**THE UNIVERSITY OF TENNESSEE, KNOXVILLE**

Professor

Baghdoyan, Helen Department/School/College/Unit  
 Psychology  
 Lydic, Ralph Psychology  
 Ragauskas, Arthur Chemical & Biomedical Engineering  
 Rao, Uma Social Work

Associate Professor

Bach, Wendy Law  
 Block, Kristen History  
 Fu, Joshua S. Civil & Environmental Engineering  
 Kelley, Eric Finance  
 McKanders, Karla Law

Assistant Professor

June 2, 2014



Ambroziak, Katherine	Architecture
Auerbach, Benjamin Miller	Anthropology
Bailey, Joseph	Ecology & Evolutionary Biology
Baudry, Jerome	Biochemical, Cellular & Molecular Biology
Binder, Brad	Biochemical, Cellular & Molecular Biology
Camden, Jon	Chemistry
Chiles, Katy	English
Dean, Margaret Lazarus	English
Ekici, Kivanc	Mechanical, Aerospace, & Biomedical Engineering
Fernandez, Peter	Libraries
Hayward, Jason	Nuclear Engineering
Heilbronn, Lawrence	Nuclear Engineering
Hristov, Miroslav	Music
Inwood, Joshua Frederick	Geography
Jenkins, David	Chemistry
Matheny, Patrick	Ecology & Evolutionary Biology
McConville, Brendan	Music
Murphy-Price, Althea	Art
Schussler, Elisabeth E.	Ecology & Evolutionary Biology
Sharp, Allison	Libraries
Singh, Vandana	Information Sciences
Stehle, Maria	Modern Foreign Languages & Literature
Van Riemsdijk, Françoise Micheline	Geography
Wright, Courtney	Communication Studies
Zhang, Zhili	Mechanical, Aerospace, & Biomedical Engineering

#### THE UNIVERSITY OF TENNESSEE HEALTH SCIENCE CENTER

<u>Professor</u>	<u>Department/School/College/Unit</u>
Huang, George	Bioscience Research
<u>Associate Professor</u>	
Cormier, Stephania	Pediatrics
Pattanaik, Debendra	Internal Medicine
Wahba, Mervat	Neurology
Weinberg, Jordan	Surgery
<u>Assistant Professor</u>	
Sun, WenLin	Pharmacology
Vanatta, Jason	Surgery
Wu, Zhaohui	Pathology

#### THE UNIVERSITY OF TENNESSEE AT MARTIN

<u>Professor</u>	<u>Department/School/College/Unit</u>
Dickens, Ross N.	Accounting, Finance, Economics, & Political Science
Winters, Todd A.	Agriculture, Geosciences, & Natural Resources

Associate Professor

Montgomery, Robbie L.  
Parrott, Scott D.  
Pitz, Kevin M.  
Pritchett, Matthew A.  
Sumner, Glenna L.

Department/School/College/Unit

Chemistry & Physics  
Agriculture, Geosciences, & Natural Resources  
Biological Sciences  
Biological Sciences  
Accounting, Finance, Economics, & Political Science

Assistant Professor

Garlitz, Richard P.  
Henderson, Daphne M.  
Maya Donaldson, Alicia E.  
Parrish, Alissa R.  
Sherman, Todd E.  
Swan, Joyce H.

History & Philosophy  
Behavioral Sciences  
Behavioral Sciences  
Nursing  
Health & Human Performance  
Educational Studies

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTC Comprehensive List of Academic Programs  
June 2014

College of Arts & Sciences			
Department	Major	Submajor	Degree
Art	Art		BA
Art	Art	Graphic Design	BFA
Art	Art	Painting & Drawing	BFA
Art	Art	Photography and Media Art	BFA
Art	Art	3-D	BFA
Art	Art Education		BS
Biological & Environmental Science	Biology	General Biology	BS
Biological & Environmental Science	Biology	Preprofessional	BS
Biological & Environmental Science	Biology	STEM	BS
Biological & Environmental Science	UTeach Biology Certificate		Gr Cert
Biological & Environmental Science	Environmental Science		MS
Biological & Environmental Science	Environmental Science	Biology	BS
Biological & Environmental Science	Environmental Science	Chemistry	BS
Biological & Environmental Science	Environmental Science	Engineering Science	BS
Biological & Environmental Science	Environmental Science	Geographic and Cartographic Sciences	BS
Biological & Environmental Science	Environmental Science	Geology	BS
Biological & Environmental Science	Environmental Science	Mathematics	BS
Biological & Environmental Science	Environmental Science	Environmental Policy and Planning	BS
Biological & Environmental Science	Environmental Science	Environmental Health	BS
Biological & Environmental Science	Environmental Science	Earth, Atmosphere, & Geological Resources	BS
Biological & Environmental Science	Environmental Science	Biodiversity, Conservation & Natural Resources	BS
Biological & Environmental Science	Environmental Science	Sociology & Anthropology	BS
Chemistry	Chemistry	Chemistry	BS
Chemistry	Chemistry	Biochemistry	BS
Chemistry	Chemistry	STEM	BS
Chemistry	UTeach Chemistry Certificate		Gr Cert
Communication	Communication		BA
Criminal Justice	Criminal Justice		BS
Criminal Justice	Criminal Justice		MSCJ
Criminal Justice	Legal Assistant Studies	Legal Assistant Studies	BS
Criminal Justice	Legal Assistant Studies	Social Sciences	BS
Economics	Economics		BA
Economics	Economics		BS
English	English	Creative Writing	MA
English	English	Literary Study	MA
English	English	Rhetoric and Writing	MA
English	Writing and Rhetoric		Gr Cert
English	English & American Language & Literature	English & American Language & Literature	BA
English	English & American Language & Literature	Rhetoric and Professional Writing	BA
English	English & American Language & Literature	Creative Writing	BA
Modern & Classical Languages & Literature	Modern & Classical Languages & Literature	French	BA
Modern & Classical Languages & Literature	Modern & Classical Languages & Literature	Greek & Latin	BA
Modern & Classical Languages & Literature	Modern & Classical Languages & Literature	Latin	BA
Modern & Classical Languages & Literature	Modern & Classical Languages & Literature	Spanish	BA
History	History		BA
Integrated Studies	Integrated Studies	Integrated Studies	BIS
Integrated Studies	Integrated Studies	Professional Studies	BIS

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTC Comprehensive List of Academic Programs  
June 2014

College of Arts & Sciences			
Department	Major	Submajor	Degree
Interdisciplinary Studies	Humanities	International Studies	BA
Interdisciplinary Studies	Humanities	Women's Studies	BA
Interdisciplinary Studies	Humanities	Liberal Arts	BA
Mathematics	Applied Mathematics	Actuarial Science	BS
Mathematics	Applied Mathematics	General Mathematics	BS
Mathematics	Applied Mathematics	STEM	BS
Mathematics	Mathematics		BA
Mathematics	UTeach Mathematics Certificate		Gr Cert
Mathematics	Mathematics	Applied Mathematics	MS
Mathematics	Mathematics	Applied Statistics	MS
Mathematics	Mathematics	Preprofessional Mathematics	MS
Mathematics	Mathematics	Education	MS
Music	Music		BA
Music	Music	Instrumental Performance	BM
Music	Music	Instrumental Music Education	BM
Music	Music	Music Education	MM
Music	Music	Performance	MM
Music	Music	Theory & Composition	BM
Music	Music	Vocal Performance	BM
Music	Music Education	Vocal Music Education	BM
Philosophy & Religion	Philosophy & Religion	Combined Concentration	BA
Philosophy & Religion	Philosophy & Religion	Philosophy	BA
Philosophy & Religion	Philosophy & Religion	Religious Studies	BA
Physics, Geology & Astronomy	Geology	Geology	BS
Physics, Geology & Astronomy	Geology	Environmental Geology	BS
Physics, Geology & Astronomy	Geology	STEM	BS
Physics, Geology & Astronomy	UTeach Geology Certificate		Gr Cert
Physics, Geology & Astronomy	Physics	Physics	BS
Physics, Geology & Astronomy	Physics	Biophysics	BS
Physics, Geology & Astronomy	Physics	STEM	BS
Physics, Geology & Astronomy	UTeach Physics Certificate		Gr Cert
Political Sci, Public Admin & Nonprofit Mgmt	Political Science	American Studies	BS
Political Sci, Public Admin & Nonprofit Mgmt	Political Science	International & Comparative Studies	BS
Political Sci, Public Admin & Nonprofit Mgmt	Political Science	Legal Studies	BS
Political Sci, Public Admin & Nonprofit Mgmt	Political Science	Public Admin & Non-Profit Management	BS
Political Sci, Public Admin & Nonprofit Mgmt	Political Science	Public Policy	BS
Political Sci, Public Admin & Nonprofit Mgmt	Public Administration	Public Administration	MPA
Political Sci, Public Admin & Nonprofit Mgmt	Public Administration	Local Government Management	MPA
Political Sci, Public Admin & Nonprofit Mgmt	Public Administration	Non-Profit Management	MPA
Political Sci, Public Admin & Nonprofit Mgmt	Public Admin & Non-Profit Management		Gr Cert
Psychology	Psychology		BA
Psychology	Psychology		BS
Psychology	Psychology	Industrial/Organizational Psychology	MS
Psychology	Psychology	Research	MS
Sociology, Anthropology & Geography	Sociology & Anthropology	Anthropology	BA
Sociology, Anthropology & Geography	Sociology & Anthropology	Sociology	BA
Sociology, Anthropology & Geography	Sociology & Anthropology	Anthropology	BS
Sociology, Anthropology & Geography	Sociology & Anthropology	Sociology	BS
Theatre & Speech	Theatre		BA

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTC Comprehensive List of Academic Programs  
June 2014

College of Business			
Department	Major	Submajor	Degree
Accounting	Accountancy		MACC
Accounting	Business Administration	Accounting	BS
Business Administration	Business Administration	Business Administration	MBA
Business Administration	Business Administration	Executive	MBA
Business Administration	Business Administration	Professional MBA	MBA
Business Administration	Business Administration	Professional Business Analytics	MBA
Business Administration	Business Analytics		Gr Cert
Business Administration	Human Resource Leadership		Gr Cert
Finance	Business Administration	Finance	BS
Management	Business Administration	General Management	BS
Management	Business Administration	Human Resource Management	BS
Management	Business Administration	Industrial Management	BS
Marketing & Entrepreneurship	Business Administration	Entrepreneurship	BS
Marketing & Entrepreneurship	Business Administration	Marketing	BS

College of Engineering & Computer Science			
Department	Major	Submajor	Degree
Computer Science & Engineering	Computer Science	Computer Science	MS
Computer Science & Engineering	BioMedical Informatics		Gr Cert
Computer Science & Engineering	Computer Networking		Gr Cert
Computer Science & Engineering	Advanced Information Systems Security		UG Cert
Computer Science & Engineering	Information Systems Security		UG Cert
Computer Science & Engineering	Computer Science	Information Security and Assurance	BS
Computer Science & Engineering	Computer Science	Information Security and Assurance	MS
Computer Science & Engineering	Internet Application Programming		Gr Cert
Computer Science & Engineering	Computer Science	Scientific Applications	BS
Computer Science & Engineering	Computer Science	Software Systems	BS
Computer Science & Engineering	Computer Science	STEM	BS
Engineering	Computational Engineering		PhD
Engineering	Chemical Engineering		BSChE
Engineering	Civil Engineering		BSCE
Engineering	Engineering	Computer Engineering	BSE
Engineering	Engineering	Chemical	MS
Engineering	Engineering	Civil	MS
Engineering	Engineering	Computational	MS
Engineering	Engineering	Electrical	BSEE
Engineering	Engineering	Electrical	MS
Engineering	Engineering	Environmental	BSE
Engineering	Engineering	Industrial	BSE
Engineering	Engineering	Interdisciplinary	BSE
Engineering	Engineering	Industrial	MS
Engineering	Engineering	Mechanical	BSME
Engineering	Engineering	Mechanical	MS
Engineering	Engineering	Nuclear	BSE
Engineering	Engineering	STEM	BSE
Engineering	Electrical Engineering Smart Grid Cert.		Gr Cert
Engineering	Electrical Engineering Smart Power Distrib.		Gr Cert
Engineering	Nuclear Engineering		Gr Cert
Engineering	Power System Protection		Gr Cert
Engineering	Sustainable Electrical Energy		Gr Cert

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTC Comprehensive List of Academic Programs  
June 2014

College of Engineering & Computer Science			
Department	Major	Submajor	Degree
Engineering	Engineering Management	Engineering Management	MS
Engineering	Engineering Management	Construction Management	MS
Engineering	Engineering Management	Power Systems Management	MS
Engineering	Engineering Management Construction Mgt.		Gr Cert
Engineering	Fundamentals of Engineering Mngt		Gr Cert
Engineering	Logistics & Supply Chain Management		Gr Cert
Engineering	Engineering Mgt. Project & Technology Mgt		Gr Cert
Engineering	Quality Management		Gr Cert
Engineering	Engineering Mngt & Leadership Ethics		Gr Cert
Engineering	Eng Mngt Power System Mngt		Gr Cert
Engineering	Engineering Technology Management	Engineering Management	BS
Engineering	Engineering Technology Management	Construction Management	BS

College of Health, Education & Professional Studies			
Department	Major	Submajor	Degree
Health and Human Performance	Health & Human Performance	Health & Exercise Pedagogy K-12	BS
Health and Human Performance	Health & Human Performance	Sport and Leisure Service Administration	BS
Health and Human Performance	Health & Human Performance	Exercise Science: Nutrition	BS
Health and Human Performance	Health & Human Performance	Dietetics	BS
Health and Human Performance	Health & Human Performance	Non-Licensure	
Health and Human Performance	Health & Human Performance	Clinical Exercise Physiology	MS
Health and Human Performance	Health & Human Performance	Physical Activity and Health	MS
Health and Human Performance	Athletic Training		MS
Education, School of	Advanced Educational Practice	Educational Leadership	EdS
Education, School of	Advanced Educational Practice	Instructional Leadership	EdS
Education, School of	Advanced Educational Practice	Principal Licensure	EdS
Education, School of	Advanced Educational Practice	School Psychology	EdS
Education, School of	Counseling	Clinical Mental Health Counseling	MEd
Education, School of	Counseling	School Counseling	MEd
Education, School of	Clinical Mental Health Counseling		Gr Cert
Education, School of	School Counseling		Gr Cert
Education, School of	Urban Specialist		Gr Cert
Education, School of	Elementary Education	Early Childhood Education	MEd
Education, School of	Elementary Education	Elementary Education	MEd
Education, School of	Elementary Education	Licensure	MEd
Education, School of	Learning and Leadership		EdD
Education, School of	School Leadership		Gr Cert
Education, School of	School Leadership	Principal/Supervisor Licensure	MEd
Education, School of	School Leadership	Reading Specialist	MEd
Education, School of	School Leadership	Teacher Leadership	MEd

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTC Comprehensive List of Academic Programs  
June 2014

College of Health, Education & Professional Studies			
Department	Major	Submajor	Degree
Education, School of	Secondary Education	Licensure	MEd
Education, School of	Secondary Education	Non-Licensure	Med
Education, School of	Special Education	Early Childhood	MEd
Education, School of	Special Education	Mild Disabilities	MEd
Education, School of	English as a Second Language		Gr Cert
Education, School of	Early Childhood	Child and Family Studies	BS
Education, School of	Early Childhood	Early Childhood Education	BS
Education, School of	Exceptional Learning: K-12		BS
Education, School of	Middle Grades Education	English	BS
Education, School of	Middle Grades Education	Mathematics	BS
Education, School of	Middle Grades Education	Natural Sciences	BS
Education, School of	Middle Grades Education	Social Sciences	BS
Education, School of	Secondary Education	English	BS
Education, School of	Secondary Education	Economics	BS
Education, School of	Secondary Education	Geography	BS
Education, School of	Secondary Education	History	BS
Education, School of	Secondary Education	Political Science	BS
Interior Design	Interior Design		BS
Nursing, School of	Nursing		BSN
Nursing, School of	Nursing	Administration	MSN
Nursing, School of	Nursing	Anesthesia	MSN
Nursing, School of	Nursing	Education	MSN
Nursing, School of	Nursing	Nursing Administration	MSN
Nursing, School of	Nursing	Family Nurse Practitioner	MSN
Nursing, School of	Nursing	Family Nurse Practitioner	DNP
Nursing, School of	Nursing	Nurse Anesthesia	DNP
Nursing, School of	Nursing	Nursing	DNP
Nursing, School of	Nursing	Nursing Administrative Systems	DNP
Nursing, School of	Family Nurse Practitioner		Gr Cert
Nursing, School of	Health Care Informatics		Gr Cert
Nursing, School of	Nursing Anesthesia		Gr Cert
Nursing, School of	Nursing Education		Gr Cert
Occupational Therapy	Occupational Therapy		OTD
Physical Therapy	Physical Therapy	Physical Therapy	DPT
Physical Therapy	Physical Therapy	Post Professional	DPT
Physical Therapy	Rehabilitation Science	General	BS
Social Work	Social Work		BSW

**UTHSC Comprehensive List of Academic Programs  
June 2014**

<b>College of Allied Health Sciences</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Audiology & Speech Pathology	Audiology (Joint w/UTK)		BSAP
Audiology & Speech Pathology	Audiology	1) Traditional, 2) Post-Professional	AuD
Audiology & Speech Pathology	Audiology		MS
Audiology & Speech Pathology	Speech-Language Pathology	Aural Re/habilitation	MSSLP
Clinical Lab Sciences	Clinical Laboratory Sciences	Advanced Practice	MSCLS
Clinical Lab Sciences	Cytopathology Practice		MCP
Clinical Lab Sciences	Medical Laboratory Science	1) Professional-entry, 2) MLT to MLS	BSMLS
Dental Hygiene	Dental Hygiene		BSDH
Dental Hygiene	Dental Hygiene		MDH
Health Informatics & Information Mgmt	Health Informatics & Information Mgmt	1) Entry Level 2) Post-Graduate	MHIIM
Health Informatics & Information Mgmt	Health Informatics & Information Mgmt		Gr Cert
Occupational Therapy	Occupational Therapy		MOT
Physical Therapy	Physical Therapy	1) Entry Level 2) Transitional	DPT
Physical Therapy	Physical Therapy	1) Musculoskeletal or 2) Neurological	MSPT
Physical Therapy	Physical Therapy Science		ScDPT
Physician Assistant Studies	Medical Science - Physician Assistant		MMS-PA
<b>College of Dentistry</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Dentistry	Dentistry	Interdisciplinary	DDS
<b>College of Graduate Health Science</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Speech & Hearing Science	Speech & Hearing Science	Audiology	PhD
Speech & Hearing Science	Speech & Hearing Science	Hearing Science	PhD
Speech & Hearing Science	Speech & Hearing Science	Speech and Language Pathology	PhD
Speech & Hearing Science	Speech & Hearing Science	Speech-Language Science	PhD
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Interdisciplinary	MS
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biomechanics	MS
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biomaterials and Regenerative Tech	MS
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biosensors and Electrophysiology	MS
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Bioimaging	MS
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Interdisciplinary	PhD
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biomechanics	PhD
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biomaterials and Regenerative Tech	PhD
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Biosensors and Electrophysiology	PhD
Biomedical Engineering & Imaging	Biomedical Engineering (Joint w/UM)	Bioimaging	PhD



**UTHSC Comprehensive List of Academic Programs  
June 2014**

<b>College of Graduate Health Science</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Dentistry	Dental Science	Orthodontics	MDS
Dentistry	Dental Science	Pediatric Dentistry	MDS
Dentistry	Dental Science	Periodontology	MDS
Dentistry	Dental Science	Prosthodontics	MDS
Dentistry	Dental Science	Endodontics	MDS
Interdepartmental	Biomedical Sciences	Cancer and Development Biology	MS
Interdepartmental	Biomedical Sciences	Cell Biology and Physiology	MS
Interdepartmental	Biomedical Sciences	Laboratory Research and Management	MS
Interdepartmental	Biomedical Sciences	Microbiology, Immunology and Biochemistry	MS
Interdepartmental	Biomedical Sciences	Molecular and Systems Pharmacology	MS
Interdepartmental	Biomedical Sciences	Neuroscience	MS
Interdepartmental	Biomedical Sciences	Cancer & Development Biology	PhD
Interdepartmental	Biomedical Sciences	Cell Biology & Physiology	PhD
Interdepartmental	Biomedical Sciences	Microbiology, Immunology and Biochemistry	PhD
Interdepartmental	Biomedical Sciences	Molecular and Systems Pharmacology	PhD
Interdepartmental	Biomedical Sciences	Neuroscience	PhD
Interdepartmental	Health Outcomes & Policy Research	Residency/Masters	MS
Interdepartmental	Health Outcomes & Policy Research	Health Systems Pharmacy Management	MS
Interdepartmental	Health Outcomes & Policy Research	Health Policy/Health Services Research	MS
Interdepartmental	Health Outcomes & Policy Research	Health Policy/Health Services Research	PhD
Interdepartmental	Health Outcomes & Policy Research	Pharmacoeconomics	PhD
Nursing Sciences	Nursing Sciences		PhD
Pharmaceutical Sciences	Pharmaceutical Sciences	Medicinal Chemistry	MS
Pharmaceutical Sciences	Pharmaceutical Sciences	Pharmaceutics	MS
Pharmaceutical Sciences	Pharmaceutical Sciences	Medicinal Chemistry	PhD
Pharmaceutical Sciences	Pharmaceutical Sciences	Pharmaceutics	PhD
Preventive Medicine	Epidemiology		MS
Pharmacology	Pharmacology		MS
		Clinical Research	Gr Cert
<b>College of Medicine</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Medicine/Ophthalmology		Orthopist Fellowship	Gr Cert
Medicine	Medicine		MD

**UTHSC Comprehensive List of Academic Programs  
June 2014**

<b>College of Nursing</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Nursing	Nursing	1) Accelerated BSN, 2) RN-to-BSN	BSN
Nursing	Nursing	Adult/Gerontology Acute Nurse Practitioner	DNP
Nursing	Nursing	Family Nurse Practitioner	DNP
Nursing	Nursing	Neonatal Nurse Practitioner	DNP
Nursing	Nursing	Nurse Anesthesia	DNP
Nursing	Nursing	Pediatric Nurse Practitioner	DNP
Nursing	Nursing	Psychiatric Mental Health Nursing	DNP
Nursing	Nursing	Clinical Nurse Leader	MSN
<b>College of Pharmacy</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Pharmacy		Nuclear Pharmacy	Gr Cert
Pharmacy	Pharmacy		PHARM.D

## Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

### UTK Comprehensive List of Academic Programs June 2014

College of Agricultural Sciences & Natural Resources			
Department	Major	Submajor	Degree
Agricultural and Resource Economics	Food & Agricultural Business	Ag Equipment Systems Management	BSARE
Agricultural and Resource Economics	Natural Resource & Environmental Econ.		BSARE
Agricultural and Resource Economics	Agricultural and Resource Economics		MS
Agricultural and Resource Economics	Agricultural and Resource Economics	Agribusiness	MS-MBA
Agricultural and Resource Economics	Agricultural and Resource Economics	Agricultural Economics	MS
Agricultural and Resource Economics	Agricultural and Resource Economics	Natural Resource Economics	MS
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Communications	BSAG
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Education	BSAG
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Extension Education	BSAG
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Leadership	BSAG
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Science	BSAG
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Communications	MS
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Education	MS
Agricultural Leadership, Educ. & Comm.	Agricultural Leadership, Educ. & Comm.	Agricultural Leadership	MS
Agriculture & Natural Resources (Int. Dept.)		International Agriculture and Natural Resources	
Animal Science	Animal Science		PhD
Animal Science	Animal Science		MS
Animal Science	Animal Science	Pre-Veterinary Medicine 3+1	BSAN
Animal Science	Animal Science	Animal Industries	BSAN
Animal Science	Animal Science	Bioscience	BSAN
Animal Science	Animal Science	Pre-Veterinary Medicine	BSAN
Biosystems Engineering & Soil Science	Biosystems Engineering		BSBSE
Biosystems Engineering & Soil Science	Biosystems Engineering		MS
Biosystems Engineering & Soil Science	Biosystems Engineering		PhD
Biosystems Engineering & Soil Science	Biosystems Engineering	Energy Science & Engineering	PhD
Biosystems Engineering & Soil Science	Biosystems Engineering	Pre-Professional	BSBSE
Biosystems Engineering & Soil Science	Biosystems Engineering Technology		MS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Agricultural Systems Technology	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Conservation Agriculture & Envir. Sustainability	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Construction Science	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Environmental Science	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Land Surveying	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Off-Road Vehicle Technology	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences	Soil Science	BSESS
Biosystems Engineering & Soil Science	Environmental & Soil Sciences		MS
Entomology & Plant Pathology	Entomology & Plant Pathology	Entomology	MS
Entomology & Plant Pathology	Entomology & Plant Pathology	Plant Pathology	MS
Food Science & Technology	Food Science & Technology		MS
Food Science & Technology	Food Science & Technology	Food Chemistry	PhD
Food Science & Technology	Food Science & Technology	Food Microbiology	PhD
Food Science & Technology	Food Science & Technology	Food Processing	PhD
Food Science & Technology	Food Science & Technology	Pre-Pharmacy	BSFS
Food Science & Technology	Food Science & Technology	Pre-Pharmacy 3+1	BSFS
Food Science & Technology	Food Science & Technology	Pre-Professional	BSFS
Food Science & Technology	Food Science & Technology	Pre-Professional 3+1	BSFS
Food Science & Technology	Food Science & Technology	Science	BSFS
Food Science & Technology	Food Science & Technology	Technology	BSFS
Forestry, Wildlife, Fisheries	Forestry		MS
Forestry, Wildlife, Fisheries	Forestry	Bio-Based Products & Wood Science & Technology	MS
Forestry, Wildlife, Fisheries	Forestry	Forest Resources Management	BSF
Forestry, Wildlife, Fisheries	Forestry	Wildland Recreation	BSF
Forestry, Wildlife, Fisheries	Forestry	Urban Forestry	BSF
Forestry, Wildlife, Fisheries	Natural Resources	Bio-Based Products & Wood Science & Technology	PhD
Forestry, Wildlife, Fisheries	Natural Resources	Natural Resource Economics	PhD

**Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs**

**UTK Comprehensive List of Academic Programs  
June 2014**

<b>College of Agricultural Sciences &amp; Natural Resources</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Forestry, Wildlife, Fisheries	Natural Resources	Wildlife Health	PhD
Forestry, Wildlife, Fisheries	Wildlife & Fisheries Science	Wildlife & Fisheries Management	BSWFS
Forestry, Wildlife, Fisheries	Wildlife & Fisheries Science	Wildlife Health	BSWFS
Forestry, Wildlife, Fisheries	Wildlife & Fisheries Science		MS
Forestry, Wildlife, Fisheries	Wildlife & Fisheries Science	Wildlife Health	MS
Interdepartmental	Plants, Soils, and Insects	Bioactive Natural Products	PhD
Interdepartmental	Plants, Soils, and Insects	Crop Sciences	PhD
Interdepartmental	Plants, Soils, and Insects	Entomology	PhD
Interdepartmental	Plants, Soils, and Insects	Environmental and Soil Sciences	PhD
Interdepartmental	Plants, Soils, and Insects	Horticulture	PhD
Interdepartmental	Plants, Soils, and Insects	Integrated Pest Management	PhD
Interdepartmental	Plants, Soils, and Insects	Plant Breeding	PhD
Interdepartmental	Plants, Soils, and Insects	Plant Pathology	PhD
Interdepartmental	Plants, Soils, and Insects	Weed Science	PhD
Interdepartmental	Plants, Soils, and Insects	Plant Molecular Genetics	PhD
Plant Sciences	Plant Sciences		MS
Plant Sciences	Plant Sciences	Crop Sciences	MS
Plant Sciences	Plant Sciences	Horticulture	MS
Plant Sciences	Plant Sciences	Plant Breeding	MS
Plant Sciences	Plant Sciences	Plant Molecular Genetics	MS
Plant Sciences	Plant Sciences	Weed Science	MS
Plant Sciences	Plant Sciences	Landscape Design	BSPS
Plant Sciences	Plant Sciences	Bioenergy	BSPS
Plant Sciences	Plant Sciences	Biotechnology	BSPS
Plant Sciences	Plant Sciences	Horticulture Science & Production	BSPS
Plant Sciences	Plant Sciences	Organic Production	BSPS
Plant Sciences	Plant Sciences	Public Horticulture	BSPS
Plant Sciences	Plant Sciences	Turfgrass Science & Management	BSPS

<b>College of Architecture &amp; Design</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Architecture, School of	Architecture		BArch
Architecture, School of	Architecture	Conservation and Stewardship	MArch
Architecture, School of	Architecture	High Performance Buildings	MArch
Architecture, School of	Architecture	Sustainable Design	MArch
Architecture, School of	Architecture	Urban Design	MArch
Architecture, School of	Conservation and Stewardship		Gr Cert
Architecture, School of	High Performance Buildings		Gr Cert
Architecture, School of	Sustainable Design		Gr Cert
Architecture, School of	Urban Design		Gr Cert
Interior Design	Interior Design		BSID
Landscape Architecture Program	Landscape Architecture		MALA
Landscape Architecture Program	Landscape Architecture		MSLA
Landscape Architecture Program	Landscape Architecture	Track 1 (first professional degree track)	MLA
Landscape Architecture Program	Landscape Architecture	Track 2 (post-professional degree track)	MLA

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2014

College of Arts & Sciences			
Department	Major	Submajor	Degree
Anthropology	Anthropology		BA
Anthropology	Anthropology	Honors Anthropology	BA
Anthropology	Anthropology	Disasters, Displacement, & Human Rights	BA
Anthropology	Anthropology	Archaeology	MA
Anthropology	Anthropology	Biological Anthropology	MA
Anthropology	Anthropology	Cultural Anthropology	MA
Anthropology	Anthropology	Mediterranean Archaeology	MA
Anthropology	Anthropology	Zooarchaeology	MA
Anthropology	Anthropology	Archaeology	PhD
Anthropology	Anthropology	Biological Anthropology	PhD
Anthropology	Anthropology	Cultural Anthropology	PhD
Anthropology	Anthropology	Zooarchaeology	PhD
Anthropology	Disasters, Displacement & Human Rights		Gr Cert
Art, School of	Art History		BA
Art, School of	Art		BA
Art, School of	Graphic Design		BFA
Art, School of	Studio Art	Two-Dimensional Arts	BFA
Art, School of	Studio Art	Three-Dimensional Arts	BFA
Art, School of	Studio Art	Four-Dimensional Arts	BFA
Art, School of	Art	Ceramics	MFA
Art, School of	Art	Painting/Drawing	MFA
Art, School of	Art	Transmedia Design	MFA
Art, School of	Art	Printmaking	MFA
Art, School of	Art	Sculpture	MFA
Biochem & Cellular & Molecular Biology	Biochem & Cellular & Molecular Biology		MS
Biochem & Cellular & Molecular Biology	Biochem & Cellular & Molecular Biology		PhD
Biology, Division of	Biological Sciences	Biochem & Cellular & Molecular Biology	BS
Biology, Division of	Biological Sciences	Ecology & Evolutionary Biology	BS
Biology, Division of	Biological Sciences	Honors BioChem & Cellular & Molecular Biology	BS
Biology, Division of	Biological Sciences	Honors Ecology & Evolutionary Biology	BS
Biology, Division of	Biological Sciences	Honors Microbiology	BS
Biology, Division of	Biological Sciences	Microbiology	BS
Chemistry	Chemistry		BS
Chemistry	Chemistry	Honors Chemistry	BS
Chemistry	Chemistry		BSCH
Chemistry	Chemistry	Honors Chemistry	BSCH
Chemistry	Chemistry	Analytical Chemistry	MS
Chemistry	Chemistry	Environmental Chemistry	MS
Chemistry	Chemistry	Inorganic Chemistry	MS
Chemistry	Chemistry	Organic Chemistry	MS
Chemistry	Chemistry	Physical Chemistry	MS
Chemistry	Chemistry	Polymer Chemistry	MS
Chemistry	Chemistry	Analytical Chemistry	PhD
Chemistry	Chemistry	Chemical Physics	PhD
Chemistry	Chemistry	Environmental Chemistry	PhD
Chemistry	Chemistry	Inorganic Chemistry	PhD
Chemistry	Chemistry	Organic Chemistry	PhD
Chemistry	Chemistry	Physical Chemistry	PhD
Chemistry	Chemistry	Polymer Chemistry	PhD
Chemistry	Chemistry	Theoretical Chemistry	PhD
Chemistry	Chemistry	Radiochemistry Graduate Certificate	Gr Cert
Classics	Classics	Classical Archaeology	BA
Classics	Classics	Honors Classical Archaeology	BA
Classics	Classics	Classical Civilization	BA
Classics	Classics	Greek	BA
Classics	Classics	Honors Classical Civilization	BA
Classics	Classics	Honors Greek	BA
Classics	Classics	Honors Latin	BA
Classics	Classics	Latin	BA

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2014

College of Arts & Sciences			
Department	Major	Submajor	Degree
College Scholars Program	College Scholars Program		BA
Earth & Planetary Sciences	Geology & Environmental Studies	Environmental Studies	BS
Earth & Planetary Sciences	Geology & Environmental Studies	Honors Environmental Studies	BS
Earth & Planetary Sciences	Geology & Environmental Studies	Geology	BS
Earth & Planetary Sciences	Geology & Environmental Studies	Honors Geology	BS
Earth & Planetary Sciences	Geology		MS
Earth & Planetary Sciences	Geology		PhD
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Behavior	MS
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Ecology	MS
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Evolutionary Biology	MS
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Behavior	PhD
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Ecology	PhD
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Evolutionary Biology	PhD
Ecology & Evolutionary Biology	Ecology & Evolutionary Biology	Energy Science & Engineering	PhD
Economics (Intercollegiate)	Economics		BA
Economics (Intercollegiate)	Economics	Honors Economics	BA
English	English	Creative Writing	BA
English	English	Honors Creative Writing	BA
English	English	Honors Individualized Program	BA
English	English	Honors Literature	BA
English	English	Honors Rhetoric & Writing	BA
English	English	Honors Technical Communication	BA
English	English	Individualized Program	BA
English	English	Literature	BA
English	English	Rhetoric & Writing	BA
English	English	Technical Communication	BA
English	English		MA
English	English	Creative Writing	MA
English	English	Rhetoric, Writing & Linguistics	MA
English	Creative Writing		MFA
English	English	Creative Writing	PhD
English	English	Literature, Criticism & Textual Studies	PhD
English	English	Rhetoric, Writing & Linguistics	PhD
Geography	Geography		BA
Geography	Geography	Honors Geography	BA
Geography	Geography		MS
Geography	Geography		PhD
History	History		BA
History	History	Honors History	BA
History	History		MA
History	History	American	PhD
History	History	European	PhD
Interdepartmental/Intercollegiate	Life Sciences	Genome Science and Technology	MS
Interdepartmental/Intercollegiate	Life Sciences	Genome Science and Technology	PhD
Interdepartmental/Intercollegiate	Life Sciences	Plant Physiology & Genetics	MS
Interdepartmental/Intercollegiate	Life Sciences	Plant Physiology & Genetics	PhD
Interdisciplinary Programs	Interdisciplinary Programs	Africana Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	American Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Asian Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Cinema Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Honors Cinema Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Comparative Literature	BA
Interdisciplinary Programs	Interdisciplinary Programs	Global Studies - Global Society & Culture Track	BA
Interdisciplinary Programs	Interdisciplinary Programs	Global Studies - Global Politics & Economy Track	BA
Interdisciplinary Programs	Interdisciplinary Programs	Judaic Studies	BA
Interdisciplinary Programs	Interdisciplinary Programs	Latin Amer. & Caribbean Studies-Brazilian Studies Track	BA
Interdisciplinary Programs	Interdisciplinary Programs	Latin Amer. & Caribbean Studies -General Studies Track	BA
Interdisciplinary Programs	Interdisciplinary Programs	Linguistics	BA
Interdisciplinary Programs	Interdisciplinary Programs	Medieval & Renaissance Studies	BA

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2014

College of Arts & Sciences			
Department	Major	Submajor	Degree
Interdisciplinary Programs	Interdisciplinary Programs	Neuroscience	BA
Interdisciplinary Programs	Interdisciplinary Programs	Honors Neuroscience	BA
Interdisciplinary Programs	Interdisciplinary Programs	Sustainability	BA
Interdisciplinary Programs	Interdisciplinary Programs	Women's Studies	BA
Interdisciplinary Programs	Africana Studies		Gr Cert
Interdisciplinary Programs	Social Theory		Gr Cert
Interdisciplinary Programs	Linguistics		Gr Cert
Interdisciplinary Programs	Medieval Studies		Gr Cert
Interdisciplinary Programs	Women's Studies		Gr Cert
Mathematics	Mathematics		BS
Mathematics	Mathematics	Honors Mathematics	BS
Mathematics	Mathematics		MM
Mathematics	Mathematics		MS
Mathematics	Mathematics	Applied Mathematics	MS
Mathematics	Mathematics		PhD
Mathematics	Mathematics	Mathematical Ecology/Evolution	PhD
Microbiology	Microbiology		MS
Microbiology	Microbiology		PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	French & Francophone Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Honors French & Francophone Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business-French & Franc. Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Chinese	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Japanese	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Portuguese	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Honors German	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - German	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	German Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	German Language & Literature	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Hispanic Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Hispanic Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Italian	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Italian	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Russian Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Honors Russian Studies	BA
Modern Foreign Languages & Literatures	Modern Foreign Languages & Literature	Language & World Business - Russian Studies	BA
Modern Foreign Languages & Literatures	French		MA
Modern Foreign Languages & Literatures	German		MA
Modern Foreign Languages & Literatures	Spanish		MA
Modern Foreign Languages & Literatures	Modern Foreign Languages	Applied Linguistics (2nd Concentration Only)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	French (1st & 2nd Concentration)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	German (1st & 2nd Concentration)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	Italian (2nd Concentration Only)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	Latin American Studies (2nd Concentration Only)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	Portuguese (2nd Concentration Only)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	Russian (2nd Concentration Only)	PhD
Modern Foreign Languages & Literatures	Modern Foreign Languages	Spanish (1st & 2nd Concentration)	PhD
Music, School of	Artist Certificate in Music		Gr Cert
Music, School of	Music Theory Pedagogy		Gr Cert
Music, School of	Music		BA
Music, School of	Music	Applied Music	BA
Music, School of	Music	Music and Culture	BA
Music, School of	Music	Music ED - String Emphasis	BM
Music, School of	Music	Music ED - Woodwind/Brass/Percussion Emphasis	BM
Music, School of	Music	Music ED - Vocal Gen Keybd Emphasis	BM
Music, School of	Music	Music ED - Vocal Gen Vocal Emphasis	BM
Music, School of	Music	Organ	BM
Music, School of	Music	Piano	BM
Music, School of	Music	Piano Pedagogy	BM
Music, School of	Music	Sacred Music - Organ Track	BM
Music, School of	Music	Sacred Music - Piano Track	BM
Music, School of	Music	Sacred Music - Voice Track	BM

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2014

College of Arts & Sciences			
Department	Major	Submajor	Degree
Music, School of	Music	Strings	BM
Music, School of	Music	Studio Music and Jazz	BM
Music, School of	Music	Theory/ Composition	BM
Music, School of	Music	Voice	BM
Music, School of	Music	Brass Instruments	BM
Music, School of	Music	Woodwind and Percussion Instruments	BM
Music, School of	Music	Choral Conducting	MMU
Music, School of	Music	Collaborative Piano	MMU
Music, School of	Music	Composition	MMU
Music, School of	Music	Instrumental Conducting	MMU
Music, School of	Music	Jazz	MMU
Music, School of	Music	Music Education	MMU
Music, School of	Music	Music Theory	MMU
Music, School of	Music	Musicology	MMU
Music, School of	Music	Performance	MMU
Music, School of	Music	Piano Pedagogy	MMU
Music, School of	Music	Vocal Pedagogy	MMU
Philosophy	Philosophy		BA
Philosophy	Philosophy	Honors Philosophy	BA
Philosophy	Philosophy	Legal & Political Philosophy	BA
Philosophy	Philosophy	Honors Legal & Political Philosophy	BA
Philosophy	Philosophy	Philosophy of Science & Medicine	BA
Philosophy	Philosophy	Honors Philosophy of Science & Medicine	BA
Philosophy	Philosophy		MA
Philosophy	Philosophy		PhD
Physics & Astronomy	Physics	Academic	BS
Physics & Astronomy	Physics	Astronomy	BS
Physics & Astronomy	Physics	General	BS
Physics & Astronomy	Physics	Honors Academic	BS
Physics & Astronomy	Physics	Honors Astronomy	BS
Physics & Astronomy	Physics	Astrophysics	MS
Physics & Astronomy	Physics	Atomic, Molec., Optical & Low Temp. Physics	MS
Physics & Astronomy	Physics	Biophysics	MS
Physics & Astronomy	Physics	Chemical Physics	MS
Physics & Astronomy	Physics	Condensed Matter & Surface Physics	MS
Physics & Astronomy	Physics	Elementary Particle Physics	MS
Physics & Astronomy	Physics	Geophysics	MS
Physics & Astronomy	Physics	Mathematical & Computational Physics	MS
Physics & Astronomy	Physics	Nuclear & Relativistic Heavy Ion Physics	MS
Physics & Astronomy	Physics	Theoretical Physics	MS
Physics & Astronomy	Physics	Astrophysics	PhD
Physics & Astronomy	Physics	Atomic, Molec., Optical & Low Temp. Physics	PhD
Physics & Astronomy	Physics	Biophysics	PhD
Physics & Astronomy	Physics	Chemical Physics	PhD
Physics & Astronomy	Physics	Condensed Matter & Surface Physics	PhD
Physics & Astronomy	Physics	Elementary Particle Physics	PhD
Physics & Astronomy	Physics	Energy Science & Engineering	PhD
Physics & Astronomy	Physics	Mathematical & Computational Physics	PhD
Physics & Astronomy	Physics	Nanomaterials	PhD
Physics & Astronomy	Physics	Nuclear & Relativistic Heavy Ion Physics	PhD
Physics & Astronomy	Physics	Theoretical Physics	PhD
Political Science	Political Science		BA
Political Science	Political Science	Honors Political Science	BA
Political Science	Political Science	Public Administration	BA
Political Science	Political Science		MA
Political Science	Political Science		PhD
Political Science	Public Policy & Administration		MPPA
Political Science	Public Policy & Administration	Dual JD-MPPA Program	MPPA- JD
Political Science	Global Security Studies		Gr Cert



**Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs**

**UTK Comprehensive List of Academic Programs**  
June 2014

<b>College of Arts &amp; Sciences</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Pre-Professional Programs	Medical Laboratory Science		BS
Pre-Professional Programs	Pre-Professional Programs	Pre-Dentistry	BS
Pre-Professional Programs	Pre-Professional Programs	Pre-Medicine	BS
Pre-Professional Programs	Pre-Professional Programs	Pre-Pharmacy	BS
Pre-Professional Programs	Pre-Professional Programs	Pre-Veterinary Medicine	BS
Psychology	Psychology		BA
Psychology	Psychology	Honors Psychology	BA
Psychology	Psychology	Experimental Psychology	MA
Psychology	Psychology	General Psychology	MA
Psychology	Psychology	Clinical Psychology	PhD
Psychology	Psychology	Counseling Psychology	PhD
Psychology	Psychology	Experimental Psychology	PhD
Religious Studies	Religious Studies		BA
Religious Studies	Religious Studies	Honors Religious Studies	BA
Sociology	Sociology		BA
Sociology	Sociology	Criminology & Criminal Justice	BA
Sociology	Sociology	Environmental Issues	BA
Sociology	Sociology	Honors Sociology	BA
Sociology	Sociology	Criminology	MA
Sociology	Sociology	Environmental Sociology	MA
Sociology	Sociology	Political Economy	MA
Sociology	Sociology	Criminology	PhD
Sociology	Sociology	Environmental Sociology	PhD
Sociology	Sociology	Political Economy	PhD
Statistics (intercollegiate)	Statistics		BS
Theatre	Theatre		BA
Theatre	Theatre	Honors Theatre	BA
Theatre	Theatre	Acting	MFA
Theatre	Theatre	Costume Design	MFA
Theatre	Theatre	Lighting Design	MFA
Theatre	Theatre	Scene Design	MFA

<b>College of Business Administration</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Accounting & Information Management	Accounting	Collateral Option	BSBA
Accounting & Information Management	Accounting	Dual Concentration International Business	BSBA
Accounting & Information Management	Accounting	Audit and Controls	Macc
Accounting & Information Management	Accounting	Taxation	Macc
Business Administration (Intercollegiate)	Public Administration		BSBA
Economics	Economics	Collateral Option	BSBA
Economics	Economics	Dual Concentration International Business	BSBA
Economics	Economics	Traditional Option	BSBA
Economics	Economics		MA
Economics	Economics		PhD
Finance	Finance	Collateral Option	BSBA
Finance	Finance	Dual Concentration Internal Auditing	BSBA
Finance	Finance	Dual Concentration International Business	BSBA
Finance	Finance	Dual Concentration Business Analytics	BSBA
Interdepartmental	Business Administration	Aerospace & Defense MBA	MBA
Interdepartmental	Business Administration	Business Analytics	MBA
Interdepartmental	Business Administration	Dual JD-MBA Program	MBA-JD
Interdepartmental	Business Administration	Dual MS-MBA Program	MBA-MS
Interdepartmental	Business Administration	Dual Pharm D- MBA	PharmD-MBA
Interdepartmental	Business Administration	Executive MBA	MBA
Interdepartmental	Business Administration	Finance	MBA
Interdepartmental	Business Administration	Entrepreneurship and Innovation	MBA
Interdepartmental	Business Administration	Human Resource Management	MBA
Interdepartmental	Business Administration	Supply Chain Management	MBA
Interdepartmental	Business Administration	Marketing	MBA
Interdepartmental	Business Administration	Operations Management	MBA
Interdepartmental	Business Administration	Physician Executive MBA	MBA

**Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs**

**UTK Comprehensive List of Academic Programs**  
June 2014

<b>College of Business Administration</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Interdepartmental	Business Administration	Professional MBA	MBA
interdepartmental	Business Administration	Accounting	PhD
Interdepartmental	Business Administration	Finance	PhD
Interdepartmental	Business Administration	Supply Chain Management	PhD
interdepartmental	Business Administration	Organizations & Strategy	PhD
Interdepartmental	Business Administration	Marketing	PhD
Interdepartmental	Business Administration	Statistics	PhD
Management	Human Resource Management	Collateral Option	BSBA
Management	Human Resource Management	Dual Concentration International Business	BSBA
Management	Human Resource Management		MS
Management	Management	Collateral Option	BSBA
Management	Management	Dual Concentration International Business	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Collateral Option	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Dual Concentration Information Management	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Dual Concentration Internal Auditing	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Dual Concentration International Business	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Dual Concentration Marketing	BSBA
Marketing & Supply Chain Management	Supply Chain Management	Dual Concentration Business Analytics	BSBA
Marketing & Supply Chain Management	Marketing	Collateral Option	BSBA
Marketing & Supply Chain Management	Marketing	Dual Concentration Information Management	BSBA
Marketing & Supply Chain Management	Marketing	Dual Concentration Internal Auditing	BSBA
Marketing & Supply Chain Management	Marketing	Dual Concentration International Business	BSBA
Marketing & Supply Chain Management	Marketing	Dual Concentration Supply Chain Management	BSBA
Marketing & Supply Chain Management	Marketing	Dual Concentration Business Analytics	BSBA
Business Analytics & Statistics	Management Science		PhD
Business Analytics & Statistics	Business Analytics	Collateral Option	BSBA
Business Analytics & Statistics	Business Analytics	Dual Concentration Information Management	BSBA
Business Analytics & Statistics	Business Analytics	Dual Concentration International Business	BSBA
Business Analytics & Statistics	Business Analytics	Dual Concentration Supply Chain Management	BSBA
Business Analytics & Statistics	Business Analytics	Dual Concentration Marketing	BSBA
Business Analytics & Statistics	Business Analytics		MS
Business Analytics & Statistics	Applied Statistical Strategies		Gr Cert
Business Analytics & Statistics	Statistics		MS
Statistics, Operations & Mgmt. Science	Statistics	Industrial Statistics	MS
Business Analytics & Statistics			
<b>College of Communication &amp; Information</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Advertising & Public Relations, School of	Advertising		BSC
Advertising & Public Relations, School of	Public Relations		BSC
Communication Studies, School of	Communication Studies		BAC
Communication Studies, School of	Communication Studies	Honors Communication Studies	BAC
Journalism & Electronic Media, School of	Journalism & Electronic Media		BSC
Information Sciences, School of	Information Sciences		MS
Interdepartmental	Communication & Information	Advertising	MS
Interdepartmental	Communication & Information	Communication Studies	MS
Interdepartmental	Communication & Information	Journalism & Electronic Media	MS
Interdepartmental	Communication & Information	Public Relations	MS
Interdepartmental	Communication & Information	Advertising	PhD
Interdepartmental	Communication & Information	Communication Studies	PhD
Interdepartmental	Communication & Information	Information Sciences	PhD
Interdepartmental	Communication & Information	Journalism & Electronic Media	PhD
Interdepartmental	Communication & Information	Public Relations	PhD

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2014

College of Education, Health & Human Sciences			
Department	Major	Submajor	Degree
Child & Family Studies	Child & Family Studies	General-Emphasis	MS
Child & Family Studies	Child & Family Studies	Teacher-Licensure (PreK-3)	MS
Child & Family Studies	Child & Family Studies		BSHHS
Child & Family Studies	Child & Family Studies		PhD
Educational Leadership & Policy Studies	College Student Personnel		MS
Educational Leadership & Policy Studies	Educational Administration		MS
Educational Leadership & Policy Studies	Educational Administration	Leadership Academy	MS
Educational Leadership & Policy Studies	Education	Educational Administration	EdS
Educational Leadership & Policy Studies	Higher Education Administration		PhD
Educational Leadership & Policy Studies	Educational Administration (PreK-12)		Gr Cert
Educational Leadership & Policy Studies	University Research Administration		Gr Cert
Educational Psychology & Counseling	Counseling	Clinical Mental Health Counseling	MS
Educational Psychology & Counseling	Counseling	Rehabilitation Counseling	MS
Educational Psychology & Counseling	Counseling	School Counseling	MS
Educational Psychology & Counseling	Educational Psychology	Adult Education	MS
Educational Psychology & Counseling	Educational Psychology	Applied Educational Psychology	MS
Educational Psychology & Counseling	School Psychology		PhD
Educational Psychology & Counseling	Counselor Education		PhD
Educational Psychology & Counseling	Education	Cultural Studies of Educational Foundations	MS
Educational Psychology & Counseling	Education	Instructional Technology	MS
Educational Psychology & Counseling	Educational Psychology & Research		PhD
Educational Psychology & Counseling	Educational Psychology & Research	Adult Learning	PhD
Educational Psychology & Counseling	Educational Psychology & Research	Evaluation, Statistics, and Measurement	PhD
Educational Psychology & Counseling	Evaluation, Statistics, and Measurement		Gr Cert
Educational Psychology & Counseling	Grief, Loss, and Trauma		Gr Cert
Educational Psychology & Counseling	Qualitative Research Methods in Educations		Gr Cert
Educational Psychology & Counseling	Cultural Studies in Education		Gr Cert
Educational Psychology & Counseling	Online Teaching & Learning		Gr Cert
Kinesiology, Recreation, & Sport Studies	Kinesiology		BSEd
Kinesiology, Recreation, & Sport Studies	Kinesiology	Biomechanics	MS
Kinesiology, Recreation, & Sport Studies	Kinesiology	Exercise Physiology	MS
Kinesiology, Recreation, & Sport Studies	Kinesiology	Sport Psychology and Motor Behavior	MS
Kinesiology, Recreation, & Sport Studies	Kinesiology and Sport Studies	Kinesiology	PhD
Kinesiology, Recreation, & Sport Studies	Kinesiology and Sport Studies	Sport Studies	PhD
Kinesiology, Recreation, & Sport Studies	Recreation and Sport Management	Sport Management	BSEd
Kinesiology, Recreation, & Sport Studies	Recreation and Sport Management	Therapeutic Recreation	BSEd
Kinesiology, Recreation, & Sport Studies	Recreation and Sport Management	Sport Management	MS
Kinesiology, Recreation, & Sport Studies	Recreation and Sport Management	Therapeutic Recreation	MS
Interdepartmental	Education	Health Behavior and Health Education	PhD
Interdepartmental	Education	Leadership Studies in Education	PhD
Interdepartmental	Education	Learning Environments and Educational Studies	PhD
Interdepartmental	Education	Literacy Studies	PhD
Interdepartmental	Education	Special Education	PhD
Interdepartmental	Education	Teacher Education	PhD
Nutrition	Nutrition		BSHHS
Nutrition	Nutrition	Dietics	BSHHS
Nutrition	Nutrition	Basic Science	BSHHS
Nutrition	Nutrition	Dual MS-MPH Program	MS-MPH
Nutrition	Nutrition	Cellular and Molecular Nutrition	MS
Nutrition	Nutrition	Public Health Nutrition	MS
Nutrition	Nutritional Sciences		PhD
Nutrition	Nutritional Sciences	Cellular and Molecular Nutrition	PhD
Nutrition	Nutritional Sciences	Community Nutrition	PhD
Public Health	Public Health	Community Health Education	MPH
Public Health	Public Health	Dual MS-MPH Program	MS-MPH
Public Health	Public Health	Health Policy & Management	MPH
Public Health	Public Health Leadership		Gr Cert
Public Health	Food Safety		Gr Cert
Public Health	Public Health	Health Policy & Management	JD-MPH
Public Health	Public Health	Veterinary Public Health	MPH

**Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs**

**UTK Comprehensive List of Academic Programs**  
June 2014

<b>College of Education, Health &amp; Human Sciences</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Retail, Hospitality, & Tourism Management	Retail, Hospitality, & Tourism Management		PhD
Retail, Hospitality, & Tourism Management	Hotel, Restaurant, & Tourism		BSRHTM
Retail, Hospitality, & Tourism Management	Retail & Consumer Sciences		BSRHTM
Theory & Practice in Teacher Education	Art Education		
Theory & Practice in Teacher Education	Audiology & Speech Pathology		BSEd
Theory & Practice in Teacher Education	Special Education	Education of the Deaf & Hard of Hearing	BSEd
Theory & Practice in Teacher Education	Special Education	Educational Interpreting	BSEd
Theory & Practice in Teacher Education	Special Education	Modified & Comprehensive Special Education with K-5	BSEd
Theory & Practice in Teacher Education	Special Education	Modified & Comprehensive Special Education with 6-12	BSEd
Theory & Practice in Teacher Education	Teacher Education	Elementary Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Educational Technology	EdS
Theory & Practice in Teacher Education	Teacher Education	English Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Foreign Language/ESL Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Mathematics Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Reading Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Science Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Social Science Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Special Education	EdS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Art Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Educ of the Deaf & Hard of Hearing	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Elementary Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- English Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Foreign Language/ESL Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Mathematics Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Reading Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Science Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Science Education (Informal Education)	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Social Science Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Special Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 1- Science, Tech, Engineering & Mathematics	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2- Art Education	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2- Educ of the Deaf & Hard of Hearing	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2- Elementary Teaching	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2- English Language Learning	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2- Middle Grades Teaching	MS
Theory & Practice in Teacher Education	Teacher Education	Track 2- Secondary Teaching	MS
Theory & Practice in Teacher Education	Urban Education		Gr Cert

<b>College of Engineering</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Chemical & Biomolecular Engineering	Chemical Engineering		BSCHE
Chemical & Biomolecular Engineering	Chemical Engineering	Biomolecular Engineering	BSCHE
Chemical & Biomolecular Engineering	Chemical Engineering	Honors Biomolecular Engineering	BSCHE
Chemical & Biomolecular Engineering	Chemical Engineering	Honors Chemical Engineering	BSCHE
Chemical & Biomolecular Engineering	Chemical Engineering		MS
Chemical & Biomolecular Engineering	Chemical Engineering	Dual MS-MBA Program	MS-MBA
Chemical & Biomolecular Engineering	Chemical Engineering	Energy Science and Engineering	PhD
Chemical & Biomolecular Engineering	Chemical Engineering		PhD
Chemical & Biomolecular Engineering	Sustainability Science		Gr Cert
Civil & Environmental Engineering	Civil Engineering		BSCE
Civil & Environmental Engineering	Civil Engineering	Honors Civil Engineering	BSCE
Civil & Environmental Engineering	Civil Engineering	Construction Engineering	MS
Civil & Environmental Engineering	Civil Engineering	Geotechnical/ Materials Engineering	MS
Civil & Environmental Engineering	Civil Engineering	Public Works Engineering	MS
Civil & Environmental Engineering	Civil Engineering	Structural Engineering	MS

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2014

College of Engineering			
Department	Major	Submajor	Degree
Civil & Environmental Engineering	Civil Engineering	Transportation Engineering	MS
Civil & Environmental Engineering	Civil Engineering	Dual MS-MBA Program	MS-MBA
Civil & Environmental Engineering	Civil Engineering	Climate Impacts Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Construction Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Energy Science and Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Environmental Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Geotechnical/Materials Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Public Works Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Structural Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Transportation Engineering	PhD
Civil & Environmental Engineering	Civil Engineering	Water Resources Engineering	PhD
Civil & Environmental Engineering	Environmental Engineering	Water Resources Engineering	MS
Civil & Environmental Engineering	Environmental Engineering	Dual MS-MBA Program	MS-MBA
Electrical Eng & Computer Science	Computer Engineering		BSCOME
Electrical Eng & Computer Science	Computer Engineering	Honors Computer Engineering	BSCOME
Electrical Eng & Computer Science	Computer Engineering	Computer Architecture	MS
Electrical Eng & Computer Science	Computer Engineering	Computer Networks	MS
Electrical Eng & Computer Science	Computer Engineering	Computer Vision	MS
Electrical Eng & Computer Science	Computer Engineering	Data Fusion	MS
Electrical Eng & Computer Science	Computer Engineering	Data Structures	MS
Electrical Eng & Computer Science	Computer Engineering	Data Visualization	MS
Electrical Eng & Computer Science	Computer Engineering	Dual MS-MBA Program	MS-MBA
Electrical Eng & Computer Science	Computer Engineering	Embedded Systems	MS
Electrical Eng & Computer Science	Computer Engineering	Image Processing	MS
Electrical Eng & Computer Science	Computer Engineering	Information Systems	MS
Electrical Eng & Computer Science	Computer Engineering	VLSI System Design	MS
Electrical Eng & Computer Science	Computer Engineering	Computer Architecture	PhD
Electrical Eng & Computer Science	Computer Engineering	Computer Networks	PhD
Electrical Eng & Computer Science	Computer Engineering	Computer Vision	PhD
Electrical Eng & Computer Science	Computer Engineering	Data Fusion	PhD
Electrical Eng & Computer Science	Computer Engineering	Data Structures	PhD
Electrical Eng & Computer Science	Computer Engineering	Data Visualization	PhD
Electrical Eng & Computer Science	Computer Engineering	Embedded Systems	PhD
Electrical Eng & Computer Science	Computer Engineering	Energy Science and Engineering	PhD
Electrical Eng & Computer Science	Computer Engineering	Image Processing	PhD
Electrical Eng & Computer Science	Computer Engineering	Information Systems	PhD
Electrical Eng & Computer Science	Computer Engineering	VLSI System Design	PhD
Electrical Eng & Computer Science	Computer Science		BSCS
Electrical Eng & Computer Science	Computer Science	Honors Computer Science	BSCS
Electrical Eng & Computer Science	Computer Science		MS
Electrical Eng & Computer Science	Computer Science	Dual MS-MBA Program	MS-MBA
Electrical Eng & Computer Science	Computer Science		PhD
Electrical Eng & Computer Science	Computer Science	Energy Science and Engineering	PhD
Electrical Eng & Computer Science	Electrical Engineering		BSEE
Electrical Eng & Computer Science	Electrical Engineering	Honors Electrical Engineering	BSEE
Electrical Eng & Computer Science	Electrical Engineering	Power & Energy Systems	BSEE
Electrical Eng & Computer Science	Electrical Engineering	Honors Power & Energy Systems	BSEE
Electrical Eng & Computer Science	Electrical Engineering	Circuit Theory	MS
Electrical Eng & Computer Science	Electrical Engineering	Communication Theory	MS
Electrical Eng & Computer Science	Electrical Engineering	Computers	MS
Electrical Eng & Computer Science	Electrical Engineering	Control Systems	MS
Electrical Eng & Computer Science	Electrical Engineering	Dual MS-MBA Program	MS-MBA
Electrical Eng & Computer Science	Electrical Engineering	Electro-Optics	MS
Electrical Eng & Computer Science	Electrical Engineering	Electromagnetic Theory	MS
Electrical Eng & Computer Science	Electrical Engineering	Plasma Engineering	MS
Electrical Eng & Computer Science	Electrical Engineering	Power Electronics	MS
Electrical Eng & Computer Science	Electrical Engineering	Power Systems	MS
Electrical Eng & Computer Science	Electrical Engineering	Solid-State Electronics	MS
Electrical Eng & Computer Science	Electrical Engineering	Circuit Theory	PhD
Electrical Eng & Computer Science	Electrical Engineering	Communication Theory	PhD
Electrical Eng & Computer Science	Electrical Engineering	Computers	PhD
Electrical Eng & Computer Science	Electrical Engineering	Control Systems	PhD
Electrical Eng & Computer Science	Electrical Engineering	Electro-optics	PhD
Electrical Eng & Computer Science	Electrical Engineering	Electromagnetic Theory	PhD
Electrical Eng & Computer Science	Electrical Engineering	Energy Science and Engineering	PhD
Electrical Eng & Computer Science	Electrical Engineering	Plasma Engineering	PhD
Electrical Eng & Computer Science	Electrical Engineering	Power Electronics	PhD
Electrical Eng & Computer Science	Electrical Engineering	Power Systems	PhD
Electrical Eng & Computer Science	Electrical Engineering	Solid-State Electronics	PhD
Electrical Eng & Computer Science	Fire Protection Engineering		Gr Cert
Electrical Eng & Computer Science	Power and Energy Systems		Gr Cert

Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs

UTK Comprehensive List of Academic Programs  
June 2014

College of Engineering			
Department	Major	Submajor	Degree
Industrial & Systems Engineering	Engineering Management		Gr Cert
Industrial & Systems Engineering	Industrial Engineering		BSIE
Industrial & Systems Engineering	Industrial Engineering	Honors Industrial Engineering	BSIE
Industrial & Systems Engineering	Industrial Engineering	Dual MS-MBA Program	MS-MBA
Industrial & Systems Engineering	Industrial Engineering	Engineering Management	MS
Industrial & Systems Engineering	Industrial Engineering	Energy Science and Engineering	PhD
Industrial & Systems Engineering	Industrial Engineering	Engineering Management	PhD
Interdepartmental	Engineering Science		MS
Interdepartmental	Engineering Science	Flight Test Engineering (UTSI only)	MS
Interdepartmental	Engineering Science	Aerospace Engineering	MS
Interdepartmental	Engineering Science	Biomedical Engineering	MS
Interdepartmental	Engineering Science	Civil Engineering	MS
Interdepartmental	Engineering Science	Environmental Engineering	MS
Interdepartmental	Engineering Science	Mechanical Engineering	MS
Interdepartmental	Engineering Science	Dual MS-MBA Program	MS-MBA
Interdepartmental	Engineering Science		PhD
Interdepartmental	Engineering Science	Aerospace Engineering	PhD
Interdepartmental	Engineering Science	Biomedical Engineering	PhD
Interdepartmental	Engineering Science	Civil Engineering	PhD
Interdepartmental	Engineering Science	Environmental Engineering	PhD
Interdepartmental	Engineering Science	Energy Science and Engineering	PhD
Interdepartmental	Engineering Science	Mechanical Engineering	PhD
Interdepartmental	Reliability & Maintainability Engineering		Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Chemical Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Computer Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Electrical Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Industrial Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Mechanical Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Nuclear Engineering	Gr Cert
Interdepartmental	Reliability & Maintainability Engineering	Aerospace Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Biomedical Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Mechanical Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Chemical Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Computer Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Electrical Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Industrial Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Materials Science & Engineering	MS
Interdepartmental	Reliability & Maintainability Engineering	Nuclear Engineering	MS
Materials Science & Engineering	Materials Science & Engineering		BSMSE
Materials Science & Engineering	Materials Science & Engineering	Biomaterials	BSMSE
Materials Science & Engineering	Materials Science & Engineering	Honors Biomaterials	BSMSE
Materials Science & Engineering	Materials Science & Engineering	Honors Materials Science & Engineering	BSMSE
Materials Science & Engineering	Materials Science & Engineering	Nanomaterials	BSMSE
Materials Science & Engineering	Materials Science & Engineering	Honors Nanomaterials	BSMSE
Materials Science & Engineering	Materials Science & Engineering	Dual MS-MBA Program	MS-MBA
Materials Science & Engineering	Materials Science & Engineering	Biomaterials	MS
Materials Science & Engineering	Materials Science & Engineering	Materials	MS
Materials Science & Engineering	Materials Science & Engineering	Metallurgy	MS
Materials Science & Engineering	Materials Science & Engineering	Nanomaterials	MS
Materials Science & Engineering	Materials Science & Engineering	Polymers	MS
Materials Science & Engineering	Materials Science & Engineering	Biomaterials	PhD
Materials Science & Engineering	Materials Science & Engineering	Energy Science and Engineering	PhD
Materials Science & Engineering	Materials Science & Engineering	Materials	PhD
Materials Science & Engineering	Materials Science & Engineering	Metallurgy	PhD
Materials Science & Engineering	Materials Science & Engineering	Nanomaterials	PhD
Materials Science & Engineering	Materials Science & Engineering	Polymers	PhD
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering		BSAE
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Honors Aerospace Engineering	BSAE
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering		MS
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Applied Mechanics	MS
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Systems and Controls	MS
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Thermal-Fluid Mechanics	MS
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Dual MS-MBA Program	MS-MBA
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering		PhD
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Applied Mechanics	PhD
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Energy Science and Engineering	PhD
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Systems and Controls	PhD
Mechanical, Aerospace, & Biomed. Engr.	Aerospace Engineering	Thermal-Fluid Mechanics	PhD
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering		BSBME
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Honors Biomedical Engineering	BSBME

**Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs**

**UTK Comprehensive List of Academic Programs**  
June 2014

<b>College of Engineering</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering		MS
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Biomechanics	MS
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Biomedical Systems	MS
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Dual MS-MBA Program	MS-MBA
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering		PhD
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Biomechanics	PhD
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Biomedical Systems	PhD
Mechanical, Aerospace, & Biomed. Engr.	Biomedical Engineering	Energy Science and Engineering	PhD
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering		BSME
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Honors Mechanical Engineering	BSME
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Dual MS-MBA Program	MS-MBA
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering		MS
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Applied Mechanics	MS
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Systems and Controls	MS
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Thermal-Fluid Mechanics	MS
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering		PhD
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Applied Mechanics	PhD
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Systems and Controls	PhD
Mechanical, Aerospace, & Biomed. Engr.	Mechanical Engineering	Thermal-Fluid Mechanics	PhD
Nuclear Engineering	Nuclear Engineering		BSNE
Nuclear Engineering	Nuclear Engineering	Honors Nuclear Engineering	BSNE
Nuclear Engineering	Nuclear Engineering	Honors Radiological Engineering	BSNE
Nuclear Engineering	Nuclear Engineering	Radiological Engineering	BSNE
Nuclear Engineering	Nuclear Engineering		MS
Nuclear Engineering	Nuclear Engineering	Dual MS-MBA Program	MS-MBA
Nuclear Engineering	Nuclear Engineering	Radiological Engineering	MS
Nuclear Engineering	Nuclear Engineering		PhD
Nuclear Engineering	Nuclear Engineering	Energy Science and Engineering	PhD
Nuclear Engineering	Nuclear Engineering	Radiological Engineering	PhD
Nuclear Engineering	Nuclear Criticality Safety		Gr Cert
Nuclear Engineering	Nuclear Security Science & Analysis		Gr Cert
<b>College of Law</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Law	Law		JD
Law	Law	Advocacy & Dispute Resolution	JD
Law	Law	Business Transactions	JD
Law	Law	Dual JD/MA Program	JD/MA
Law	Law	Dual JD/MBA Program	JD/MBA
Law	Law	Dual JD/MPA Program	JD/MPA
Law	Law	Dual JD/MPH Program	JD/MPH
<b>College of Nursing</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Nursing	Adult Health Nursing Clinical Nurse Specialist		Gr Cert
Nursing	Family Nurse Practitioner		Gr Cert
Nursing	Global Disaster Nursing		Gr Cert
Nursing	Global Disaster Studies		Gr Cert
Nursing	Health Policy		Gr Cert
Nursing	Mental Health Nursing		Gr Cert
Nursing	Nurse Anesthesia		Gr Cert
Nursing	Nursing Administration		Gr Cert
Nursing	Nursing Education		Gr Cert
Nursing	Nursing of Women & Children		Gr Cert
Nursing	Nursing		BSN
Nursing	Nursing	RN Track	BSN
Nursing	Nursing	Accelerated Track	BSN
Nursing	Nursing	Adult Health Nursing Clinical Nurse Specialist	MSN
Nursing	Nursing	Family Nurse Practitioner	MSN
Nursing	Nursing	Global Disaster Nursing	MSN
Nursing	Nursing	Mental Health Nursing	MSN
Nursing	Nursing	Nurse Anesthesia	MSN
Nursing	Nursing	Nursing Administration	MSN
Nursing	Nursing	Nursing of Women & Children	MSN
Nursing	Nursing		DNP
Nursing	Nursing	Mental Health Practitioner	DNP
Nursing	Nursing	Nursing of Women & Children	DNP
Nursing	Nursing	Nurse Anesthesia	DNP
Nursing	Nursing	Family Nurse Practitioner	DNP
Nursing	Nursing		PhD

**Board of Trustees Annual Meeting - 2014 Comprehensive List of Academic Programs**

**UTK Comprehensive List of Academic Programs**  
June 2014

<b>Intercollegiate</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Intercollegiate	Aviation Systems (at UTSI)		MS
Intercollegiate	Comparative & Experimental Medicine		MS
Intercollegiate	Comparative & Experimental Medicine		PhD
Intercollegiate: Center for Interdisciplinary Research and Graduate Education	Energy Science and Engineering		PhD

<b>College of Social Work</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Social Work	Social Work		BSSW
Social Work	Social Work	Honors Social Work	BSSW
Social Work	Social Work	Management, Leadership & Community Practice	MSSW
Social Work	Social Work	Evidence-based Interpersonal	MSSW
Social Work	Gerontology		Gr Cert
Social Work	Veterinary Social Work		Gr Cert
Social Work	Trauma Treatment		Gr Cert
Social Work	Social Work		DSW
Social Work	Social Work		PhD

<b>College of Veterinary Medicine</b>			
<b>Department</b>	<b>Major</b>	<b>Submajor</b>	<b>Degree</b>
Veterinary Medicine	Veterinary Medicine		Gr Cert
Veterinary Medicine	Veterinary Medicine		DVM



## UTM Comprehensive List of Academic Programs - June 2014

College of Agriculture & Applied Sciences			
Department	Major	Submajor	Degree
Agriculture, Geosciences, & Natural Resources	Ag & Natural Resources	Agribusiness and Risk Management	MSANR
Agriculture, Geosciences, & Natural Resources	Ag & Natural Resources	Systems Science in Agriculture	MSANR
Agriculture, Geosciences, & Natural Resources	Ag & Natural Resources	Natural Resources Systems Management	MSANR
Agriculture, Geosciences, & Natural Resources	General Agriculture	Agricultural Business	BSAG
Agriculture, Geosciences, & Natural Resources	General Agriculture	Agricultural Engineering Technology	BSAG
Agriculture, Geosciences, & Natural Resources	General Agriculture	Agricultural Science	BSAG
Agriculture, Geosciences, & Natural Resources	General Agriculture	Animal Science	BSAG
Agriculture, Geosciences, & Natural Resources	General Agriculture	Plant & Soil Science	BSAG
Agriculture, Geosciences, & Natural Resources	Geoscience	Geography	BS
Agriculture, Geosciences, & Natural Resources	Geoscience	Geology	BS
Agriculture, Geosciences, & Natural Resources	Geoscience	Meteorology	BS
Agriculture, Geosciences, & Natural Resources	Natural Resources Management	Environmental Management	BSNRM
Agriculture, Geosciences, & Natural Resources	Natural Resources Management	Park Administration	BSNRM
Agriculture, Geosciences, & Natural Resources	Natural Resources Management	Soil & Water Conservation	BSNRM
Agriculture, Geosciences, & Natural Resources	Natural Resources Management	Wildlife & Fisheries Biology	BSNRM
Family & Consumer Sciences	Family & Consumer Sciences	Child & Family Studies	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Family & Consumer Sciences Education	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Dietetics	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Food & Nutrition	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Fashion Merchandising	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Dietetics	MSFCS
Family & Consumer Sciences	Family & Consumer Sciences	General	MSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Gerontology	BSFCS
Family & Consumer Sciences	Family & Consumer Sciences	Hospitality & Food Service Management	BSFCS
Military Science & Leadership			

College of Business & Global Affairs			
Department	Major	Submajor	Degree
Accounting, Finance, Economics, & Pol. Sci.	Accounting		BSBA
Accounting, Finance, Economics, & Pol. Sci.	Economics	Economics	BSBA
Accounting, Finance, Economics, & Pol. Sci.	Economics	General Business	BSBA
Accounting, Finance, Economics, & Pol. Sci.	Economics	International Business	BSBA
Accounting, Finance, Economics, & Pol. Sci.	Finance		BSBA
Accounting, Finance, Economics, & Pol. Sci.	International Studies		BA
Accounting, Finance, Economics, & Pol. Sci.	International Studies	Business & Economic Development	BA
Accounting, Finance, Economics, & Pol. Sci.	International Studies	Strategic Studies	BA
Management, Marketing, & Information Systems	Information Systems	Management Information Systems	BSBA
Management, Marketing, & Information Systems	Information Systems	Office Information Systems	BSBA
Management, Marketing, & Information Systems	Management	Management	BSBA
Management, Marketing, & Information Systems	Management	Sport Management	BSBA
Management, Marketing, & Information Systems	Marketing		BSBA
Accounting, Finance, Economics, & Pol. Sci.	Political Science	General	BA
Accounting, Finance, Economics, & Pol. Sci.	Political Science	General	BS
Accounting, Finance, Economics, & Pol. Sci.	Political Science	Public Administration	BA
Accounting, Finance, Economics, & Pol. Sci.	Political Science	Public Administration	BS
Accounting, Finance, Economics, & Pol. Sci.	Political Science	International Security Systems	BA
Accounting, Finance, Economics, & Pol. Sci.	Political Science	International Security Systems	BS
	Business Administration		MBA

## UTM Comprehensive List of Academic Programs - June 2014

College of Education, Health & Behavioral Sciences			
Department	Major	Submajor	Degree
Behavioral Sciences	Criminal Justice	Corrections	BSCJ
Behavioral Sciences	Criminal Justice	Courts and Law	BSCJ
Behavioral Sciences	Criminal Justice	Generalist	BSCJ
Behavioral Sciences	Criminal Justice	Law Enforcement	BSCJ
Behavioral Sciences	Criminal Justice	Forensics	BSCJ
Behavioral Sciences	Psychology		BA
Behavioral Sciences	Psychology		BS
Behavioral Sciences	Social Work		BSSW
Behavioral Sciences	Sociology		BA
Behavioral Sciences	Sociology		BS
	Counseling	Clinical Mental Health	MSEd
	Counseling	School	MSEd
	Educational Leadership		MSEd
	Teaching	Curriculum & Instruction (Elementary)	MSEd
	Teaching	Curriculum & Instruction (Secondary)	MSEd
	Teaching	Initial Licensure (Elementary)	MSEd
	Teaching	Initial Licensure (Secondary)	MSEd
	Teaching	Non Licensure	MSEd
	Teaching	Interdisciplinary	MSEd
	Teaching	Initial Licensure - K-12	MSEd
Educational Studies	Integrated Studies	K-6 Licensure	BSEd
Educational Studies	Integrated Studies	4-8 Licensure	BSEd
Educational Studies	Integrated Studies	Pre K-3 Licensure	BSEd
Educational Studies	Secondary Education	Secondary Biology	BSEd
Educational Studies	Secondary Education	Secondary Business	BSEd
Educational Studies	Secondary Education	Secondary Chemistry	BSEd
Educational Studies	Secondary Education	Secondary Earth & Space Science	BSEd
Educational Studies	Secondary Education	Secondary Economics	BSEd
Educational Studies	Secondary Education	Secondary English	BSEd
Educational Studies	Secondary Education	Secondary French	BSEd
Educational Studies	Secondary Education	Secondary Geography	BSEd
Educational Studies	Secondary Education	Secondary Government	BSEd
Educational Studies	Secondary Education	Secondary History	BSEd
Educational Studies	Secondary Education	Secondary Mathematics	BSEd
Educational Studies	Secondary Education	Secondary Spanish	BSEd
Educational Studies	Special Education	P-12 Modified Licensure	BSEd
Health & Human Performance	Health & Human Performance	Exercise Science & Wellness	BSHHP
Health & Human Performance	Health & Human Performance	Health and Human Performance Generalist	BSHHP
Health & Human Performance	Health & Human Performance	Licensure K-12	BSHHP
Health & Human Performance	Health & Human Performance	Sport Management	BSHHP
Nursing	Nursing		BSN
Interdisciplinary Studies, Office of	Individualized		BIS

## UTM Comprehensive List of Academic Programs - June 2014

College of Engineering & Natural Sciences			
Department	Major	Submajor	Degree
Biological Sciences	Biology	Cell & Molecular Biology	BS
Biological Sciences	Biology	Organismal Biology	BS
Biological Sciences	Biology	Ecology & Environmental Biology	BS
Chemistry & Physics	Chemistry		BS
Chemistry & Physics	Chemistry		BSCH
Computer Science	Computer Science		BS
Engineering	Engineering	Civil	BSE
Engineering	Engineering	Electrical	BSE
Engineering	Engineering	Industrial	BSE
Engineering	Engineering	Mechanical	BSE
Mathematics & Statistics	Mathematics	General Mathematics & Statistics	BA
Mathematics & Statistics	Mathematics	Mathematics	BA
Mathematics & Statistics	Mathematics	Secondary Mathematics	BA
Mathematics & Statistics	Mathematics	Statistics	BA
Mathematics & Statistics	Mathematics	General Mathematics & Statistics	BS
Mathematics & Statistics	Mathematics	Mathematics	BS
Mathematics & Statistics	Mathematics	Secondary Mathematics	BS
Mathematics & Statistics	Mathematics	Statistics	BS
College of Humanities & Fine Arts			
Department	Major	Submajor	Degree
Communications	Communications	Broadcasting	BA
Communications	Communications	Broadcasting	BS
Communications	Communications	News Editorial	BA
Communications	Communications	News Editorial	BS
Communications	Communications	Public Relations	BA
Communications	Communications	Public Relations	BS
Communications	Communications	Media Design	BA
Communications	Communications	Media Design	BS
English & Modern Foreign Languages	English	Literature	BA
English & Modern Foreign Languages	English	Writing	BA
English & Modern Foreign Languages	English	Secondary English Education	BA
English & Modern Foreign Languages	French		BA
English & Modern Foreign Languages	Spanish		BA
History & Philosophy	History		BA
History & Philosophy	History		BS
History & Philosophy	Philosophy		BA
Music	Music		BAM
Music	Music	Music Education	BM
Music	Music	Performance	BM
Visual and Theatre Arts	Fine and Performing Arts	Studio- Graphic Design	BFA
Visual and Theatre Arts	Fine and Performing Arts	Studio- Theatre	BFA
Visual and Theatre Arts	Fine and Performing Arts	Studio- Visual Art	BFA
Visual and Theatre Arts	Fine and Performing Arts	Teaching - Art Education	BFA
Visual and Theatre Arts	Fine and Performing Arts	Teaching - Dance Education	BFA

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 19, 2014

COMMITTEE: Academic Affairs and Student Success

CAMPUS/UNIT: All

ITEM: **Authorization to Confer Degrees**

RECOMMENDATION: Approval

PRESENTED BY: Katherine N. High, Vice President for  
Academic Affairs and Student Success

The University's Charter, dating from the early 19<sup>th</sup> century, provides for degrees to be conferred on students at meetings of the Board of Trustees. Each year, the Board delegates to the President, the Chancellors, or another University official designated by the President, full authority to confer degrees at commencement ceremonies held during the coming year.

**MOTION:**

**That the President, the Chancellors, or another University official designated by the President be authorized to confer degrees at commencement ceremonies held during the time intervening between this meeting and the next annual meeting to be held in June 2015.**

THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 19, 2014

COMMITTEE: Advancement and Public Affairs

CAMPUS/UNIT: UT Knoxville

ITEM: Naming of the Volleyball Practice Facility at UT Knoxville

RECOMMENDATION: Approval

PRESENTED BY: Jimmy G. Cheek, Chancellor, UT Knoxville

The following letter from Chancellor Cheek outlines a proposal, approved by President DiPietro, to name the volleyball practice facility at UT Knoxville for Joan Cronan.

Although the Policy on Naming of Facilities and Other Assets provides that current employees and those employed within the previous three years are not eligible for naming consideration, the policy also provides that the Board of Trustees, in its sole discretion, may make an exception to that provision by duly adopted motion. An exception is recommended in this case to allow the University to recognize Joan's distinguished career of service to athletics and the University prior to her retirement on June 30, 2014.

**MOTION:**

**That an exception to the Policy on Naming Facilities and Other Assets be approved to allow the volleyball practice facility at UT Knoxville to be named the "Joan Cronan Volleyball Practice Facility" in recognition of her distinguished career of service to athletics and the University.**

May 28, 2014

President Joseph A. DiPietro  
University of Tennessee System  
800 Andy Holt Tower  
Knoxville, TN 37996-0180

Dear President DiPietro:

I am writing to request approval to name the volleyball practice facility the "Joan Cronan Volleyball Practice Facility" and request an exception to the Board of Trustees policy on "Naming Facilities and Other Assets" in order to honor Joan's legacy, impact upon the University, and our women's athletics program.

Joan served with great integrity and enthusiasm for nearly thirty years as Women's Athletics Director. She oversaw and facilitated an athletics program which grew to become one of the most prominent and respected in the nation. She is also considered one of the winningest women's athletics directors in the nation, as the Lady Vols earned ten NCAA national championship titles, forty-six top-five NCAA finishes, and twenty-nine Southeastern Conference championships under her leadership.

A native of Opelousas, Louisiana, Cronan first came to the University of Tennessee in 1968 to teach as well as coach women's basketball. After serving as athletics director, coach, and professor at the College of Charleston from 1973 to 1983, she and her family returned to East Tennessee in 1983 to make Knoxville their permanent home. Taking over as Women's Athletics Director in 1983, she gradually expanded the program from seven to eleven sports, helped the department increase annual giving from \$75,000 to \$2 million per year. She has forged a distinguished career in collegiate athletics as an administrator and advocate for student-athletes. She is respected nationally for her skills as a leader, motivator, strategic thinker, public speaker, team builder, and for the integrity with which she runs an organization.

Her success at the university has allowed her to serve in many distinguished and prominent leadership roles on a national level. In 2010 she was appointed to the NCAA Division I Leadership Council, and in the 2008-2009 academic year she was selected as the president of the National Association of Collegiate Directors of Athletics by her peers. A trailblazer in many respects, Joan became the first woman in SEC history to oversee both men's and women's athletics programs in the SEC when she stepped in to serve as Vice Chancellor & Director of Athletics in 2011. Cronan was named Women's Athletic Director Emeritus in July 2012, and continues to represent the university and community through speaking appearances in addition to her role as a senior advisor.


Joan epitomizes the Tennessee Volunteer spirit through her servant leadership, integrity, and ability to empower others to reach their full potential. She will be retiring on June 30, 2014 and

naming this facility in her honor would be an appropriate and lasting way to celebrate her leadership and legacy.

The Board's policy on "Naming Facilities and Other Assets" provides that current employees and those employed within the previous three years are not eligible for naming consideration. The policy also provides, however, that the Board, in its sole discretion, may make an exception to that provision by duly adopted motion at any regular or called meeting. Therefore, we request that the Board of Trustees make an exception to the policy on "Naming Facilities and Other Assets" to commemorate Joan's distinguished career and legacy by naming the volleyball practice facility in her honor at the annual meeting in June.

If you have any questions, please feel free to contact me.

Sincerely,



Jimmy G. Cheek  
Chancellor

Enclosure

Approved:



Joseph A. DiPietro  
President, University of Tennessee System



## Memorandum

Date: May 15, 2014

To: Jimmy G. Check  
Chancellor

From: Dave Hart   
Vice Chancellor & Director of Athletics

Subject: Request to Name Athletics Facility

---


The Department of Athletics is requesting approval to name the volleyball practice facility as is permissible under the "Policy on the Naming of Facilities and Other Assets of the University of Tennessee."

It is our request that, with Board of Trustees approval, it be named the:

Joan Cronan Volleyball Practice Facility

- In recognition of decades of service and for bringing national recognition to the University of Tennessee Knoxville, we request the Board of Trustees make an exception to the "Policy on the Naming of Facilities and Other Assets of the University of Tennessee" and allow the athletic department to name the Volleyball Practice Facility in her honor. Joan will be retiring at the end of June, and this would provide an appropriate and permanent way to celebrate her leadership to our university.

I appreciate your assistance in recognizing Joan's contributions to the university and athletic department. Please let me know if further information is needed.

Approved: 

Jimmy G. Check  
Chancellor

CC: Chris Fuller  
Scott Rabenold



THE UNIVERSITY OF TENNESSEE  
BOARD OF TRUSTEES

ACTION ITEM

DATE: June 19, 2014

COMMITTEE: Advancement and Public Affairs

CAMPUS/UNIT: UT Martin

ITEM: Naming of the Chi Omega Sorority House at UT Martin

RECOMMENDATION: Approval

PRESENTED BY: Thomas A. Rakes, Chancellor, UT Martin

33

The following memorandum from Chancellor Rakes outlines a proposal, approved by President DiPietro, to name the Chi Omega sorority house at UT Martin for Coach Pat Head Summitt.

Although the Policy on Naming of Facilities and Other Assets provides that current employees and those employed within the previous three years are not eligible for naming consideration, the policy also provides that the Board of Trustees, in its sole discretion, may make an exception to that provision by duly adopted motion. An exception is recommended in this case to allow UT Martin to recognize the unparalleled career and legacy of one of its most distinguished alumni.

**MOTION:**

**That an exception to the Policy on Naming Facilities and Other Assets be approved to allow the Chi Omega sorority house at UT Martin to be named the “Pat Head Summitt Chi Omega House” in recognition of the unparalleled career and legacy of Coach Summitt.**

## PROPOSED NAMING OF THE CHI OMEGA SORORITY HOUSE THE UNIVERSITY OF TENNESSEE AT MARTIN

The University of Tennessee at Martin proposes the Chi Omega Sorority House be named the "The Pat Head Summitt Chi Omega House" in honor of Pat Head Summitt, benefactor and an athletic, academic and volunteer leader of the University.

Coach Summitt was born Patricia Sue Head in Clarksville, Tennessee. She attended the University of Tennessee at Martin where she was a member of Chi Omega and won All-American honors playing for UT Martin's women's basketball team. Just before the 1974-75 season, with women's college basketball still in its infancy and not yet an NCAA-sanctioned sport, 22-year-old Summitt became a graduate assistant at the University of Tennessee, and was named head coach of the Lady Vols after the previous coach resigned suddenly. Her tenure as head coach of the Lady Vols grew from meager beginnings to Summitt being regarded as one of the winningest coaches of all time.

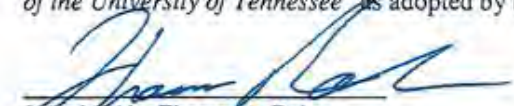
She co-captained the first United States women's national basketball team as a player in the inaugural women's tournament at the 1976 Summer Olympics, winning the silver medal. Eight years later in 1984, she coached the U.S. women's team to an Olympic gold medal, becoming the first U.S. Olympian to win a basketball medal and coach a medal-winning team.

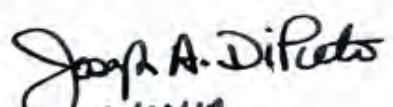
Coach Summitt holds the most all-time wins for a coach in NCAA basketball history of either a men's or women's team in any division. She coached from 1974 to 2012, all with the Lady Vols, winning eight NCAA national championships. She is the only coach in NCAA history with at least 1,000 victories. Summitt was named the Naismith Basketball Coach of the Century in April 2000. In 2009, the Sporting News placed her number 11 on its list of the 50 Greatest Coaches of All Time in all sports; she was the only woman on the list. In 38 years as a coach, she never had a losing season. She currently serves as head coach emeritus of the Tennessee Lady Vols basketball team.

Coach Summitt is the only person to have two courts used by NCAA Division I basketball teams named in her honor: "Pat Head Summitt Court" at the University of Tennessee at Martin, and "The Summitt" at the University of Tennessee, Knoxville. She was awarded UT Martin's "Outstanding Alumni Award" in 1987.

In addition, she has been a loyal benefactor to her alma mater and employer. Summitt has commitments to UT which exceed \$530,000; \$232,000 of which is designated to UT Martin. The lodge is being funded through private gifts and \$239,100 has already been given in Coach Summitt's honor. "She is one of the most recognizable alumnae of not only Chi Omega, but also of the University of Tennessee at Martin," Mona Beale, UT Martin alumna and sorority sister, said. "She was instrumental in our fundraising campaign for the most important project in our chapter's history."

The University of Tennessee at Martin supports the naming of the Chi Omega Sorority House as an appropriate honorarium in recognition of Coach Summitt's distinguished service advancing the educational opportunities for Tennesseans. The naming of the "The Pat Head Summitt Chi Omega House" meets the requirements as stipulated in the "Policy on the Naming of Facilities and Other Assets of the University of Tennessee" as adopted by the Board of Trustees on October 22, 2010.

  
Submitted by Thomas A. Rakes  
May 5, 2014

  
6/19/14

**BOARD OF TRUSTEES MEETINGS  
AND COMMITTEE MEETINGS**

**2014**

- Sept 5, 2014:**           **Audit Committee, Nashville**
- Sept 9, 2014:**           **Health Affairs Committee, Nashville**  
*(tentative – late afternoon if confirmed)*
- Sept 10, 2014:**       **7:30 a.m. CDT, Executive & Compensation**  
**Committee, Nashville**  
**9:00 a.m. CDT, Board of Trustees Workshop,**  
**Nashville**
- Oct 2-3, 2014:**       **Fall Meeting of the Board of Trustees, Knoxville**
- Dec 8, 2014:**           **Audit Committee, Nashville**